

# ОБЪЕДИНЕННЫЙ ИНСТИТУТ ЯДЕРНЫХ ИССЛЕДОВАНИЙ 

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.MINIMAL INVOLUTIVE BASES

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## 1 Introduction

Computational aspects of constructing Gröbner bases invented by Buchberger [1] are now under intensive investigation due to the great theoretical and practical importance of these bases in computational commutative algebra and algebraic geometry $[2,3,4]$. Gröbner bases are also becoming of greater importance in non-commutative $[5,6,7]$ and differential algebra $[8,9]$.

Since its invention about thirty years ago, feassibility of the Buchberger algorithm has been notably increased. First of all, it was resulted from discovering criteria for avoiding unnecessary reductions $[10,11,12]$ which allow a partial extension to non-commutative case [7]. Next, the key role of the reduction and, especially, selection strategies was experimentally observed, and heuristically good strategies were found [13]. For construction of a lexicographical Gröbner basis, which is the most usefui for solving polynomial equations, an efficient computation scheme was developed in [14] based on converting a basis from one ordering into another.

On the other hand, Zharkov and Blinkov [15] were pioneered in revealing another computational scheme for Gröbner bases construction in commutative algebra. They used the partition of variables into multiplicative and non-multiplicative invented in Pommaret [16] to bring partial differential equations into so-called involutive form [17] which has all the integrability conditions satisfied. Zharkov and Blinkov showed that sequential multiplication of the polynomials in the system by non-multiplicative variables; and reduction of these prolonged polynomials modulo others, by means of their multiplicative power products only, ends up, under certain conditions, with a Gröbner basis. Though the latter is generally not the reduced basis, it reveals some attractive features [18].

Already first computer experiments carried out in [15] showed rather high efficiency of the new computational scheme. However, that algorithm terminates, generally, only for zero-dimensional ideals and for degree compatible term orderings [19]. The algebraic origin of such an algorithmic behavior was analyzed in [20] where it was also shown that Pommaret involutive bases are just Gröbner ones of ideals in the commutative rings with respect to non-commutative gradings. Interconnection of Pommaret bases and Gröbner bases was recently investigated also in [21, 22].

In our previous paper [23] general algorithmic foundations of involutive approach to commutative algebra were considered, and a number of new concepts was introduced allowing one to study the involutive algorithmic procedure in its general form. The central concept of our analysis is involutive monomial division. Every specific involutive division generates some particular computation procedure for constructing the corresponding involutive basis. Every involutive basis, if it is finite, was proved to be a Gröbner basis, generally, redundant. We formulated the axiomatic properties of an involutive division which provide a proper partition of variables into multiplicative and non-multiplicative, and, hence, to construct different divisions. It was also proved that those partitions used by Janet [17], Thomas [24] and Pommaret [16] are generated by particular involutive divisions.

Important properties of noetherity and continuity for an involutive division were also characterized. Noetherity provides the existence of a finite involutive monomial basis for any monomial ideal much like to the conventional monomial bases. Continuity allows one to construct an involutive basis by means of single non-multiplicative prolongations. We showed that Janet and Thomas divisions are noetherian and continuous whereas Pommaret division, being continuous, is not noetherian. Just by this reason a positive-dimensional polynomial ideal, generally, does not have a finite Pommaret basis. We presented in [23] a general form of the involutive algorithm. Its correctness was proved for any continuous division while termination holds for arbitrary polynomial ideal and for arbitrary admissible monomial ordering only for noetherian divisions. The algorithm involves the Buchberger's chain criterion to avoid unnecessary reductions.

In the present paper, in addition to Janet, Thomas and Pommaret divisions analyzed in [23], we give examples of two more involutive divisions which are proved to be continuous and noetherian. We present also the special form of an involutive algorithm which provides construction of a minimal involutive basis if it is finite. We show that the latter is uniquely defined for any fixed admissible monomial ordering.

The rest of the paper is organized as follows. In Section 2 we give a brief review of involutive concepts and methods which are used in the following sections. In Section 3 we consider some examples of involutive monomial divisions including those introduced by Thomas, Janet and Pommaret along with two new ones. In Section 4 we study the minimal involutive monomial bases. The algorithm for construction of minimal polynomial bases is described in Section 5, and some concluding remarks are given in Section 6.

## 2 Background of Involutive Approach

In this section we briefly describe the fundamentals of the general involutive approach proposed in [23] which are used in Sections 3-5.

Let $\mathbb{N}$ be a set of non-negative integers, and $\mathbb{M}=\left\{x_{1}^{d_{1}} \cdots x_{n}^{d_{n}} \mid d_{i} \in \mathbb{N}\right\}$ be a set of monomials in the polynomial ring $\mathbb{R}=K\left[x_{1}, \ldots, x_{n}\right]$ over zero characteristic field $K$.

By $\operatorname{deg}(u)$ and $\operatorname{deg}_{i}(u)$ we denote the total degree of $u \in \mathbb{M}$ and the degree of variable $x_{i}$ in $u$, respectively. An admissible monomial ordering is denoted by $\succ$, and throughout this paper we shall assume that

$$
\begin{equation*}
x_{1} \succ x_{2} \succ \cdots \succ x_{n} . \tag{1}
\end{equation*}
$$

The leading monomial and the leading coefficient of polynomial $f \in \mathbb{R}$ with respect to ordering $\prec$ are denoted by $\operatorname{lm}(f)$ and $l c(f)$, respectively. If $F \subset \mathbb{R}$ is a polynomial set, then by $l m(F)$ we denote the leading monomial set for $F$, and $I d(F)$ will denote the ideal in $R$ generated by $F$. For the least common multiple and for the greatest common divisor of two monomials $u, v \in \mathbb{M}$ we shall use the conventional notations $l c m(u, v)$ and $g c d(u, v)$, respectively.

If monomial $u$ divides monomial $v$ we shall write $u \mid v$.

Definition 2.1 An involutive division $L$ on $\mathbf{M}$ is given, if for any finite monomial set $U \subset \mathbb{M}$ and for any $u \in U$ there is given a submonoid $L(u, U)$ of $M$ satisfying the conditions:
(a) If $u, v \in U$ and $u L(u, U) \cap v L(v, U) \neq \emptyset$, then $u \in v L(v, U)$ or $v \in u L(u, U)$.
(b) If $v \in U$ and $v \in u L(u, U)$, then $L(v, U) \subseteq L(u, U)$.
(c) If $V \subseteq U$, then $L(u, U) \subseteq L(u, V)$ for all $u \in V$.

Elements of $L(u, U)$ are called multiplicative for $u$. If $w \in u L(u, U)$ we shall write $\left.u\right|_{L} w$ and call $u$ ( $L$-)involutive divisor of $w$. The monomial $w$ in its turn is cailed ( $L$-) involutive multiple of $u$. In such an event monomial $v=w / u$ is multiplicative for $u$ and the equality $w=u v$ will be written as $w=\dot{u} \times v$. If $u$ is the conventional divisor of $w$ but not involutive one we shall write, as usual, $w=u \cdot v$. Then $v$ is said to be non-multiplicative for $u$.

Definition 2.2 We shall say that involutive division $L$ is globally defined if for any $u \in \mathbb{M}$ its multiplicative monomials are defined irrespective of the monomial set $U \ni u$, that is, if $L(u, U)=L(u)$.

Definition 2.1 for every $u \in U \subset \mathbb{M}$ provides partition $\left\{x_{1}, \ldots, x_{n}\right\}=M(u, U) \cup$ $N M(u, U)$ of the set of variables into two disjoined subsets: multiplicative $M(u, U)$ and non-multiplicative $N M(u, U)$. The conventional monomial division, obviously, satisfies condition (a) only in the univariate case. For example, $x \mid(x y)$ and $y \mid(x y)$ but $\neg x \mid y$ and $\neg y \mid x$.

In what follows monomial sets are assumed to be finite, unless involutive division $L$ is globally defined. In this case, since $L$ is defined irrespective of the monomial set, it admits extension to infinite sets.
Definition 2.3 A monomial set $U \in \mathrm{M}$ is involutively autoreduced or $L$-autoreduced if the condition $u L(u, U) \cap v L(v, U)=\emptyset$ holds for all distinct $u, v \in U$.
Definition 2.4 Given an involutive division $L$, a monomial set $U$ is involutive ${ }^{1}$ with respect to $L$ or $L$-involutive if

$$
\begin{equation*}
\cup_{u \in U} u \mathbb{M}=\cup_{u \in U} u L(u, U) \tag{2}
\end{equation*}
$$

Definition 2.5 An $L$-involutive monomial set $\tilde{U}$ is called involutive closure of a set $U \subseteq \tilde{U}$ if

$$
\cup_{u \in U} u \mathbb{M}=\cup_{u \in \bar{U}} u L(u, U)
$$

If there exists a finite involutive closure $\tilde{U}$ of a finite set $U$, then the latter is finitely generated with respect to $L$. The involutive division $L$ is noetherian if every finite set $U$ is finitely generated.

[^1]Proposition 2.6 [23] If involutive division $L$ is noetherian, then every monomial ideal has a finite involutive basis $\bar{U}$.

Proposition 2.7 If $U$ is a finitely generated monomial set, then so is set obtained by autoreduction of $U$ in the sense of the conventional monomial division.
Proof It follows immediately from observation that any involutive closure of $U$ is also an involutive closure of the autoreduced set.

Definition 2.8 A monomial set $U$ is called locally involutive with respect to the involutive division $L$ if

$$
(\forall u \in U)\left(\forall x_{i} \in N M(u, U)\right)(\exists v \in U) \quad\left[\left.v\right|_{L}\left(u \cdot x_{i}\right)\right]
$$

Definition 2.9 A division $L$ is called continuous if for any finite set $U \in \mathbb{M}$ and for any finite sequence $\left\{u_{i}\right\}_{(1 \leq i \leq k)}$ of elements in $U$ such that

$$
\begin{equation*}
(\forall i<k)\left(\exists x_{j} \in N M\left(u_{i}, U\right)\right)\left\{u_{i+1} \mid L u_{i} \cdot x_{j}\right\} \tag{3}
\end{equation*}
$$

the inequality $u_{i} \neq u_{j}$ for $i \neq j$ holds.
Theorem 2.10 [23] If involutive division $L$ is continuous then local involutivity of any monomial set $U$ implies its involutivity.

Given a finite set of polynomials $F \subset \mathbb{R}$ and an admissible ordering $\succ$, multiplicative and non-multiplicative variables for $f \in F$ are defined in terms of $\operatorname{lm}(f)$ and the leading monomial set $\operatorname{lm}(F)$.

The concepts of involutive polynomial reduction and involutive normal form are introduced simiiar to their conventional analogues [11] with the use of involutive division instead of the conventional one.

Definition 2.11 Let $L$ be an involutive division $L$ on $M$, and let $F$ be a finite set of polynomials. Then we shall say:
(i). $p$ is $L$-reducible modulo $f \in F$ if $p$ has a term $t=a u \in \mathbb{T}(a \neq 0)$ such that $u=\operatorname{lm}(f) \times v, v \in L(\operatorname{lm}(f), \operatorname{lm}(F))$. It yields the $L-$ reduction $p \rightarrow g=$ $p-(a / l c(f)) f v$.
(ii). $p$ is $L$-reducible modulo $F$ if there exists $f \in F$ such that $p$ is $L$-reducible modulo $f$.
(iii). $p$ is in $L$-normal form modulo $F$ if $p$ is not $L$-reducible modulo $F$.

We denote the $L$-normal form of $p$ modulo $F$ by $N F_{L}(p, F)$. In contrast, the conventional normal form will be denoted by $N F(p, F)$. If monomial $u$ is multiplicative to $\operatorname{lm}(f)(f \in F)$ and $h=f u$ we shall write $h=f \times u$.

Definition 2.12 A finite polynomial set $F$ is $L$-autoreduced if the leading monomial set $\operatorname{lm}(F)$ of $F$ is $L$-autoreduced and every $f \in F$ does not contain monomials involutively multiple of any element in $\operatorname{lm}(F)$.
Theorem 2.13 /23; If set $F \subset \mathbb{R}$ is $L$-autoreduced, then $N F_{L}(p, F)=0$ iff $p \in$ $\mathbb{R}$ is presented in the form $p=\sum_{: 0} c_{i} f_{i} \times u_{i j}$ where $f_{i} \in F, c_{i} \in K$, and $u_{i j} \in$ $L(l m(f), l m(F))$ are such that $u_{i j} \neq u_{i k}$ for $i \neq k$.

Corollary 2.14 [2S] If polynomial set $F$ is $L \sim$ autoreduced, then $N F_{L}(p, F)$ is uniquely dofined for any $p \in \mathrm{R}$, and $N F_{L}\left(p_{1}+p_{2}, F\right)=N F_{L}\left(p_{1}, F\right)+N F_{L}\left(p_{2}, F\right)$.

Definition 2.15 An $L$-autoreduced set $F$ is called ( $L$-)incolutive if

$$
(\forall f \in F)(\forall u \in V)\left[N F_{L}(f u, F)=0\right]
$$

$$
\begin{equation*}
\left.(\forall f \in F)(\forall u \in \mathbb{N})(l m(f) \cdot u \prec v) \quad N F_{L}(f u, F)=0\right] \tag{4}
\end{equation*}
$$

then $F$ is called partially involutive up to the monomial $v$ with respect to the admissible ordering $\prec . F$ is still said to be partially involutive up to $v$ if $v \prec \ln (f)$ for all $f \in F$.

Definition 2.18 An involutive set $F$ will be called involutive basis of the ideal $I d(F)$ if all the polynomials in $F$ are monic.

Theorem 2.17 [23/ An $L$-autoreduced set $F \subset 3$ is involitive with respect to a continuous involutive division $L$ iff the following (local) involutivity conditions hold

$$
(\forall f \in F)\left(\forall x_{i} \in N M(f, F)\right)\left\langle N F_{L}\left(f \cdot x_{i}, F\right)=0\right.
$$

Correspondingly, partial involutivity (4) holds iff

$$
(\forall f \in F)\left(\forall x_{1} \in N M(f, F)\right)\left(l m(f) \cdot x_{i} \prec v\right) \mid N F_{L}\left(f \cdot x_{1}, F\right)=0_{i} .
$$

Theorem 2.18 [23] If $F \subset \mathbb{Z}$ is an $L-i n v o l u t i v e ~ b a s i s, ~ t h e n ~ i t ~ i s ~ a l s o ~ a ~ G r o ̈ b n e r ~ b a v i s, ~$ and the equality of the conventional and $L$-normal forms $A F(p, F)=\lambda F_{L}(p, F)$ holds for ary polynomial $p \in \mathcal{Z}$. If set $F$ is partially involutive up to the monomial $\because$, the:t the equality of the normal forms $N F(y, F):=N F_{L}(p, F)$ holds for omy $p \in \sum$ such thuet $\operatorname{lm}(p) \prec v$.

Theorem 2.19 [23] Let $F$ be a finite $L$-autoreduced polynomial set, and let $g \cdot x$ be a non-multiplicative prolongation of $g \in F$. Then $N F_{L}(g \cdot x, F)=0$ if the following holds

$$
\begin{gathered}
(\forall h \in F)(\forall u \in \mathbb{M})(\operatorname{lm}(h) \cdot u \prec \operatorname{lm}(g \cdot x))\left[N F_{L}(h \cdot u, F)=0\right] \\
\left(\exists f, f_{0}, g_{0} \in F\right)\left[\begin{array}{l}
\operatorname{lm}\left(f_{0}\right)\left|\operatorname{lm}(f), \operatorname{lm}\left(g_{0}\right)\right| \operatorname{lm}(g) \\
\left.\operatorname{lm}(f)\right|_{L} l m(g \cdot x), \operatorname{lcm}\left(f_{0}, g_{0}\right) \prec \operatorname{lm}(g \cdot x) \\
N F_{L}\left(f_{0} \cdot \frac{l t(f)}{l\left(f\left(f_{0}\right)\right.}, F\right)=N F_{L}\left(g_{0} \cdot \frac{l t(g)}{l t\left(g_{0}\right)}, F\right)=0
\end{array}\right]
\end{gathered}
$$

## 3 Examples of Involutive Divisions

First of all, we give three examples of involutive division used in $[17,24,16]$ for analysis of algebraic differential equations. For the proof of validity of properties (a)-(c) in Definition 2.1 for these divisions we refer to [23].

Example 3.1 Thomas division [24]. Given a finite set $U \subset \mathbb{M}$, the variable $x_{i}$ is considered as multiplicative for $u \in U$ if $\operatorname{deg}_{\mathrm{i}}(u)=\max \left\{\operatorname{deg}_{\mathrm{i}}(v) \mid v \in U\right\}$, and nonmultiplicative, otherwise.

Example 3.2 Janet division [17]. Let set $U \subset \mathbb{M}$ be finite. For each $1 \leq i \leq n$ divide $U$ into groups labeled by non-negative integers $d_{1}, \ldots, d_{i}$ :

$$
\left[d_{1}, \ldots, d_{i}\right]=\left\{u \in U \mid d_{j}=\operatorname{deg}_{j}(u), 1 \leq j \leq i\right\}
$$

A variable $x_{i}$ is multiplicative for $u \in U$ if $i=1$ and $\operatorname{deg}(u)=\max \left\{\operatorname{deg}_{1}(v) \mid v \in U\right\}$, or if $i>1, u \in\left[d_{1}, \ldots, d_{i-1}\right]$ and $\operatorname{deg}_{i}(u)=\max \left\{\operatorname{deg}_{i}(v) \mid v \in\left[d_{1}, \ldots, d_{i-1}\right]\right\}$.
Example 3.3 Pommaret division [16]. For a monomial $u=x_{1}^{d_{1}} \cdots x_{k}^{d_{k}}$ with $d_{k}>0$ the variables $x_{j}, j \geq k$ are considered as multiplicative and the other variables as non-multiplicative. For $u=1$ all the variables are multiplicative.

Now we present two more examples of divisions which, as does Thomas division, do not rest on the variable ordering.
Example 3.4 Division I. Let $U$ be a finite monomial set. The variable $x_{i}$ is nonmultiplicative for $u \in U$ if there is $v \in U$ such that

$$
x_{i_{1}}^{d_{1}} \cdots x_{i_{m}}^{d_{m}} u=\operatorname{lcm}(u, v), \quad 1 \leq m \leq[n / 2], \quad d_{j}>0(1 \leq j \leq m),
$$

and $x_{i} \in\left\{x_{i_{1}}, \ldots, x_{i_{m}}\right\}$.
Example 3.5 Division II. For monomial $u=x_{1}^{d_{1}} \cdots x_{k}^{d_{n}}$ the variable $x_{i}$ is multiplicative if $d_{i}=d_{\max }(u)$ where $d_{\max }(u)=\max \left\{d_{1}, \ldots, d_{n}\right\}$.

To distinguish the above divisions, the related subscripts $T, J, P, I, I I$ will be used We note that

- Thomas division, Divisions I and II do not depend on the ordering on the variables $x_{i}$. Two other divisions, as defined, are based on the ordering (1) of the variables.
- Pommaret division and Division I are globally defined in accordance with Definition 2.1, ard, hence, admit extension to infinite monomial sets.


## Proposition 3.6 Divisions I and II are involutive.

Proof Division I. First of all, we prove that the condition (a) in Definition 2.1 is fulfilled. Let $u \neq v$ be elements in $U$ such that $\left.u\right|_{r} w$ and $\left.v\right|_{r} w$ for some $w \in \mathbb{M}$. If $\left.u\right|_{I} v$ or $\left.v\right|_{I} u$, then we are done. Otherwise, $\operatorname{lcm}(u, v) / u$ or $\operatorname{lcm}(u, v) / v$ contains nonmultiplicative variables for $u$ or $v$, respectively. Because $l c m(u, v) \mid w$, it follows that $w$ cannot be involutively multiple of both $u$ and $v$.

Consider now $u \in U$ such that $\left.u\right|_{r} v$ for some $v \in U$, and $v \neq u$. Suppose $\left.v\right|_{r} w$ for some $w \in \mathbb{M}$, and assume for a contradiction that $w$ is not involutively multiple of $u$. Then there are variables $x_{i_{1}}, \ldots, x_{i_{m}}(1 \leq m \leq[n / 2]$ containing in $w / v$ which are non-multiplicative for $u$ and there is $t \in U$ such that $u x_{i_{1}}^{k_{1}} \cdots x_{i_{m}}^{k_{m}}=l c m(u, t)$. Because $v / u$ does not contain $x_{i_{1}}, \ldots, x_{i_{m}}$ it follows $v x_{i_{1}}^{k_{1}} \cdots x_{i_{m}}^{k_{m}}=l c m(v, t)$, that contradicts our assumption that $w \in v L(v, U)$ and proves the fulfillment of condition (b).

The condition (c) holds too, since an enlargement of the set $U$ may, obviously, only produce extra non-multiplicative variables for any $u \in U$.

Division II. Let $u$ with $d_{u}=d_{\max }(u)$ be an involutive divisor of some monomial $w \in \mathbb{M}$. Then, by definition, $\operatorname{deg}_{i}(u)=\min \left(\operatorname{deg}_{i}(w), d_{u}\right)$. Thus, given monomial $w$ and number $d_{u}$ such that $d_{u} \leq d_{w}$ where $d_{w}=d_{\max }(w)$, the corresponding involutive divisor $u$ of $w$ is uniquely defined. If there are two involutive divisors $u, v$ of $w$ with $d_{u}<d_{v}$, then it follows that

$$
\begin{array}{ll}
\operatorname{deg}_{i}(u)=\operatorname{deg}_{i}(v)=\operatorname{deg}_{i}(w) & \text { if } \operatorname{deg}_{i}(w) \leq d_{u} \\
d_{u}<\operatorname{deg}_{i}(v)=\min \left(\operatorname{deg}_{i}(w), d_{v}\right) & \text { if } \quad \operatorname{deg}_{i}(w)>d_{u}
\end{array}
$$

Hence, $u$ is involutive divisor of $v$ and the condition (a) is fulfiled.
The condition (b) is an easy consequence of the relations $\operatorname{deg}_{i}(u)=\min \left(\operatorname{deg}_{i}(v), d_{u}\right)$ and $\operatorname{deg}_{i}(v)=\min \left(\operatorname{deg}_{i}(w), d_{v}\right)$.

The condition (c) holds trivially, because the division as well as Pommaret one does not depend on monomial set $U$ at all.
Proposition 3.7 For any finite monomial set $U$ and for any monomial $u \in U$, the inclusion $M_{T}(u, U) \subseteq M_{I}(u, U)$ and, respectively, $N M_{I} \subseteq N M_{T}(u, U)$ holds.
Proof If $x_{i} \in N M_{I}(u, U)$, then, obviously, $\operatorname{deg}_{i}(u)<h_{i}=\max \left\{\operatorname{deg}_{i}(u) \mid u \in U\right\}$ and, hence, $x_{i} \in N M_{T}(u, U)$.

Example 3.8 $U=\left\{x^{2}, x y, z\right\}(x \succ y \succ z)$.

| monomial | Thomas |  | Janet |  | Pommaret |  | Division I |  | Division II |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $M_{T}$ | $N M_{T}$ | $M_{J}$ | $N M_{J}$ | $M_{P}$ | $N M_{P}$ | $M_{I}$ | $N M_{I}$ | $M_{I I}$ | $N M_{I I}$ |
| $x^{2}$ | $x$ | $y, z$ | $x, y, z$ | - | $x, y, z$ | - | $x$ | $y, z$ | $x$ | $y, z$ |
| $x y$ | $y$ | $x, z$ | $y, z$ | $x$ | $y, z$ | $x$ | $y$ | $x, z$ | $x, y$ | $z$ |
| $z$ | $z$ | $x, y$ | $y, z$ | $x$ | $z$ | $x, y$ | $y, z$ | $x$ | $z$ | $x, y$ |

Proposition 3.9 Divisions given by Examples 3.1-3.5 are continuous. All these divisions except that of Pommaret are also noetherian.
Proof The proof for Thomas, Janet and Pommaret divisions is given in [23]. Consider Divisions I and II.

Continurty. Let $U$ be a finite set, and $\left\{u_{i}\right\}_{(1 \leq i \leq M)}$ be a sequence of elements in $U$ satisfying the conditions (3). In accordance with Definition 2.9 we shall show that there are no coinciding elements in the sequence for each of the two divisions. There are the following two alternatives:

$$
\begin{equation*}
\text { (i) } u_{i}=u_{i-1} \cdot x_{j} ; \quad \text { (ii) } u_{i} \neq u_{i-1} \cdot x_{j} \text {. } \tag{5}
\end{equation*}
$$

Extract from the sequence $\left\{u_{i}\right\}$ the subsequence $\left\{t_{k} \equiv u_{i_{k}}\right\}_{(1 \leq k \leq K \leq M)}$ of those elements which occur in the left-hand side of relation (ii) in (5).

Division $I$. Show that $\left.t_{k}\right|_{l} l c m\left(t_{k-1}, t_{k}\right)$ and $t_{k} \neq \operatorname{lcm}\left(t_{k-1}, t_{k}\right)$. We have $t_{k} \times \tilde{w}_{k}=$ $u_{i_{k}-1} \cdot x_{j_{k}}=t_{k-1} \cdot \tilde{v}_{k-1}$ where $\neg \tilde{w}_{k} \mid \tilde{v}_{k-1}$. Indeed, suppose $\tilde{w}_{k} \mid \tilde{v}_{k-1}$. Apparently, this implies the relation $t_{k}=u_{l} \cdot z_{l}$ where $i_{k-1} \leq l<i_{k}$, and the variable $x_{j_{l}} \in N M\left(u_{l}, U\right)$, which figures in Definition 2.9 of the sequence $\left\{u_{i}\right\}$, satisfies $x_{j_{i}} \mid \tilde{w}_{k}$ and $\neg x_{j_{i} \mid} \mid z_{l}$. It follows that $l \mathrm{~cm}\left(t_{k}, u_{l+1}\right)=t_{k} x_{j_{l}}$ what, in accordance with definition of the division in Example 3.4, contradicts multiplicativity of $x_{j_{t}}$ for $t_{k}$.

Therefore, we obtain the relation

$$
\left\{\begin{array}{l}
t_{k} \cdot v_{k}=t_{k+1} \times w_{k+1}  \tag{6}\\
g c d\left(v_{k}, w_{k+1}\right)=g c d\left(v_{k}, w_{k}\right)=1
\end{array}\right.
$$

where $w_{k+1}$ contains more then $[n / 2]$ variables with positive exponents, and, hence, $v_{k}$ contains only non-multiplicative variables for $t_{k}$.

We claim now that any $v_{j}$ occurring in (6) with $j>k$ as well as $v_{k}$ contain only non-multiplicative variables for $t_{k}$. For $j=k+1$ we multiply $t_{k} v_{k}$ by $v_{k+1}$

$$
\left\{\begin{array}{l}
t_{k} v_{k} v_{k+1}=\left(t_{k+1} \cdot v_{k+1}\right) w_{k+1}=\left(t_{k+2} \times w_{k+2}\right) w_{k+1}, \\
\operatorname{gcd}\left(v_{k}, w_{k+1}\right)=\operatorname{gcd}\left(v_{k+1}, w_{k+1}\right)=\operatorname{gcd}\left(v_{k+1}, w_{k+2}\right)=1
\end{array}\right.
$$

It yields

$$
\left\{\begin{array}{l}
t_{k} \hat{v}_{k} v_{k+1}=\left(t_{k+2} \times \hat{w}_{k+2}\right) w_{k+1}  \tag{7}\\
g c d\left(\hat{v}_{k} v_{k+1}, \hat{w}_{k+2} w_{k+1}\right)=1
\end{array}\right.
$$

Because $w_{k+1}$ contains more than $[n / 2]$ variables, the number of variables occurring in the product $\hat{v}_{k} v_{k+1}$ is less or equal ( $n / 2$ ], and, thus, variables which are multiplicative for $t_{k}$ are not contained in $v_{k+1}$.

If we proceed, sequentially multiplying the upper equality in ( 7 ) by $v_{k+j}(j=2, \ldots)$, rewriting the right-hand side of every product in terms of $t_{k+j+1}$ and cancelling the common factors, then we obtain the equality

$$
\left\{\begin{array}{l}
t_{k} \hat{v}_{k} \cdots \hat{v}_{k+j-1} v_{k+j}=\left(t_{k+j+1} \times \hat{w}_{k+j+1}\right) \hat{w}_{k+1} \cdots \hat{w}_{k+j-1} w_{k+j} \\
\operatorname{gcd}\left(\hat{v}_{k} \cdots \hat{v}_{k+j-1} v_{k+j}, \hat{w}_{k+j+1} \hat{w}_{k+1} \cdots \hat{w}_{k+j-1} w_{k+j}\right)=1 .
\end{array}\right.
$$

It proves the claim and implies $t_{i} \neq t_{j}$ for $i \neq j$.
It remains. to prove that elements of the sequence $\left\{u_{i}\right\}_{(1 \leq i \leq M)}$ which occur in the left hand side of relation (i) in (5) are also distinct. Assume for a contradiction that there are two elements $u_{j}=u_{k}$ with $j<k$. In between these elements there is, obviously, an element from the left-hand side of relation (ii) in (5). Let $u_{i_{m}}\left(j<i_{m}<k\right)$ be the nearest such element to $u_{j}$. Considering the same non-multiplicative prolongations of $u_{k}$ as those of $u_{j}$ in the initial sequence, one can construct a sequence such that the subsequence of the left-hand sides of relation (ii) in (5) has two identical elements $u_{i_{k}}=u_{i_{m}}$ with $i_{k}>i_{m}$.

Division II. The above defined elements $t_{k}$ which occur in the left-hand side of the relation (ii) in (5) are distinct because $d_{\max }\left(t_{k+1}\right)<d_{\max }\left(t_{k}\right)$. The other elements occurring in relation (i) in (5) are also distinct since $\operatorname{deg}\left(u_{i_{k}+j}\right)=\operatorname{deg}\left(u_{i_{k}+j-1}\right)+1$ $\left(j=1, \ldots, i_{k+1}-i_{k}-1\right)$ and

$$
d_{\max }\left(t_{k}\right)=d_{\max }\left(u_{i_{k}+1}\right)=\cdots=d_{\max }\left(u_{i_{k+1}-1}\right)
$$

Noetherity. Division I. Its noetherity follows from Proposition 3.7 and noetherity of Thomas division, since every Thomas involutive closure of a set $U$, obviously, is also its closure with respect to the Division I.

Division II. Given a finite set $U \subset \mathrm{M}$ and $u \in U$ with $d_{u}=d_{\max }(u)$, complete the set by the monomial $x_{1}^{d_{u}} \cdots x_{n}^{d_{u}}$ and all its divisors multiple of $u$. If we do such a completion for every $u \in U$ we obtain, apparently, an involutive closure of $U$.

## 4 Minimal Involutive Monomial Bases

Let $U$ be a finitely generated monomial set with respect to involutive division $L$. In this case a finite involutive closure $\bar{U} \supseteq U$ form the involutive basis of the monomial idcal generated by $U$. A monomial ideal may not have the unique involutively autoreduced basis. For instance, from the definition of Janct division given in Example 3.2 it is easy to see that any finite monomial set is Janet autoreduced. Therefore, completion of a Janet basis by a multiple of any its element gives another Janet basis. Similarly, Thomas division and Division I do not provide uniquencess of involutively autoreduced bases whereas Pommaret division and Division II do, as the next proposition shows.

Proposition 4.1 Let $L$ be a globally defined involutive division. Then any monomial ideal has the unique $L$-autoreduced involutive basis.

Proof Assume that there are two distinct $L$-autoreduced bases $\bar{U}_{1}$ and $\bar{U}_{2}$ of the monomial ideal $I d(U)$ where $U$ is a finite monomial set generating the ideal and autoreduced in the sense of the conventional monomial division. Both $\bar{U}_{1}$ and $\bar{U}_{2}$ are apparently involutive closures of $U$. It follows $\bar{U}_{1} \backslash \bar{U}_{2} \neq \emptyset$ and $\vec{U}_{2} \backslash \bar{U}_{1} \neq \emptyset$. Otherwise one of sets $\bar{U}_{1}, \bar{U}_{2}$ would contain another, and, hence, could not be involutively autoreduced. Indeed, let $\bar{U}_{2} \subset \bar{U}_{1}$. Then any element of $u \in \bar{U}_{1} \backslash \bar{U}_{2}$ is multiple of some element in $U$, and, in accordance with Definition $2.5, u$ is involutively multiple of some element $v \in \bar{U}_{2}$.

We obtain that for any $u \in \bar{U}_{1} \backslash \bar{U}_{2}$ there is $v \in \bar{U}_{2} \backslash \bar{U}_{1}$ such that $\left.v\right|_{L} u$ and for any $v \in \bar{U}_{2} \backslash \bar{U}_{1}$ there is $w \in \bar{U}_{1} \backslash \bar{U}_{2}$ such that $w{ }_{j}{ }_{L} v$. Thus, by property (b) in Definition 2.1, given $u \in \bar{U}_{1} \backslash \bar{U}_{2}$ there exist $w \in \bar{U}_{1} \backslash \bar{U}_{2}$ such that $\left.w\right|_{L} u$. Since $\bar{U}_{1}$ is $L$-autoreduced, it is possible only if $u=w$. But this implies $u=v$. The obtained contradiction proves the proposition.

Definition 4.2 Let $L$ be an involutive division, and $I d(U)$ be a monomial ideal. Then its $L$-involutive basis $\bar{U}$ will be called minimal if for any other involutive basis $\bar{V}$ of the same ideal the inclusion $\bar{U} \subseteq \bar{V}$ holds.

Proposition 4.3 If $U \subset \mathrm{M}$ is a finitely generated set with respect to a continuous involutive division, then monomial ideal $I d(U)$ has the minimal involutive basis.

Proof The proof follows immediately from Proposition 2.7 and existence of the minimal involutive closure for a finitely generated set [23].
To construct the minimal involutive basis for the ideal generated by a given finite monomial set one can use the following algorithm which is a slightly modified algorithm InvolutiveClosure of paper [23].

Algorithm MinimalInvolutiveMonomialBasis:
Input: $U$, a finite monomial set
Output: $\vec{U}$, a minimal involutive basis of $I d(U)$
begin
$\bar{U}:=$ Autoreduce $(U)$
1
choose any admissible monomial ordering $\prec$
while exist $u \in \vec{U}$ and $x \in N M(u, \bar{U})$ s.t.
$u \cdot x$ has no involutive divisors in $\bar{U}$ do
choose such $u, x$ with the lowest $u \cdot x$ w.r.t. $\prec$
$\bar{U}:=\bar{U} \cup\{u \cdot x\}$
end
end

The proof of correctness and termination, for a finitely generated set, of this algorithm is the same as that of algorithm InvolutiveClosure [23] if Proposition 2.7 is taken into account. In effect the below algorithm constructs the minimal involutive closure of the autoreduced, in the sense of the conventional monomial division, initial monomial set. This autoreduction is just done in line 2 of the algorithm.
Example 4.4 (Continuation of Example 3.8). The minimal involutive bases of the ideal generated by the set $U=\left(x^{2}, x y, z\right)(x \succ y \succ z)$ are given by

$$
\begin{aligned}
& \bar{U}_{R}=\left\{x^{2}, x y, z, x z, y z, x^{2} y, x y z, x^{2} z, x^{2} y z\right\}, \\
& \bar{U}_{J}=\left\{x^{2}, x y, z, x z, y z\right\}, \\
& \bar{U}_{P}=\left\{x^{2}, x y, z, x z, y z, y^{2} z, \ldots, y^{k} z, \ldots\right\}, \\
& \bar{U}_{I}=\left\{x^{2}, x y, z, x z, x^{2} y, x y z, x^{2} z, x^{2} y z\right\}, \\
& \bar{U}_{I I}=\left\{x^{2}, x y, z, x z, y z, x y z\right\},
\end{aligned}
$$

where $k \in \mathbb{N}(k>2)$, and subscripts in the left-hand sides stand for different involutive divisions considered in Section 3. This example explicitly shows that Pommaret division is not noetherian. However, for another ordering $z \succ x \succ y$ the set $U$ is finitely generated, and then $\bar{U}_{P}=U$.

One should note that selection of a $L$-irreducible non-multiplicative prolongation which is lowest with respect to an admissible monomial ordering and which we call normal is of fundamental importance for the above algorithm. We demonstrate this fact by the following example.

Example 4.5 Let $U=\left\{x^{2}, x z, y\right\}$ and $L$ be Pommaret division with $x \succ y \succ z$. By the normal selection strategy, the lowest irreducible non-multiplicative prolongation is $y \cdot x$ with respect to any admissible monomial ordering. Enlargement of $U$ by $x y$ gives the Pommaret basis $\bar{U}=\left\{x^{2}, x y, x z, y\right\}$ of ideal $I d(U)$ which is obviously minimal. This shows that $U$ is a finitely generated set. However, if we would take first the prolongation $x z \cdot y$ which is involutively irreducible modulo $U$, but not lowest, then we might obtain the infinite chain of irreducible prolongations:

$$
x z \rightarrow x y z \rightarrow x y^{2} z \cdots \rightarrow x y^{k} z \rightarrow \cdots
$$

Definition 4.6 Let $L$ be a continuous involutive division, $U$ be a finite monomial set and $V=\operatorname{Autoreduce}(U)$. Then set $U$ will be called ( $L-$ )compact if $U=V$ or $U$ is obtained from $V$ in the course of the above algorithm.
As an immediate consequence of this definition we have the following coroliary.
Corollary 4.7 If $U \subset \mathbb{M}$ is a finitely generated set with respect to a continuous involutive division $L$, then a compact involutive basis of ideal $I d(U)$ is minimal.

## 5 Minimal Involutive Bases of Polynomial Ideals

In paper [23] we proposed the following algorithm for computation of involutive bases of polynomial ideals.

Algorithm InvolutiveBasis:

```
Input: \(F\), a finite polynomial set
Output: \(G\), an involutive basis of the ideal \(\operatorname{Id}(F)\)
begin
    \(G:=\) Autoreduce \((F) ; T:=\emptyset\)
    for each \(g \in G\) do \(T:=T \cup\{(g, \operatorname{lm}\langle g\rangle, \emptyset)\}\)
    while exist \((g, u, P) \in T\) s.t. \(N M(g, G) \backslash P \neq 0\) do
        choose such \((g, u, P), x\) with the lowest \(\operatorname{lm}(g) \cdot x\) w.r.t. \(\prec\)
        \(T:=T \backslash\{(g, u, P)\} \cup\{(g, u, P \cup\{x\})\}\)
        if Criterion \((g \cdot x, u, T)\) is false then \(h:=N F_{L}(g \cdot x, G)\)
            if \(h \neq 0\) then \(G:=\) Autoreduce \(_{L}(G \cup\{h\})\)
                    if \(\operatorname{lm}(h)=\operatorname{lm}(g \cdot x)\) then \(T:=T \cup\{(h, u, \emptyset)\}\)
                    else \(T:=T \cup\{(h, l m(h), \emptyset)\}\)
        \(Q:=T ; T:=\emptyset\)
        for each \(g \in G\) do
            if exist \((f, u, P) \in Q\) s.t. \(\operatorname{lm}(f)=\operatorname{lm}(g)\) then
                    choose \(g_{1} \in G\) s.t. \(\left.\operatorname{lm}\left(g_{1}\right)\right|_{L} u\)
                    \(T:=T \cup\left\{\left(g, \operatorname{lm}\left(g_{1}\right), P\right)\right\}\)
                    else \(T:=T \cup\{(g, \operatorname{lm}(g), 0)\}\)
        end
end
```

Criterion $(0, v, T)$ is
Criterion $(g, u, T)$ is true provided that if there is $(f, v, D) \in T$ such that $\left.\operatorname{lm}(f)\right|_{L} \operatorname{lm}(g)$ and $\operatorname{lcm}(u, v) \quad \prec \operatorname{lm}(g)$. Correctness of this criterion, which is just the involutive form [23] of the Buchberger's chain criterion, is provided by Theorem 2.19.

In the algorithm the initial polynomial set $F$ is subject, first of all, to the conventional autoreduction in line 2. Next are two main steps which are sequentially made:
(i). By the normal strategy, a non-multiplicative prolongation $g \cdot x$ of element $g$ in the intermediate basis $G$ with the lowest $\operatorname{lm}(g \cdot x)$ is selected in line 5 . If there are several different non-multiplicative prolongations with the same leading term, then any of them may be selected.
(ii). If $h=N F_{L}(g \cdot x, G) \neq 0$, then $G$ is enlarged by $h$, and the involutive autoreduction of the enlarged set is done in line 8 .

In order to apply the criterion in line 7 for elimination of superfluous involutive reductions and also to avoid repeated prolongations, the auxiliary set $T$ of triples ( $g, u, P$ )
is used. Here $g \in G$, and $u$ is either the lowest, with respect to the ordering $\prec$, leading monomial in $\operatorname{lm}(G)$ such that $g$ was produced by non-multiplicative prolongations of $f \in G$ with $u=\operatorname{lm}(f)$, or $u=\operatorname{lm}(g)$ if there is no such $f$ in $G$. Those variables in $N M(g, G)$ have been chosen in line 5 are collected in set $P$.

Definition 5.1 A finite involutive basis $G$ of ideal $I d(G)$ is called minimal if $l t(G)$ is the minimal involutive basis of the monomial ideal generated by $\{l t(f) \mid f \in I d(G)\}$.

## Theorem 5.2 The minimal involutive basis is unique.

Proof Assume for a contradiction that a polynomial ideal $I d(F)$ has two distinct minimal involutive bases $G_{1}$ and $G_{2}$. Their minimality means that $\operatorname{lm}\left(G_{1}\right)=\operatorname{lm}\left(G_{2}\right)$ Since $G_{1}$ and $G_{2}$ are distinct there are $g_{1} \in G_{1}$ and $g_{2} \in G_{2}$ such that $l t\left(g_{1}\right)=\operatorname{lt}\left(g_{2}\right)$ but $g_{1} \neq g_{2}$. Since $g_{1}-g_{2} \in I d(F)$, by Theorem 2.18, we have $N F_{L}\left(g_{1}-g_{2}, G_{1}\right)=$ $N F_{L}\left(g_{1}-g_{2}, G_{2}\right)=0$. Therefore, at least one of the sets $G_{1}, G_{2}$ is not involutively autoreduced, and, hence, in accordance with Definition 2.16, it cannot be involutive basis.

For a globally defined involutive division, by Proposition 4.1, this proof, obviously, is also valid for polynomial ideals with infinite involutive bases. therefore, we have the following corollary.

Corollary 5.3 Given a globally defined involutive division, every polynomial ideal has the unique involutive basis.

Thus, given a globally defined involutive division $L$, the output of algorithm InvolutiveBasis, in the case of its termination, is unique for a given polynomial ideal irrespective of an ideal generating set $F$ in the input.

However, even though the algorithm may not terminate it is still able to compute a Gröbner basis as the following proposition shows.

Proposition 5.4 Let $L$ be a continuous involutive division and $G$ be an intermediate polynomial basis generated by algorithm InvolutiveBasis. If the ordering $\prec$ is degree compatible, then in a finite number of steps $G$ becomes a Gröbner basis.

Proof Let the current prolongation $g \cdot x$ is such that $h=N F_{L}(g \cdot x, G) \neq 0$. Then at the second main step of the algorithm (step (ii) as described above), the intermediate polynomial set is enlarged by $h$. In so doing there are two alternatives:

$$
\text { (a) } \operatorname{lm}(h)=\operatorname{lm}(g \cdot x) ; \quad \text { (b) } \operatorname{lm}(h) \prec \operatorname{lm}(g \cdot x) .
$$

In the latter case $\operatorname{lm}(g \cdot x)$ is involutively reducible by some $l t(f) \in l t(G)$, that is, $\operatorname{lm}(g) \cdot x=\operatorname{lm}(f) \times u$. Then, by Theorem 2.13 and Corollary 2.14 we have the equality $N F_{L}(g \cdot x, G)=N F_{L}(S(f, g), G)$ where $S(f, g)=g \cdot x-f \times u$ is an $S$-polynotial.

In this case, unlike the case ( $a$ ), the monomial ideal $I d(l m(G))$ is changed. Indeed, let there is a polynomial $h_{1} \in G$ such that $\operatorname{lm}(h)$ is multiple of $\operatorname{lm}\left(h_{1}\right)$ but not involutively multiple, that is, $\operatorname{lm}(h)=\operatorname{lm}\left(h_{1}\right) \cdot\left(\operatorname{lm}(h) / \operatorname{lm}\left(h_{1}\right)\right)$. By the normal selection strategy, set $G$ satisfies the condition (4) of partial involutivity up to the monomial $l m(h)$ with respect to the ordering $\prec$ what implies $N F_{L}(h, F)=0$.

Furthermore, by Theorem 2.18, $N F_{L}\left(S\left(g_{1}, g_{2}\right), G\right)=N F\left(S\left(g_{1}, g_{2}\right), G\right)=0$ for any $S$-polynomial $S\left(g_{1}, g_{2}\right),\left(g_{1}, g_{2} \in G\right)$ with $\operatorname{lcm}\left(\operatorname{lm}\left(g_{1}\right), \operatorname{lm}\left(g_{2}\right)\right) \prec \operatorname{lm}(g \cdot x)$.

It remains to prove that every $S\left(g_{1}, g_{2}\right)$ such that $N F\left(S\left(g_{1}, g_{2}\right), G\right) \neq 0$ is computed at some step of the algorithm. Since set $G$ is $L$-autoreduced, monomial $u=$ $\operatorname{lcm}\left(\operatorname{lm}\left(g_{1}\right), \operatorname{lm}\left(g_{2}\right)\right)$ cannot be involutively multiple of both $\operatorname{lm}\left(g_{1}\right), \operatorname{lm}\left(g_{2}\right)$. Hence, by degree compatibility of the ordering $\prec$, in a finite number of steps at least one of $g_{1}, g_{2}$ will be non-multiplicatively prolonged to a polynomial $g$ with $\operatorname{lm}(g)=u$. Let $g$ is obtained by non-multiplicative prolongations of $g_{1}$, and the current prolongation is $g$ with $u=\operatorname{lm}\left(g_{1}\right) \cdot\left(u / \operatorname{lm}\left(g_{1}\right)\right)$. If $u$ is involutively multiple of $\operatorname{lm}\left(g_{2}\right)$ or $\operatorname{lm}\left(g_{3}\right)$ where $g_{3}$ is a polynomial obtained in the course of the algorithm by non-multiplicative prolongations of $g_{2}$, then we are done.

Otherwise, there is to be $\bar{g} \in G$ such that $u=\operatorname{lm}(\bar{g})=\operatorname{lm}\left(g_{2}\right) \cdot\left\langle u / \operatorname{lm}\left(g_{2}\right)\right)$, and one of the two polynomials $g, \tilde{g}$ will be constructed, and then another. Since their leading monomials coincide, the leading monomial of the latter will be involutively reducible by the leading monomial of the former.

Though, by Corollary 5.3, algorithm InvolutiveBasis, if it terminates, computes the minimal involutive basis for a globally defined involutive division it may not be the case for arbitrary involutive division. If we use, for instance, any of divisions in Examples 3.1-3.2 and 3.4, then, given a polynomial ideal $\operatorname{Id}(F)$, the algorithm output depends on the structure of input generating set $F$.
Example 5.5 Let $F=\left\{x^{2} y-1, x y^{2}-1, y^{4}-1\right\}$. The lexicographical Janet basis for $x \succ y \succ z$ computed by algorithm InvolutiveBasis is

$$
\left\{x^{2} y-1, x^{2}-1, x y^{2}-1, x y-1, x-1, y^{4}-1, y^{3}-1, y^{2}-1, y-1\right\}
$$

The reduced Gröbner basis $\{x-1, y-1\}$ of $I d(F)$ is also the minimal Janet basis.
Proposition 5.6 If algorithm InvolutiveBasis takes the reduced Gröbner basis as input it produces the minimal involutive basis.
Proof Let $g \cdot x$ be a non-multiplicative prolongation of element $g$ in intermediate polynomial set $G$, and $h=N F_{L}(g \cdot x, G)$. We note that either $h=0$ or $\operatorname{lm}(h)=\operatorname{lm}(g \cdot x)$. Otherwise, as shown in the proof of Proposition 5.4, $\operatorname{lm}(h)$ would not belong to monomial ideal $\operatorname{Id}(\operatorname{lm}(G))=\operatorname{Id}(\operatorname{lm}(F))$. Thus, the output monomial set $\operatorname{lm}(G)$ is constructed just as it would be done by applying algorithm MinimalInvolutiveMonomialBasis to $\operatorname{lm}(F)$. It follows that $\operatorname{lm}(G)$ is the minimal basis of $\operatorname{Id}(\operatorname{lm}(F))$.

The following algorithm constructs a minimal involutive basis, and generally deals with less number of intermediate polynomials than algorithm InvolutiveBasis causing the computational efficiency to increase.

Theorem 5.7 Let $F$ be a finite subset of $\mathbb{R}$ and $L$ be a continuous involutive division. Suppose ordering $\succ$ is degree compatible. Then algorithm MinimallnvolutiveBasis computes the minimal involutive basis of $\operatorname{Id}(F)$ if this basis is finite. If $L$ is noetherian, then the minimal involutive basis is computed for any ordering.

## Algorithm MinimalInvolutiveBasis:

## Input: $F$, a finite polynomial set

Output: $G$, the minimal involutive basis of the ideal $I d(F)$

## begin

:=Autoreduce $(F)$2
choose $g \in F$ with the lowest $\operatorname{lm}(g)$ w.r.t. $\prec$ ..... 3
$T:=\{(g, \operatorname{lm}(g), \emptyset)\} ; Q:=\emptyset ; G:=\{g\}$ ..... 5
for each $f \in F \backslash\{g\}$ do

    \(Q:=Q \cup\{(f, \operatorname{lm}(f), \emptyset)\}\)
    $Q:=Q \cup\{(f, l m(f), 0)\}$6
repeat7
$h:=0$
while $Q \neq \emptyset$ and $h=0$ do ..... 9
choose $g$ in $(g, u, P) \in Q$ with the lowest $\operatorname{lm}(g)$ w.r.t. $\prec$ ..... 10
11
if Criterion $(g, u, T)$ is false then $h:=N F_{L}(g, G)$ ..... 12
end
if $h \neq 0$ then $G:=G \cup\{h\}$ ..... 14
if $\operatorname{lm}(h)=\operatorname{lm}(g)$ then $T:=T \cup\{(h, u, P)\}$ ..... 15
else $T:=T \cup\{(h, l m(h), 0)\}$ ..... 16
17
$T:=T \backslash\{(f, v, D)\} ; Q:=Q \cup\{(f, v, D)\} ; G:=G \backslash\{f\}$ ..... 18 ..... 18
while exist $(g, u, P) \in T$ and $x \in N M(g, G) \backslash P$ and, if $Q \neq \emptyset$, ..... 19
s.t. $\operatorname{lm}(g \cdot x) \prec \operatorname{lm}(f)$ for all $f$ in $(f, v, D) \in Q$ do ..... 20choose such $(g, u, P), x$ with the lowest $\operatorname{lm}(g) \cdot x$ w.r.t. $\prec$$T:=T \backslash\{(g, u, P)\} \cup\{(g, u, P \cup\{x\})\}$
if Criterion $(g \cdot x, u, T)$ is false then $h:=N F_{L}(g \cdot x, G)$
if $h \neq 0$ then $G:=G \cup\{h\}$
if $\operatorname{lm}(h)=\operatorname{lm}(g \cdot x) \quad$ then $T:=T \cup\{(h, u, \emptyset)\}$
else $T:=T \cup\{(h, \operatorname{lm}(h), 0)\}$
for each $f$ in $(f, v, D) \in T$ with $\operatorname{lm}(f) \succ \operatorname{lm}(h)$ do
end
until $Q \neq \emptyset$
end21
$G:=G \cup\{h\}$
else $T:=T \cup\{(h, \operatorname{lm}(h), \emptyset)\}$

$$
T:=T \backslash\{(f, v, D)\} ; Q:=Q \cup\{(f, v, D\}) ; G:=G \backslash\{f\}
$$23

Proof Correctness. First of all, we recall that correctness of the involutive criterion which is verified in lines 14,23 follows from Theorem 2.19. As distinct from the algorithm InvolutiveBasis here are two disjoint subsets $T$ and $Q$ of the triples. They are
built in such a way that $\operatorname{lm}(g) \prec \operatorname{lm}(f)$ for any $g$ in $(g, u, P) \in T$ and $f$ in $(f, v, D) \in Q$. Let $\tilde{G}$ be a polynomial set $\{g \mid(g, u, P) \in Q\}$. First of all, we claim that ideal $I d(G \cup \tilde{G})$ is an invariant of the repeat-ioop. Indeed, it is trivially true upon initialization. Inside the loop, if a polynomial is removed from $G$ in lines 18 and 28 , then it is added to $\tilde{G}$. On the other hand, removal of a triple from $Q$, that is, the corresponding polynomial from $\tilde{G}$ in line 11, does not change $G$ iff $N F_{L}(g, G)=0$.

Furthermore, set $T$ is handied by the lower while-loop in lines 19-29 just as it done in algorithm InvolutiveBasis except for restriction in line 20 and the set contraction in lines 27-28. In the latter case all the elements in $G$ with $\operatorname{lm}(g) \succ \operatorname{lm}(h)$, where $h$ is the normal form of the current prolongation, are moved to $\vec{G}$. Thus, this whileloop preserves the property of partial involutivity up to monomial $v \prec l m(h)$ for the intermediate set $G$, in accordance with Theorems 2.17 and 2.18 , if there is a partially involutive set in the input of the loop. Besides, two elements with coinciding leading terms obviously never occur in set $\bar{G}$.

In what foilows poiynomials in $\tilde{G}$, if $\tilde{G} \neq 0$, are successively selected in accordance with the normal strategy; taken out of the set and $L$-reduced modulo $G$. The upper while-loop in lines $9-13$ proceeds until the normal form $h$ of the selected polynomial does not vanish. Then set $G$ is enlarged by $h$ in line 14 .

The repeai-loop terminates when set $\bar{G}$ becomes empty in line 11 and the lower while-loop does not lead to appearance of new elements in this set. It means that the output set $G$ is an involutive basis of ideal $I d(G)=I d(F)$. In so doing it is assumed that all the intermediate polynomials are monic. Their normalization can be performed in line 2 and in lines 12,23 when the involutive normal forin is computed.

Now, by Corollary 4.7, to prove minimality of the output basis it is sufficient to show that the lower while-loop always ends up with $L$-autoreduced polynomial set $G$ such that $l t(G)$ is compact. As we have already seen, this loop preserves partial involut sity. Initially there is a si:gle polynomial which has the minimal leading monom:al, and, therefore, its handing in the loop produces a compaci !eading monomia! set.

Suppose a partially involutive polynomial set $G$ with compact $l m(G)$ was produced by the lower while-loop, and then it is enlarged by $h=N F_{L}(g, G)$ in line 14 when $G$ is partially involutive up to some monomial $v \prec \operatorname{lm}(g)$.

If $\operatorname{lm}(h)=\operatorname{lm}(g)$, then, by restriction in line $20, \operatorname{lm}(h) \succ \operatorname{lm}(f)$ for all $f \in G$. By property (c) in Definition 2.1, we obtain that $N M\left(f, G_{1}=G \cup\{h\}\right) \subseteq \mathcal{N} M(f, G)$ for any $f \in G$.

Let $\operatorname{lm}(h)$ has no conventional divisors in $\operatorname{lm}(G)$. Then, starting with the set $G_{0}=$ Autoreduce $\left(G_{1}\right)$, and completing $G_{0}$ with irreducible non-multiplicative prolongations of its elements by the normal strategy, we construct set $G_{2} \supseteq G$ partially involutive up to monomial $v$ and with compact $\operatorname{lm}\left(G_{2}\right)$. If we start now with set $\operatorname{lm}\left(G_{1}\right)$ and complete $t$, if necessary, with irreducible non-multiplicative prolongations of its elements in order to obtain a partially involutive set up to $v$, then we arrive at the same set $G_{2}$. Indeed, even in the presence of extra intermediate elements, if $G_{2} \backslash G \neq 0$, there cannot occur reduction of an element $p \in G$ either by other element in $G^{\prime}$ or by an extra element. The forme: reduction is impossible by property (c) of involutive division. The latter
reduction, if it would hold, by properties (b)-(c) and by Theorem 2.18, would lead to reducibility of $p$ in the earlier set $G$ when $h$ has not been added yet.

If $\operatorname{lm}(h)$ is multiple of some element in $\operatorname{lm}(G)$, then continuation of processing with $G_{1}$ in the lower while-loop yields a partially involutive polynomial set up to $\operatorname{lm}(h)$. In doing so $h$ is involutively reduced either to zero, or to a polynomial which changes the monomial ideal $I d(\operatorname{lm}(G))$, as we have shown in the proof of Proposition 5.4 . Correspondingly, $G$, after contraction in lines 27-28, is re-set to the partially involutive form with compact leading monomial set.

In the case when $\operatorname{lm}(h) \prec \operatorname{lm}(g)$, the elimination which is done in line 18 converts, apparently, the situation into one of two alternatives we have just considered.

Thus, the repeat-loop, if it terminates, ends up with an involutive set $G$ with compact $\operatorname{lm}(G)$, that is, with the minimal involutive basis.

Termination. As it shown in the proof of Proposition 5.4, there may be a finite number of cases when polynomial $g$ chosen in lines 10 or prolongation $g \cdot x$ chosen in line 21 have reducible leading monomiais. It implies finitely many redistribution of triples between $T$ and $Q$ done in lines 18 and 28. If $\operatorname{Id}(F)$ has the finite minimal involutive basis, and ordering $\prec$ is degree compatible, then the lower while-loop terminates irrespective of $Q$ is empty set or not. This follows immediately from Propositions 5.4. 5.6 and compactness of $l m(G)$. Since the upper while-loop is obviously terminates. and set $Q$ is refreshed finitely many timcs, in a finite number of steps the algorithm arrives at $Q=0$ in line 30 .

If involutive division $L$ is noetherian then the algorithm terminates for any ordering $\prec$ because the lower while-loop terminates for the same rcason as the while-loop does in algorithm InvolutiveBasis [23].
$\square$

## 6 Conclusion

As we noted above a:gorithm MinimalInvolutiveBasis dcals, generally, with less number of intermediate polynomials then algorithm InvolutiveBasis. Besides, if involutive division $L$ is not globally defined, then we may not obtain the minimal involutive basis in the output of the latter algorithm. But even for globally defined divisions the former algorithm avoids the involutive autoreduction done in the latter algorithm at every step of the intermediate set enlargement. That is why we expect higher efficiency of algorithm MinimallnvolutiveBasis with respect to algorithm InvolutiveBasis for arbitrary involutive division.

One could also construct the minimal involutive basis by computing the reduced Gröbner basis and then enlarging it by non-multiplicative prolongations of its clements until the leading monomial set becomes involutive. To construct the reduced Gröbner basis one can use the Buchberger algorithm or perform the conventional autoreduction of an involutive basis computed by algorithan InvolutiveBasis. However, unlike Buchberger algorithm, algorithm MinimalinvolutiveBasis bencfits from the involutive technique, and as we have argued is favored over the use of a!gorithm Invo-

## lutiveBasis for intermediate computation

In paper [25] for constructing of Janet bases for linear partial differential equations one more algorithm is described. Its analog in commutative algebra contains two basic subalgorithms which are successively performed: completion of a polynomial set by non-multiplicative prolongations of its elements until the set of leading monomials becomes involutive or complete (see footnote at page 4); the conventional autoreduction of the obtained set. In this case due to the second subalgorithm the output Janet bases are minimal. However, such an algorithmic procedure is far short of optimum from the computational point of view. In so doing one has to perform the repeated prolongations and deal with all the possible $S$-polynomials. In our algorithm MinimallnvolutiveBasis the repeated prolongations are eliminated by storing in the triple sets $T$ and $Q$ those non-multiplicative variables which have been used for a given polynomial. Furthermore, the use of the involutive analogue of the Buchberger's chain criterion allows one to cut considerably the number of computed $S$-polynomials.

The algorithms described in this paper just as Zharkov and Blinkov algorithm [26] can be extended to systems of linear systems of partial differential equations, and also to some classes of nonlinear systems. Being uniquely defined, minimal involutive bases much like reduced Gröbner bases can be considered as canonical ones for polynomial and differential ideals. The corresponding form of partial differential equation systerns is just the standard [28] one. By transforming a given system into this form one can determine the dimension of the solution space and a set of initial conditions providing the existence of a uniquely defined and locally holomorphic solution [17, 27, 28, 29]. Involutive algorithmic ideas may be also rather fruitful in constructing the canonical bases for finitely generated ideals in free Lie algebras and superalgebras [30].

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[^1]:    ${ }^{1}$ Janet (17) and Thomas (24) call such sets complete.

