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ON A VARIATIONAL PRINCIPLE  
IN THE MANY BODY PROBLEM

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ON A VARIATIONAL PRINCIPLE  
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БИБЛИОТЕКА

In the paper<sup>1/</sup> a new variational principle was proposed by one of the authors (N.N.B.). This principle is a generalization of the well known Fock method<sup>2/</sup>. Consideration of the new variational principle was extended by S.V.Tyablikov<sup>4,7/</sup>. In this paper we shall make further investigations of the variational principle in the many body problem, obtain stationary equations in a evident form and consider the application of this variational principle to two particular cases.

Let us consider a system interacting Fermi-particles with a Hamiltonian

$$H = \sum_{f,f'} E(f',f) a_f^* a_{f'} + \frac{1}{2} \sum_{f_1, f_2, f_1', f_2'} K(f_2', f_1'; f_1, f_2) a_{f_1}^* a_{f_2}^* a_{f_2'} a_{f_1'}, \quad (1)$$

here  $E(f',f) = T(f',f) - \lambda \delta_{ff'}$ ,  $\lambda$  - is the chemical potential,  $f$  - set of indices characterising one particle state and the real function  $K(f_2', f_1'; f_1, f_2)$  has the following properties:

$$K(f_2', f_1'; f_1, f_2) = K(f_2, f_1; f_1', f_2') = K(f_1', f_2'; f_2, f_1) \quad (2)$$

Perform a canonical transformation of the Fermi-amplitudes:

$$a_f = \sum_{f'} \{ U_{ff'} \alpha_{f'} + V_{ff'} \alpha_{f'}^* \} \quad (3)$$

In order to preserve their commutation properties it is necessary to carry out the following conditions:

$$\xi_{f, f'} \equiv \sum_{f''} \{ U_{ff''} U_{f''f'} + V_{ff''} V_{f''f'}^* \} - \delta_{f, f'} = 0. \quad (4)$$

$$\eta_{f, f'} \equiv \sum_{f''} \{ U_{ff''} V_{f''f'} + V_{ff''} U_{f''f'} \} = 0.$$

We determine a new vacuum state  $C_0$

$$\alpha_{f'} C_0 = 0$$

and find a mean value of  $H$  by this state, e.1.

$$\bar{H} = \sum_{f, f'} E(f', f) F(f, f') + \sum_{f_1, f_2, f_1', f_2'} K(f_2', f_1'; f_1, f_2) \left\{ F(f_1, f_1') F(f_2, f_2') + \frac{1}{2} \Phi^*(f_1, f_2) \Phi(f_1', f_2') \right\}, \quad (5)$$

where

$$F(f, f') = \sum_{f''} V_{ff''}^* V_{f''f'}; \quad \Phi(f, f') = \sum_{f''} U_{ff''} V_{f''f'} \quad (6)$$

Let us determine  $U$  and  $V$  from the minimum  $\bar{H}$  condition with the subsidiary conditions (4):

$$\delta \left\{ \bar{H}(u, v) + \sum_{f_1, f_2} \left[ \lambda(f_2, f_1) \xi_{f_1, f_2} + \mu^*(f_2, f_1) \eta_{f_1, f_2} + \mu(f_2, f_1) \eta_{f_1, f_2}^* \right] \right\} \quad (7)$$

here  $\lambda(f', f), \mu(f', f)$  are Lagrange factors. Considering the variations of  $\delta u, \delta v, \delta u^*, \delta v^*$  as independent ones we find:

$$\begin{aligned} \frac{\delta \bar{H}}{\delta U_{f''\omega}^*} + \sum_{f''} \left\{ \lambda(f, f'') U_{f''\omega} + \mu(f, f'') V_{f''\omega}^* + \mu^*(f, f'') V_{f''\omega} \right\} &= 0 \\ \frac{\delta \bar{H}}{\delta V_{f''\omega}^*} + \sum_{f''} \left\{ \lambda(f, f'') V_{f''\omega} + \mu(f, f'') U_{f''\omega}^* + \mu^*(f, f'') U_{f''\omega} \right\} &= 0 \end{aligned} \quad (8)$$

and two equations complexly conjugated with these. We obtain:

$$A(f, f') \equiv \sum_{\omega} \left\{ \mathcal{V}_{f\omega} \frac{\delta \bar{H}}{\delta U_{f\omega}^*} + U_{f\omega} \frac{\delta \bar{H}}{\delta \mathcal{V}_{f\omega}} \right\} + \mu(f, f') + \mu(f', f) = 0,$$

$$B(f, f') \equiv \sum_{\omega} \left\{ U_{f\omega}^* \frac{\delta \bar{H}}{\delta U_{f\omega}^*} + \mathcal{V}_{f\omega}^* \frac{\delta \bar{H}}{\delta \mathcal{V}_{f\omega}} \right\} + \lambda(f, f') = 0. \quad (9)$$

Let us exclude the Lagrange factors and find the equations:

$$\mathcal{L}(f, f') \equiv A(f, f') - A(f', f) = 0, \quad (10)$$

$$\mathcal{B}(f, f') \equiv B(f, f') - B^*(f', f) = 0,$$

which have the following form:

$$\mathcal{L}(f, f') = \sum_{f''} \left\{ \phi(f, f'') \xi(f'', f') - \xi(f, f'') \phi(f'', f') - \right. \quad (11)$$

$$\left. - \sum_{f_1, f_2, f} \left\{ K(f_2, f_1; f, f'') \phi(f_1, f_2) F(f'', f') - K(f_2, f_1; f', f'') \phi(f_1, f_2) F(f'', f) \right\} + \right.$$

$$\left. + \sum_{f_1, f_2} K(f_2, f_1; f, f') \phi(f_1, f_2) = 0, \quad (12)$$

$$\mathcal{B}(f, f') = \sum_{f''} \left\{ F(f, f'') \xi(f'', f') - \xi(f, f'') F(f'', f') \right\} +$$

$$+ \sum_{f_1, f_2, f''} \left\{ K(f_2, f_1; f', f'') \phi(f_1, f_2) \phi^*(f, f'') - K(f_2, f_1; f, f'') \phi^*(f_1, f_2) \phi(f', f'') \right\} = 0, \quad (13)$$

where

$$\xi(f, f') = E(f, f') + 2 \sum_{f_1, f_2} K(f_2, f_1; f', f_1) F(f_1, f_2).$$

(11) and (12) coincide with the equations obtained in [3, 4].

It should be noted that the functions  $\mathcal{L}(f, f')$  and  $\mathcal{B}(f, f')$  are connected by the following relation:

$$\sum_{f, f'} \left\{ \mathcal{V}_{f\nu}^* U_{f\nu}^* \mathcal{L}(f, f') + U_{f\nu} \mathcal{V}_{f\nu} \mathcal{L}^*(f', f) \right\} +$$

$$+ \sum_{f, f'} \left\{ U_{f\nu} U_{f\nu}^* - \mathcal{V}_{f\nu}^* \mathcal{V}_{f\nu} \right\} \mathcal{B}(f, f') = 0. \quad (14)$$

Therefore, if  $\mathcal{L}(f, f') = 0$  then it follows from (14) that  $\mathcal{B}(f, f') = 0$  and one may consider only one of the equations (11), (12). As it was shown in the paper [3] the functions  $F(f, f')$  and  $\phi(f, f')$  are connected by the following means:

$$F(f, f') = \sum_{f''} \left\{ \phi^*(f'', f) \phi(f', f'') + F(f, f'') F(f'', f') \right\}, \quad (15)$$

$$\sum_{f''} \left\{ \phi(f, f'') F(f', f'') + \phi(f', f'') F(f, f'') \right\} = 0.$$

One may prove these relations by a direct substitution of the expressions  $\phi(f, f')$  and  $F(f, f')$ , if to take the conditions (4) and those connected with them:

$$\sum_f \left\{ U_{f\omega}^* U_{f\nu} + \mathcal{V}_{f\nu}^* \mathcal{V}_{f\omega} \right\} = \delta_{\nu\omega}, \quad (16)$$

$$\sum_f \left\{ \mathcal{V}_{f\omega}^* U_{f\nu} + \mathcal{V}_{f\nu}^* U_{f\omega} \right\} = 0.$$

Thus, one may operate with the functions  $F$  and  $\phi$  instead of the functions  $U$  and  $\mathcal{V}$ , if the conditions (15) carry out, then  $F$  and  $\phi$  will be expressed in terms of  $U$  and  $\mathcal{V}$  by (6).

We shall consider an application of the new variational principle in the many body problem to two particular cases.

First case. Let us choose  $v_{f,v} = v_f \delta(f-v)$ ,  $u_{f,v} = u_f \delta(f+v)$ ,  $u_f = u_{-f}$ ,  $v_f = -v_{-f}$ , then  $\alpha_f = u_f \alpha_{-f} + v_f \alpha_f^*$ ,  $F(f, f') = \delta(f-f') v_f^2$ ,  $\phi(f, f') = -\delta(f+f') u_f v_{f'}$ . In this case the equation (11) obtains the form:

$$\xi_{\sum} (f, f') u_f v_{f'} + (u_f^2 - v_f^2) \sum_{f'' > 0} K(-f', f'; f, -f) u_{f'} v_{f''} = 0, \quad (18)$$

$$\xi_{\sum} (f, f) = E(f, f) - 2 \sum_{f'' > 0} \left\{ K(f', f; f', f) + K(-f', f; -f', f) \right\} v_{f''}^2. \quad (19)$$

If  $f = (\kappa, \sigma)$ , where  $\kappa$  - is a momentum and  $\sigma$  - is a spin, then (18) coincides with an equation of the compensation of "dangerous" graphs in the theory of superconductivity<sup>/5/</sup> and if  $f$  is quantum number of the momentum projection along the symmetry axis of the nucleus, then (18) transforms into an equation<sup>/6/</sup>, taking into account an interaction of nucleons, locating on the outer shell of a heavy nucleus.

Second case. Choose  $f = (z, \sigma)$  where  $z$  - radius-vector, and let us give functions  $K(f_1', f_1; f_2, f_2)$ ,  $E(f', f)$  in the form:

$$\frac{1}{2} U(z_1, z_2; \sigma_1, \sigma_2) \left\{ \delta_{\sigma_1 \sigma_1'} \delta_{\sigma_2 \sigma_2'} \delta(z_1 - z_1') \delta(z_2 - z_2') - \delta_{\sigma_1 \sigma_2'} \delta_{\sigma_2 \sigma_1'} \delta(z_1 - z_2') \delta(z_2 - z_1') \right\},$$

$$E(z) \delta_{\sigma \sigma'} \delta(z - z') = \{T(z) - \mu\} \delta_{\sigma \sigma'} \delta(z - z').$$

In this case

$$\begin{aligned} \bar{H} = & \sum_{z, \sigma} \{T(z) - \lambda\} F(z, \sigma; z, \sigma) + \\ & + \frac{1}{2} \sum_{z_1, \sigma_1, z_2, \sigma_2} U(z_1 - z_2; \sigma_1, \sigma_2) \left\{ F(z_1, \sigma_1; z_1, \sigma_1) F(z_2, \sigma_2; z_2, \sigma_2) - \right. \\ & \left. - F(z_1, \sigma_1; z_2, \sigma_2) F(z_2, \sigma_2; z_1, \sigma_1) + \phi^*(z_1, \sigma_1; z_2, \sigma_2) \phi(z_1, \sigma_1; z_2, \sigma_2) \right\} \end{aligned} \quad (20)$$

and the equation (11) will be :

$$\begin{aligned} & \left\{ E(z_1) + E(z_2) + \sum_{z', \sigma'} U(z_1 - z'; \sigma_1, \sigma_1') F(z', \sigma'; z', \sigma') + \right. \\ & + \sum_{z', \sigma'} U(z_2 - z'; \sigma_2, \sigma_2') F(z', \sigma'; z', \sigma') + U(z_1 - z_2; \sigma_1, \sigma_2) \left. \right\} \phi(z_1, \sigma_1; z_2, \sigma_2) + \\ & + \sum_{z', \sigma'} \left\{ U(z_1 - z'; \sigma_1, \sigma_1') F(z', \sigma'; z_1, \sigma_1) \phi(z_2, \sigma_2; z', \sigma') + U(z_2 - z'; \sigma_2, \sigma_2') F(z', \sigma'; z_2, \sigma_2) \right. \\ & \left. \phi(z', \sigma'; z_1, \sigma_1) \right\} - \sum_{z', \sigma'} \left\{ U(z_1 - z'; \sigma_1, \sigma_1') F(z', \sigma'; z_2, \sigma_2) \phi(z', \sigma'; z_1, \sigma_1) + \right. \\ & \left. + U(z_2 - z'; \sigma_2, \sigma_2') F(z', \sigma'; z_1, \sigma_1) \phi(z_2, \sigma_2; z', \sigma') \right\} = 0, \end{aligned} \quad (21)$$

Let us write it in the form:

$$\left\{ E_1 + E_2 + V_1 + V_2 \right\} \phi_{12} + \sum_K \left\{ \mathcal{G}_{1K} \phi_{2K} + \mathcal{G}_{2K} \phi_{1K} \right\} + Z_{12} - \sum_K \left\{ Z_{2K} F_{K1} + Z_{K1} F_{K2} \right\} = 0. \quad (22)$$

Here  $V_i = \sum_{z', \sigma'} U(z_i - z'; \sigma_i, \sigma_i') F(z', \sigma'; z', \sigma')$  is a potential, created by all particles in the point  $z_i$ ,  $\mathcal{G}_{iK} = U(z_i - z_K; \sigma_i, \sigma_K) F(z_K, \sigma_K; z_i, \sigma_i)$  is an addition to the kinetic energy of the  $i$ -particle; the term  $Z_{12} = U(z_1 - z_2; \sigma_1, \sigma_2) \phi(z_1, \sigma_1; z_2, \sigma_2)$  describes an interaction of the two particles, and the expression  $-\sum \{Z_{2K} F_{K1} + Z_{K1} F_{K2}\}$  takes into account the influence of the others particles, distributed with the density  $F(z, \sigma; z, \sigma)$ .

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