

Объединенный институт ядерных исследований дубна

E5-93-45

1993

V.V.Molotkov*1

GLUTOSES: A SUMMARY OF RESULTS

Submitted to «Journal of Pure and Applied Algebra»

*Research partially supported by the Ministry of Science and Education of Bulgaria, grants MM-1-91 and F-215-92 ¹Permanent address: Institute for Nuclear Research and Nuclear Energy, blvd. Trakia 72, Sofia 1784, Bulgaria

1 Set-theoretic conventions

We will work here within Morse set theory (see [14]), with the usual axiom added stating the existence for any set of a universal *set* containing it.

All categories, presites, toposes, glutoses, etc. are supposed to be sets, so that there exists the legitimate 2-category of all categories, resp. presites, etc. (which is a proper class); similarly, (pseudo)functorial operations defined in several places below on objects, arrows and 2-arrows of 2-categories above are incorporating together to produce legitimate 2-(pseudo)functors.

Here are assumed the definitions of [14] for ordered pairs and, more generally, families (= tuples in the terminology of [14]) so that for any universe \mathcal{U} (including the biggest universe of all sets) a family of subclasses of the universe \mathcal{U} indexed by a subclass of the universe \mathcal{U} is again a subclass of \mathcal{U} and behaves well. In fact, it is just this choice

of definition for families which permits one to *define* the "big" 2-categories above and 2-(pseudo)functors between them as terms of Morse set theory: e.g., a 2-category C is a finite tuple (C_0, C_1, C_2, \ldots) of classes satisfying certain conditions.

2 Glutoses: definition

An elementary glutos is a kind of "generalized elementary topos" (not to confuse with quasitoposes!): it is a category C, equipped with a suitable structure, given by a subset O of arrows of C (elements of which will be called **open** arrows of C), which generates over any object X of C an elementary topos, namely, O/X. Here O/X denotes the full subcategory of C/X formed by all objects which are arrows of O. So that, in

1

some sense, a glutos is a topos locally (not to be confused with local toposes!). If one grasps, metaphorically, a glutos as a family of toposes coherently glued together into a single category C by means of a "glueing" structure O, then the term 'glutos' itself can be thought of as an abbreviation for 'GLUed bunch of TOposeS'. An alternative interpretation of this term: in glutoses one can glue together finite families of objects along open arrows (see section 6 below for details).

Exact conditions \mathcal{O} must satisfy ("axioms of elementary glutoses"), are the following ones:

(G1) $\mathcal O$ contains all iso's of $\mathcal C$, is contained in the set of all pullbackable arrows of $\mathcal C$ and is stable by composition and pullbacks;

(G2) $fg \in \mathcal{O}$ and $f \in \mathcal{O}$ implies $g \in \mathcal{O}$;

(G3) a) For any object X of C the category \mathcal{O}/X is an elementary topos and b) for any $f: X \longrightarrow Y$ in \mathcal{C} the functor $f^*: \mathcal{O}/Y \longrightarrow \mathcal{O}/X$ (which is defined, due to (G1), and is left exact) is an inverse image of some geometric morphism;

(G4) \mathcal{C} has disjoint and universal finite coproducts, such that canonical injection morphisms belong to \mathcal{O} ; for any finite family $\{U_i \xrightarrow{u_i} X\}_{i \in I}$ of arrows of \mathcal{O} the colimit arrow $\bigcup_i U_i \longrightarrow X$ belongs to \mathcal{O} .

(G5) Any equivalence relation $u, v: U \rightrightarrows X$ in \mathcal{C} such that $u, v \in \mathcal{O}$, is effective and has a universal coequalizer in $\mathcal C$ which belongs to $\mathcal O$; any epi of $\mathcal C$, which belongs to $\mathcal O$, is effective; besides, if both fp and p belong to \mathcal{O} and p is epi then f belongs to \mathcal{O} .

It is clear, that elementary glutoses are really models of some first-order theory, which is an extension of the elementary theory of categories by some unary symbol \mathcal{O} , with corresponding translations of (C1)-(G5) added as axioms.

Remark 2.1 Structures \mathcal{O} on a category \mathcal{C} satisfying condition (G1) occur so frequently that deserve, in author's opinion, to be christened somehow. Here it is proposed to call them cloposes, whereas for its elements is reserved the name clopen arrows. An argument in favour of this strange choice of names is that in the category of topological spaces both the class of all arrows isomorphic to inclusions of closed subspaces and that isomorphic to inclusions of open subspaces satisfy condition (G1). The terms 'closed' and 'open' can then be reserved to denote something more special than simply elements of a class of arrows satisfying condition (G1) (e.g. closed arrows of a closure operator [11] or open arrows in the sense of [9] ¹ or in the (different) sense of the present work).

Examples 3

(0) Any topos is, canonically, a glutos (set $\mathcal{O}=\mathcal{C}$); vise verse, if a pair (\mathcal{C},\mathcal{C}) is a glutos, then $\mathcal C$ is a topos iff it has a terminal object (the latter condition is necessary as one can see from example at the end of section 4). For another examples of glutos structures on toposes (étale structures satisfying collection axiom of [9]) see Remark 5.2 in section 5 below.

¹I am grateful to Prof.P.T. Johnstone who turned my attention to the preprint [9] sending me a copy of it.

Archetypical examples of glutoses which are not toposes are:

(1) Topological spaces (**Top**) with open arrows being local homeomorphisms;

(2) Smooth manifolds (Man) with open arrows being local diffeomorphisms; for a natural number n the full subcategory Man_n of Man consisting of all manifolds of dimension n with the empty manifold \emptyset added, with open arrows as above. The glutos **Man**₀ degenerates, evidently, to the topos Set of sets, whereas Man_n for $n \neq 0$ give examples of glutoses without terminal objects;

(3) Locally trivial vector bundles over smooth manifolds (Vbun) with open arrows being just those arrows, whose image in Man under neglecting functor is open;

(4) Grothendieck schemes (Schem) or C^{∞} -schemes of Dubuc [5] (C^{∞} -Schem) with, e.g., open arrows in Schem being morphisms which locally are inclusions of open subschemes: i.e., $(u: U \longrightarrow X) \in \mathcal{O}$, if there exists a covering of U by open subschemes such that the restriction of u on any element of this covering is isomorphic to inclusion of an open subscheme of X.

It is, implicitly, assumed in examples (1)-(4) above that, say, all topological spaces of **Top** are elements of some universe \mathcal{U} , which, moreover, contains, for the cases (2)-(3) as well as C^{∞} -Schem, the universe \mathcal{U}_{ℓ} of finite sets as an element. So that we will write further, if necessary, Top₁₁, resp. Set₁₁, etc., instead of Top, resp. Set, etc.,

The 2-category of glutoses 4

A morphism of a glutos $(\mathcal{C}, \mathcal{O})$ into a glutos $(\mathcal{C}', \mathcal{O}')$ is a functor $F: \mathcal{C} \longrightarrow \mathcal{C}'$ between underlying categories, which respects the structures involved, i.e. satisfies the following conditions below:

(MG1) $F(\mathcal{O}) \subset \mathcal{O}'$ and F respects pullbacks of open arrows along arbitrary arrows of \mathcal{C} : (MG2) For any object X of C the induced functor $F/X: \mathcal{O}/X \longrightarrow \mathcal{O}'/FX$ (which is defined and is left exact, due to (MG1)) is an inverse image of some geometric morphism.

Examples of morphisms of glutoses are: the string of neglecting functors

VBun \longrightarrow Man \longrightarrow Top \longrightarrow Set;

the tangent functor $T: \mathbf{Man} \longrightarrow \mathbf{VBun}$, as well as the transition to base manifold functor $B: \mathbf{VBun} \longrightarrow \mathbf{Man};$ the functor

spec:Schem \longrightarrow Top.

Besides, all natural functors "inside" a glutos are morphisms of glutoses as shows the following

Proposition 4.1. For any object X of a glutos $(\mathcal{C}, \mathcal{O})$, the source functor $d_0: \mathcal{O}/X \longrightarrow \mathcal{C}$ is a morphism of glutoses; for any arrow $f: X \longrightarrow Y$ of C the functor $f^*: \mathcal{O}/Y \longrightarrow \mathcal{O}/X$ is a morphism of glutoses.

Of course, the toposes \mathcal{O}/X and \mathcal{O}/Y above are considered as glutoses via canonical glutos structure of example (0) of Sect.3.

Adding any natural transformations between morphisms of glutoses as 2-arrows one obtains a 2-category Glut of glutoses.

Now, as is clear enough, the 2-category of toposes imbeds contravariantly to that of glutoses "almost fully" in the sense that any morphism of glutoses $f: \mathcal{E} \longrightarrow \mathcal{E}'$ between toposes \mathcal{E} and \mathcal{E}' decomposes as

$$\mathcal{E} \approx \mathcal{E}/1 \xrightarrow{f/1} \mathcal{E}'/f1 \xrightarrow{d_0} \mathcal{E}'$$
,

which means that the "deviation" of a glutos morphism f between toposes from an inverse image of some geometric morphism is just the difference between f1 and 1; the imbedding above would be full if one permits for inverse images of geometric morphisms not to respect terminal objects.

Nevertheless, the theory arising is not just generalization of topos theory but, rather, a counterpart to the latter. An essential difference is that **presites** (=categories C, equipped with a pretopology τ^2) play for glutoses the same role sites play for toposes, as will be seen in section 8 below.

We conclude this section defining a subglutos of a glutos $(\mathcal{C}, \mathcal{O})$ as a glutos $(\mathcal{C}', \mathcal{O}')$ such that \mathcal{C}' is a subcategory of \mathcal{C} closed with respect to composition with isomorphisms of \mathcal{C} , the set \mathcal{O}' is a subset of \mathcal{O} and, besides, the inclusion functor $\mathcal{C}' \subset \mathcal{C}$ is a morphism of glutoses; the subglutos $(\mathcal{C}', \mathcal{O}')$ is a full subglutos of $(\mathcal{C}, \mathcal{O})$ if \mathcal{C}' is a full subcategory of \mathcal{C} .

Example: Let \mathcal{E} be a Grothendieck topos (with respect to some universe \mathcal{U}); let \mathcal{E}^- be the full subcategory of \mathcal{E} consisting of all pointless objects, i.e. those objects X which have no global sections $1 \longrightarrow X$. Then the pair $(\mathcal{E}^-, \mathcal{E}^-)$ is a full subglutos of the glutos $(\mathcal{E}, \mathcal{E})$. If one chooses \mathcal{E} properly, the glutos $(\mathcal{E}^-, \mathcal{E}^-)$ will have no terminal object (see example 0 of section 2).

Counterexample: If \mathcal{U} is any universe containing some infinite set, then the topos $\mathbf{Set}_{\mathcal{U}_1}$ of finite sets is *not* a subglutos of the topos $\mathbf{Set}_{\mathcal{U}_1}$, because the corresponding inclusion has no right adjoint.

5 *U*-glutoses

For any universe \mathcal{U} there arise a counterpart of Grothendieck toposes (= \mathcal{U} -toposes by terminology of [16]). Namely, call a glutos (\mathcal{C}, \mathcal{O}) an \mathcal{U} -glutos, if \mathcal{C} is an \mathcal{U} -category, any \mathcal{O}/X is an \mathcal{U} -topos and, besides, it satisfies the more strong condition (G4_{\mathcal{U}}) obtained from the condition (G4) by replacing 'finite' by ' \mathcal{U} -small'. By an \mathcal{U} -category is meant here a category with \mathcal{U} -small hom-sets which, besides, is naturally equivalent to some category \mathcal{C}' with Mor $\mathcal{C}' \subset \mathcal{U}$ (i.e. this definition is stronger than that of [16]).

Remark 5.1 One can show that p.b) of condition (G3) follows from other conditions for the case of \mathcal{U} -glutoses.

²Note only that we will consider sinks in C and, in particular, coverings of τ as elements of the set Ob $C \times \mathcal{P}(MorC)$ (where \mathcal{P} stands for power set), rather than indexed families of arrows of C, though in practice indexed families will be used as well, as representing, in an evident way, "real" sinks. The set of all pretopologies on C forms then a closure system (in the sense of [3]) on the set of all pullbackable sinks of C.

Examples (1)-(4) of section 3 above are examples of \mathcal{U} -glutoses; another example is a functor category $\mathcal{C}^{\mathcal{D}}$, where \mathcal{D} is \mathcal{U} -small and $(\mathcal{C}, \mathcal{O})$ is an \mathcal{U} -glutos, if one defines the subcategory \mathcal{O}' in $\mathcal{C}^{\mathcal{D}}$ as follows:

$$\rho: F \longrightarrow F': \mathcal{D} \longrightarrow \mathcal{C}$$

belongs to \mathcal{O}' iff for any object D of \mathcal{D} the arrow

$$\rho_D: FD \longrightarrow F'D$$

belongs to \mathcal{O} . Note that \mathcal{O}' is, generally speaking, bigger than $\mathcal{O}^{\mathcal{D}}$.

Remark 5.2 Etale structures on an \mathcal{U} -topos \mathcal{E} satisfying "collection axiom" in the sense of [9], are particular case of glutos structures on toposes, as one can easily deduce from Corollary 2.3 of [9]. Moreover, for any set Et of étale maps satisfying collection axioms the pair (\mathcal{E}, Et) is a full subglutos of the glutos $(\mathcal{E}, \mathcal{E})$. As to interrelations of glutos structures with étale structures, one can see that any glutos structure \mathcal{O} on an *arbitrary* category \mathcal{C} satisfies all of the conditions (A1)-(A8) of [9] *excepting* conditions (A3) and (A6) (one can easily find counterexamples in the glutos **Top**); and even in the case when \mathcal{C} is an \mathcal{U} -topos there was not discovered (up to now) any special relations (like "descent" and "quotient" axioms of [9]) between glutos structures \mathcal{O} on \mathcal{C} and arbitrary epi's of \mathcal{C} .

6 Glueing in glutoses

P)

In this section is studied what kind of pullbacks and colimits exist in \mathcal{U} -glutoses (besides those whose existence is declared by axioms (G1), (G4_{\mathcal{U}}) and (G5)).

The general motto here is that in an \mathcal{U} -glutos pullback of two arrows exists if it exists locally and that one can glue \mathcal{U} -small families of objects along open arrows. The rest of this section is devoted to materialization of this motto into precise statements.

It turns out, in fact, that the corresponding results are valid not only in \mathcal{U} -glutoses, but, more generally, in any clopos, satisfying axioms (G4_{\mathcal{U}})-(G5) of section 2 above.

For any set I let ΓI be the category defined as follows. Its set of objects is just the set of all non-empty words of length ≤ 2 in the free monoid W(I) of I-words (which is supposed to be chosen in such a way that I is a subset of W(I) and the canonical map $I \longrightarrow W(I)$ coincides with the inclusion of subsets). The only non-identity arrows of ΓI are arrows

 $i \stackrel{d_0^{(j)}}{\longleftarrow} ij \stackrel{d_1^{(j)}}{\longrightarrow} j \ (i, j \in I);$

note that d_0^{ii} is to be different from d_1^{ii} .

Given a diagram $U: \Gamma I \longrightarrow C$ we will write U_i , resp. U_{ij} , resp. d_{ϵ}^{ij} instead of U(i), resp. U(ij), resp. $U(d_{\epsilon}^{ij})$ omitting sometimes superscripts in the latter case; if U has a colimit we will write U for a colimit object and $\{u_i: U_i \longrightarrow U_i\}_{i \in I}$ for a colimit cone.

Call a diagram $U: \Gamma I \longrightarrow C$ glueing data or a gluon if the following "cocicle conditions" are satisfied:

(GD1) For any $i, j \in I$ the pair (d_0^{ij}, d_1^{ij}) is a mono source in \mathcal{C} ;

(GD2) For any $i, j \in I$ there exists an arrow $\tau_{ij}: U_{ij} \longrightarrow U_{ji}$ of \mathcal{C} such that the equalities

$$d_0^{ji}\tau_{ij} = d_1^{ij}$$
 and $d_1^{ji}\tau_{ij} = d_0^{ij};$

are valid (it then follows from (GD1) that $\tau_{ij}\tau_{ji} = \text{Id}$);

(GD3) For any $i \in I$ there exists an arrow $s_i: U_i \longrightarrow U_{ii}$ of \mathcal{C} which is right inverse to both d_0^{ii} and d_1^{ii} ;

(GD4) For any word ijk of length 3 in W(I) there exists an object U_{ijk} of C and the arrows $p_0: U_{ijk} \longrightarrow U_{ij}$, $p: U_{ijk} \longrightarrow U_{ik}$ and $p_1: U_{ijk} \longrightarrow U_{jk}$ such that all three squares of the diagram U_{ijk}

are pullbacks (we will write further p_0^{ijk} , etc. instead of p_0 in case of necessity).

It follows from the latter condition the existence of isomorphisms $\theta_{ijk}: U_{ijk} \longrightarrow U_{jki}$ which agree with projections p_0 , p_1 and p "twisted" by iso's τ_{ij} and satisfy the "cocicle conditions" arising both in algebraic and differential geometry in processes of glueing of schemes, resp. manifolds along open subschemes, resp. open submanifolds.

One can see, on the other hand, that if the index set I consists of just one element the definition above reproduces the definition of an equivalence relation.

Any family $\{u_i: U_i \longrightarrow X\}_{i \in I}$ of pullbackable arrows defines, canonically, some glueing functor if one sets $U_{ij} \approx U_i \prod_X U_j$, whereas for d_e^{ij} one chooses the corresponding pullback projections.

Call a diagram in a clopos (resp. in a glutos) clopen (resp. open) if any arrow of this diagram is clopen (resp. open). One sees immediately that for any clopen gluon in a clopos, morphisms τ_{ij} , p_0 , p_1 and p of (GD2), (GD4) are clopen. As to (the only by (GD1)) arrows s_i of (GD3), they also are clopen if the clopos satisfies conditions (G4 μ)-(G5) as one can see from the following

Proposition 6.1 Suppose that a clopos $(\mathcal{C}, \mathcal{O})$ satisfies conditions $(G4_{\mathcal{U}})$ -(G5) in the definition of \mathcal{U} -glutoses. Then:

 $(G4_{\mathcal{U}}+5)$ Any \mathcal{U} -small clopen gluon $U:\Gamma I \longrightarrow \mathcal{C}$ has a universal colimit U. which, besides, is effective in the sense that for any $i, j \in I$ the isomorphism

$$U_{ij} \approx U_i \prod_{U} U_j$$

holds. The colimit cone $\{U_i \longrightarrow U_i\}_{i \in I}$ consists of clopen arrows.

Indications to the proof. Consider the diagram

$$d_0, d_1: \coprod_{i,j \in I} U_{ij} \rightrightarrows \coprod_{i \in I} U_i, \tag{2}$$

where, say, d_0 is defined as $(\iota_i d_0^{ij})_{i,j\in I}$ with $\iota_i: U_i \longrightarrow \coprod_{i\in I} U_i$ being the canonical coproduct injection arrows³. One is to prove that the diagram above is an open equivalence

³We use round brackets instead of braces in order to distinguish between *families* of arrows and a single (co)limit arrow determined by the corresponding family.

relation; then it will follow trivially that the coequalizer

 $q: \coprod_{i \in I} U_i \longrightarrow U.$

of this diagram reproduces the colimit cone of the original gluon U if one sets $u_i = q_i$. Note first that the familier $[z_i]_{i=1}^{i=1} = c_i f_i(OD2)_{i=1}^{i=1} f_i(OD2)_{i=1}^{i=1}$

Note first that the families $\{\tau_{ij}\}_{i,j\in I}$ and $\{s_i\}_{i\in I}$ of (GD2) and (GD3) permit one to build in a natural way the arrows $\tau: \coprod U_{ij} \longrightarrow \coprod U_{ij}$ and $s: \coprod U_i \longrightarrow \coprod U_{ij}$; the verification of the fact that these arrows satisfy (GD2), resp. (GD3), is straightforward.

Similarly, one can construct three arrows p_0 , p_1 and p from $\coprod U_{ijk}$ to $\coprod U_{ij}$; e.g., the arrow $p_0: \coprod U_{ijk} \longrightarrow \coprod U_{ij}$ is defined to be the colimit arrow

$$(U_{ijk} \xrightarrow{p_0^{ijk}} U_{ij} \xrightarrow{\iota_{ij}} \coprod U_{ij})_{i,j,k \in I} ,$$

where ι_{ij} are canonical coproduct injection arrows.

In proving (GD4) for the diagram 2 above the following useful lemma can be used, which states that a square is a pullback if it is a pullback locally:

Lemma 6.2 Let $f: X \longrightarrow Z$ and $g: Y \longrightarrow Z$ be arrows of a category C; let $\{x_i: X_i \longrightarrow X\}_{i \in I}, \{y_j: Y_j \longrightarrow Y\}_{j \in J}$ and $\{z_k: Z_k \longrightarrow Z\}_{k \in K}$ are universal effective epi families; let, further, for any $i \in I$, $j \in J$ and $k \in K$ a diagram



(3)

is given such that four side squares of it as well as the "floor" squares 1, 2 and 3 are pullbacks. Then the square 4 is a pullback iff for any $i \in I$, $j \in J$ and $k \in K$ the "ceiling" square is a pullback.

Applying this lemma to the case $X = Y = \coprod U_{ij}, Z = \coprod U_i$ and $P = \coprod U_{ijk}$ with the corresponding universal effective epi families being $\{\iota_{ij}: U_{ij} \longrightarrow \coprod U_{ij}\}_{i,j\in I}$, etc., one obtains after simple diagram chase just squares of the diagram (1) as "ceilings" of the diagram (3) above, which proves (GD4) for the diagram (2).

At last, to prove (GD1) for the diagram (2) consider a pair of arrows $f, g: X \longrightarrow \coprod U_{ij}$ such that both $d_0f = d_0g$ and $d_1f = d_1g$. Pulling a covering $\{\iota_i: U_i \longrightarrow \coprod U_i\}_{i \in I}$ along $d_0f = d_0g$, resp. along $d_1f = d_1g$, one obtains two universal effective epi families $\{v_i: V_i \longrightarrow X\}_{i \in I}$ and $\{v'_j: V_j \longrightarrow X\}_{j \in I}$ such that f agree with g on elements of the "intersection" universal effective epi family $\{V_i \coprod_X V'_j \longrightarrow X\}_{i,j \in I}$, which implies that f = g.

6

.

Remark 6.1 One can find in the glutos Man a counterexample showing that not every pair $u, v: U \longrightarrow X$ of open arrows of Man has a colimit, which means that the restriction by open glueing data in Prop.6.1 is essential.

The following proposition describes sufficient conditions of existence of pullbacks in cloposes satisfying $(G4_{\mu})$ -(G5).

Proposition 6.3 Let a clopos $(\mathcal{C}, \mathcal{O})$ satisfies conditions $(G4_{\mathcal{U}})$ -(G5). Let $f: X \longrightarrow Z$ and $g: Y \longrightarrow Z$ be arrows of \mathcal{C} such that for some \mathcal{U} -small epi families of clopen arrows $\{X_i \longrightarrow X\}_{i \in I}, \{Y_j \longrightarrow Y\}_{j \in J}$ and $\{Z_k \longrightarrow Z\}_{k \in K}$ there exists, for any $i \in I, j \in J$ and $k \in K$, the pullback $X_{ik} \prod_{Z_k} Y_{kj}$, where, by definition, $X_{ik} = X_i \prod_Z Z_k$ and $Y_{kj} =$ $Z_k \prod_Z Y_j$. Then there exists the pullback of f and g. If, besides, $(\mathcal{C}, \mathcal{O})$ is an \mathcal{U} -glutos, then the \mathcal{U} -smallness condition for families above can be omitted.

The archetype of the proof of Prop. 6.3 is contained, for example, in the proof of existence of pullbacks of Grothendieck schemes (see, e.g., [10]).

Proposition 6.1 permits one to equip, canonically, any \mathcal{U} -glutos $(\mathcal{C}, \mathcal{O})$ with a structure of a presite, but, before going into details, one needs to give some necessary definitions and to state some elementary properties of presites.

7 Presites

Define first, for a presite (\mathcal{C}, τ) the set \mathcal{O}_{τ} of arrows of \mathcal{C} as consisting of just those arrows which belong to some covering of τ . The set \mathcal{O}_{τ} satisfies condition (G1) above (so that its elements will be referred to as clopen) and one can define **morphisms** between presites (\mathcal{C}, τ) and (\mathcal{C}', τ') as just those functors between underlying categories which respect coverings and satisfy condition (MG1) above (with \mathcal{O} replaced by \mathcal{O}_{τ} , idem for \mathcal{O}'). We will call such functors **continuous** (this definition is stronger than the corresponding definition in [16] making emphasis on topologies and sites).

If $F: \mathcal{C} \longrightarrow \mathcal{C}'$ is a functor and τ' is a pretopology on \mathcal{C}' , then a pretopology τ on \mathcal{C} will be called **induced** by τ' along F iff for any sink S in \mathcal{C} the condition $FS \in \tau'$ is equivalent to $S \in \tau$; if such τ exists it is the biggest pretopology on \mathcal{C} making F continuous.

Proposition 7.1 For any presite (\mathcal{C}, τ) and any object X of C there exists the pretopology on \mathcal{O}_{τ}/X induced by τ along the "source" functor $d_0: \mathcal{O}_{\tau}/X \longrightarrow C$.

The category \mathcal{O}_{τ}/X will be considered, canonocally, to be equipped with this presite structure; then:

Proposition 7.2 For any arrow $f: X \longrightarrow Y$ of C the induced functor $f^*: \mathcal{O}_{\tau}/Y \longrightarrow \mathcal{O}_{\tau}/X$ is continuous.

Now, a presite (\mathcal{C}, τ) will be called an \mathcal{U} -presite if \mathcal{C} is an \mathcal{U} -category and, besides, the following condition is satisfied (existence of local sets of topological generators): $(\mathbf{LTG}_{\mathcal{U}})$ For any object X of \mathcal{C} there exists an \mathcal{U} -small subset G_X of objects of \mathcal{C} such that for any clopen arrow $u: \mathcal{U} \longrightarrow X$ of \mathcal{C} there exists a covering $\{u_i: U_i \longrightarrow U\}_{i \in I}$ such that any U_i belongs to G_X . This condition is just equivalent to saying that any \mathcal{O}_{τ}/X , considered as a site, is an \mathcal{U} -site in the sense of [16]. **Remark 7.1** It is convenient to include in the definition of a pretopology the following condition (completeness property):

(PT4) If $\langle X, S \rangle$ is a sink of C such that $S \subset O_{\tau}$ (such sinks will be called clopen) and there exists a refinement of $\langle X, S \rangle$ which is a covering of X then $\langle X, S \rangle$ itself is a covering. Here a sink $\langle X, S' \rangle$ is said to be a **refinement** of $\langle X, S \rangle$ if for any $s' \in S'$ there exists $s \in S$ such that s' factorizes through s.

Intersection of pretopologies satisfying (PT4) satisfies (PT4) itself; if τ satisfies (PT4) then a pretopology induced by τ along any functor satisfies (PT4) as well; besides, the completion of a pretopology τ satisfying ordinary conditions (PT1)-(PT3) of [16] to that satisfying (PT4), does not change neither the set \mathcal{O}_{τ} , neither the associated Grothendieck topology, nor the universal glutos of Theorem 8.4 below. That is why from now on 'pretopology' will mean 'pretopology satisfying (PT4)' with similar change in the meaning of 'presite'.

Remark 7.2 If one looks at the definition of elementary glutos, a natural question can arise: what will happen if one "iterates" the theory of glutoses replacing, roughly, in axioms (G1)-(G5) "topos" by "glutos"? The answer is that the theory of elementary glutoses is stable by this iteration, i.e., no new "weaker" theory will arise.

In more details, defining, in an evident way, morphisms of cloposes as well as clopos structure induced along a functor, one obtains that for any object X of any clopos $(\mathcal{C}, \mathcal{O})$ the category \mathcal{O}/X has a clopos structure \mathcal{O}_X induced along the functor d_0 : arrows of \mathcal{O}_X are all commutative triangles (i.e., arrows of \mathcal{O}/X) all three arrows of which belong to \mathcal{O} . Counterparts of Props. 7.1 and 7.2 are valid for cloposes as well as the following result:

Proposition 7.3 For any clopen arrow $U \xrightarrow{u} X$ in a clopos $(\mathcal{C}, \mathcal{O})$ the functor

$$d_0/(U \xrightarrow{u} X): \mathcal{O}_X/(U \xrightarrow{u} X) \longrightarrow \mathcal{O}/U$$

is a natural equivalence.

Now, if one *removes* the axiom (G2) and one replaces in axiom (G3) "topos" by "glutos", resp. "inverse image of geometric morphism" by "morphism of glutoses", interpreting, simultaneously, \mathcal{O}/X , etc. not simply as categories but as cloposes via induced structure, then one arrives to an elementary theory which turns out to be not weaker, but equivalent to the theory of elementary glutoses. This just follows from Prop. 7.3.

8 U-glutoses as U-presites

Returning again to \mathcal{U} -glutoses, one has:

Proposition 8.1 Let $(\mathcal{C}, \mathcal{O})$ be an \mathcal{U} -glutos. Then:

(G6_u) All epi sinks in C with elements in O are universal effective and, hence, form some pretopology on C (denoted further τ_0). This pretopology is subcanonical (i.e. the associated topology is subcanonical);

(G7_U) The presite (C, τ_O) is an U-presite;

(G8_{\mathcal{U}}) Any sink $\langle X, S \rangle$ with $S \subset \mathcal{O}$ factorizes as a covering of $\tau_{\mathcal{O}}$ followed by an open mono.

 $(G9_{\mathcal{U}})$ (local character of open arrows) If for $u: \mathcal{U} \longrightarrow X$ there exists a covering $\{u_i: \mathcal{U}_i \longrightarrow U_i \}$

 $U_{i\in I}$ of $\tau_{\mathcal{O}}$ such that for any $i \in I$ the arrow uu_i is open, then u itself is open. (G10) For any object X of C the pretopology on C/X induced by $\tau_{\mathcal{O}}$ is the canonical pretopology of the topos C/X (i.e. coverings of it are all epi sinks); moreover, the source functor $d_0: C/X \longrightarrow C$ respects both coequalizers of equivalence relations and U-small coproducts.

Remark 8.1 For an elementary glutos $(\mathcal{C}, \mathcal{O})$ let $\tau_{\mathcal{O}}$ be the set of all open sinks having some finite open epi refinement. Then one has: (G6) $\tau_{\mathcal{O}}$ is a subcanonical pretopology on \mathcal{C} ; the counterparts of (G8_{\mathcal{U}}) and (G9_{\mathcal{U}}) are valid as well if one replaces in (G8_{\mathcal{U}}) 'Any sink' by 'Any finite sink'.

The following proposition is a counterpart of Giraud theorem :

Proposition 8.2 A pair $(\mathcal{C}, \mathcal{O})$ is an \mathcal{U} -glutos iff it satisfies conditions (G1)-(G2), (G4_{\mathcal{U}})-(G5), (G6_{\mathcal{U}})-(G7_{\mathcal{U}}) above (conditions (G4_{\mathcal{U}}) and (G5) can be replaced by conditions (G4_{\mathcal{U}} + 5) and (G9_{\mathcal{U}})).

Now, a map $(\mathcal{C}, \mathcal{O}) \mapsto (\mathcal{C}, \tau_{\mathcal{O}})$ continues to the 2-functor imbedding fully \mathcal{U} -glutoses into \mathcal{U} -presites, as shows the following

Proposition 8.3 Let $(\mathcal{C}, \mathcal{O})$ and $(\mathcal{C}', \mathcal{O}')$ be \mathcal{U} -glutoses and $F: \mathcal{C} \longrightarrow \mathcal{C}'$ be a functor. Then F is morphism of glutoses iff it is continuous w.r.t. pretopologies $\tau_{\mathcal{O}}$ and $\tau_{\mathcal{O}'}$.

In other words, the 2-category $\mathbf{Glut}_{\mathcal{U}}$ of \mathcal{U} -glutoses may be considered as a full 2-subcategory of the 2-category $\mathbf{Psite}_{\mathcal{U}}$ of \mathcal{U} -presites

$$\operatorname{Glut}_{\mathcal{U}} \hookrightarrow \operatorname{Psite}_{\mathcal{U}}.$$
 (4)

Remark 8.2 Let Glut., be the full 2-subcategory of Glut, containing any glutos which is \mathcal{U} -glutos for some universe \mathcal{U} . Let the 2-category Psite. be defined similarly. The above inclusion functor continues to the inclusion functor

Glut. \hookrightarrow Psite. ,

but the counterexample

$$\operatorname{Set}_{\mathcal{U}_{\ell}} \hookrightarrow \operatorname{Set}_{\mathcal{U}}$$

of continuous functor which is not a morphism of glutoses (see the end of section 4) shows that this inclusion is not full.

The main author's result states that the 2-category $Glut_{\mathcal{U}}$ is reflective in the 2-category $Psite_{\mathcal{U}}$. In more details:

Theorem 8.4 (a) For any \mathcal{U} -presite \mathcal{C} there exists an \mathcal{U} -glutos $\widetilde{\mathcal{C}}$ together with an arrow $Y_{\mathcal{C}}: \mathcal{C} \longrightarrow \widetilde{\mathcal{C}}$ which is universal in the sense that for any \mathcal{U} -glutos \mathcal{D} the arrow

$$Y_{\mathcal{C}}, \mathcal{D}]: [\widetilde{\mathcal{C}}, \mathcal{D}] \longrightarrow [\mathcal{C}, \mathcal{D}] \quad (\sigma \mapsto \sigma Y_{\mathcal{C}})$$
⁽⁵⁾

is a natural equivalence having right inverse;

(b) the arrow Y_C (or, rather, the underlying functor) can always be chosen to be injective on objects of C; if the pretopology τ of C is subcanonical, then the arrow Y_C is fully faithful; (c) The universal arrow Y_C reflects open coverings (see sect. 10 below for the definition); for every object X of \tilde{C} the set G_X of topological generators of X (see (LTG_U) in sect. 7 above) can be chosen to belong to the set $Y_C(C)$;

(d) Besides, if the underlying category of C (denoted further C, by abuse of notation) is \mathcal{U} -cocomplete and the pretopology of C is subcanonical, then the functor Y_C has left adjoint $\Gamma: \widetilde{C} \longrightarrow C$ (the global sections functor). Note that Γ need not be continuous.

The proof of Th.8.4 is sketched in Appendix A.

Remark 8.3 It follows from Appendix A that the 2-category of subcanonical \mathcal{U} -presites is as well reflective in $Psite_{\mathcal{U}}$, i.e. the universal arrow $Y_{\mathcal{C}}$ decomposes as

$$\mathcal{C} \xrightarrow{Y'_{\mathcal{C}}} \mathcal{C}_{sub} \xrightarrow{Y_{\mathcal{C}_{sub}}} \widetilde{\mathcal{C}_{sub}}$$

where C_{sub} is a universal subcanonical \mathcal{U} -presite for C.

Now choosing for every \mathcal{U} -presite \mathcal{C} some universal arrow $Y_{\mathcal{C}}$ and choosing for every pair \mathcal{C} , \mathcal{D} as in p.(a) of Theorem 8.4 some arrow

$$I_{\mathcal{CD}}: [\mathcal{C}, \mathcal{D}] \longrightarrow [\widetilde{\mathcal{C}}, \mathcal{D}]$$

right inverse to the arrow (5), we will obtain for every pair $\mathcal{C}, \mathcal{C}'$ of \mathcal{U} -presites some functor

 $[\mathcal{C},\mathcal{C}'] \xrightarrow{\sim} [\widetilde{\mathcal{C}},\widetilde{\mathcal{C}'}] \ (\sigma \mapsto \widetilde{\sigma}) ,$

defined by $\widetilde{\sigma} := I_{\mathcal{CC}'}(Y_{\mathcal{C}'}\sigma)$ on 2-arrows from $[\mathcal{C}, \mathcal{C}']$.

The correspondences $\sigma \mapsto \tilde{\sigma}$ just defined are incorporating together to give some pseudofunctor (see [16],[7])

 $\operatorname{Psite}_{\mathcal{U}} \xrightarrow{\sim} \operatorname{Glut}_{\mathcal{U}}$,

left quasiadjoint to the inclusion 2-functor (4); it differs from a 2-functor by some "twisting by a cocicle" $\sigma(F, F'): \widetilde{F'}\widetilde{F} \longrightarrow (\widetilde{F'F})$. The following theorem shows that this cocicle can be killed.

Theorem 8.5 The correspondences $\mathcal{C} \mapsto \widetilde{\mathcal{C}}$ and $F \mapsto \widetilde{F}$ can be chosen in such a way that $\widetilde{F'F} = (\widetilde{F'F})$ for every composable pair F and F' of morphisms of \mathcal{U} -presites.

Corollary 8.6 If $F: \mathcal{C} \longrightarrow \mathcal{C}'$ and $G: \mathcal{C}' \longrightarrow \mathcal{C}$ are continuous functors between \mathcal{U} -presites such that F is left adjoint to G then \tilde{F} is left adjoint to \tilde{G} .

Exactness properties of universal arrows are described by the following

Proposition 8.7 (a) For any U-glutos C the universal arrow $Y_{\mathcal{C}}: \mathcal{C} \longrightarrow \widetilde{\mathcal{C}}$ respects all U-limits existing in \mathcal{C} ;

(b) If C has pullbacks, resp. products, resp. finite limits, then so does \tilde{C} ;

(c) Let $F: \mathcal{C} \longrightarrow \mathcal{C}'$ be morphism of glutoses and \mathcal{C} has products, resp. pullbacks, resp. finite limits, which are, besides, respected by the functor F. Then the functor $\widetilde{F}: \widetilde{\mathcal{C}} \longrightarrow \widetilde{\mathcal{C}}'$, respects products, resp. pullbacks, rcsp. finite limits.

14

Theorem 8.4 and Prop.8.7 show that glutoses are "invariants" of presites in just the same way as toposes are "invariants" of sites. The universal arrow for an \mathcal{U} -presite is, clearly, a counterpart of topos-theoretic "sheafified Yoneda functor" $Y: \mathcal{S} \longrightarrow Sh\mathcal{S}$ associating to any \mathcal{U} -site \mathcal{S} the topos of $\operatorname{Set}_{\mathcal{U}}$ -valued sheaves on it. In many familiar cases of \mathcal{U} -presites \mathcal{C} (see examples of universal arrows below), the corresponding site is not an \mathcal{U} -site, which means that the topos of sheaves on this site exists in some higher universe only. At the same time, the glutos $\widetilde{\mathcal{C}}$ associated with the presite \mathcal{C} exists in the same universe \mathcal{U} , where \mathcal{C} is contained. Nevertheless, when both constructions exist, they sometimes coincide as shows the following

Proposition 8.8 Let C be U-small and finitely complete. Let a pretopology τ on C be given, such that any arrow of C is clopen. Then the universal glutos \tilde{C} coincides with the topos of sheaves ShC up to natural equivalence of categories. The similar is true for universal arrows.

For example, the glutos constructed from a topological space is the same thing as the topos of sheaves on it; the same is true for a complete Heyting algebra (equipped with the canonical (pre-)topology).

Many familiar examples of constructing categories out of "simpler ones" by means of "charts and atlases" routine are just concrete realizations of universal arrows of Theorem 8.4: imbedding of smooth euclidean regions into the category of smooth manifolds, imbedding of trivial vector bundles into the category of locally trivial ones, as well as the functor

Spec: $\mathbf{Ring}^{op} \longrightarrow \mathbf{Schem}$.

Note that in this example the global sections functor of p.(d) of Theorem 8.4 exists and is the same thing as the ordinary global sections functor on Schem, which justifies the use of the name "global sections functor" in the general case.

The latter example opens up a new approach to "universal algebraic geometry", alternative to that of M.Coste [4] (based on Hakim's theorem): given some locally finitely presentable category (see [6]) C together with some pretopology τ on its dual category, turning C^{op} into an U-presite, the category of schemes over C and the corresponding functor Spec can be *defined* to be, respectively, the glutos associated with the presite (C^{op}, τ) and the universal arrow for it.

For example, if one chooses the étale pretopology on the category dual to that of commutative rings instead of Zariski pretopology, one obtains the category **ESchem**, which may be called the category of **étale schemes**; the functor

Schem
$$\longrightarrow$$
 ESchem, (6)

provided by Theorem 8.4, fully imbeds the category of schemes into that of étale schemes in such a way that for any scheme X the topos of sheaves over X with respect to étale pretopology on Et/X imbeds into **ESchem** via

$$\operatorname{Sh}(Et/X) \hookrightarrow \operatorname{\mathbf{ESchem}}/X \xrightarrow{d_0} \operatorname{\mathbf{ESchem}}.$$

Another application is concerned with "non-commutative algebraic geometry": Theorem 8.4 gives general non-commutative schemes glued out of non-commutative affine schemes of P.M.Cohn [2]. A class of pretopologies on duals to locally finitely presentable categories especially suitable for "universal algebraic geometry" will be considered elsewhere.

9 *M*-presites and SG-glutoses

In this section some natural endo-2-functors are constructed on the 2-category Psite of all presites; recall, that \mathcal{U} -glutoses are considered as presites via Props. 8.1 and 8.3. Besides, a class of \mathcal{U} -glutoses which occur particularly often in practice is studied in more details.

Let \mathcal{P} be some property of an arrow of a presite \mathcal{C} . We say that an arrow $f: X \longrightarrow Y$ of \mathcal{C} locally satisfies \mathcal{P} or is locally \mathcal{P} , if there exists a covering $\{u_i: U_i \longrightarrow X\}_{i \in I}$ such that for every $i \in I$ the arrow fu_i satisfies \mathcal{P} . We will use further this metadefinition for the case when the property \mathcal{P} is either "f is (cl)open" or "f is an (cl)open mono" getting the properties "f is locally (cl)open" or "f is locally an (cl)open mono" (note yet that an f which locally is a clopen mono need not to be neither clopen nor mono). One can easily verify that the set of all *pullbackable* locally open arrows of any presite is closed both with respect to compositions and arbitrary pullbacks.

For example, the property $(G9_{\mathcal{U}})$ of glutoses (see section 8) can be reformulated in this terms as follows: every locally open arrow in a glutos is open.

Let τ be a pretopology on a category C. Define the pretopology $\mathcal{M}\tau$, resp. $\mathcal{L}\tau$, resp. $\mathrm{SG}(\tau)$ on the category C as follows: coverings of $\mathcal{M}\tau$ are all coverings of τ consisting of mono's; coverings of $\mathcal{L}\tau$ are all sinks of τ consisting of pullbackable locally open arrows of C and having a refinement belonging to a pretopology τ (the latter definition is correct because pullbackable locally open arrows form a clopos structure as stated above); at last let $\mathrm{SG}(\tau) = (\mathcal{L}\mathcal{M}\tau) \cap \tau$. One has, evidently, the following inclusions:

$$\mathcal{M}\tau\subset\mathrm{SG}(\tau)\subset\tau\subset\mathcal{L}\tau$$

The operations \mathcal{M}, \mathcal{L} and SG can be continued to the endo-2-functors (denoted by the same symbols) on the 2-category **Psite**, whereas the chain of inclusions above produce the chain of 2-functor morphisms

$$\mathcal{M} \hookrightarrow \mathrm{SG} \hookrightarrow \mathrm{Id}_{\mathbf{Psite}} \hookrightarrow \mathcal{L} , \tag{7}$$

which go to identity 2-functor morphisms when being composed with the neglecting 2-functor from Psite to the 2-category Cat of all categories.

It is evident that for any \mathcal{U} -presite \mathcal{C} the presite \mathcal{LC} is an \mathcal{U} -presite (but the author do not know at present whether or not the same is true for \mathcal{MC} and SGC). An evident fact that the Grothendieck *topologies* generated by pretopologies of \mathcal{C} and of \mathcal{LC} coincide, imply, together with the construction of universal glutoses out of the corresponding category of "big" sheaves (see Appendix A), the following

Proposition 9.1 For any U-presite C there is a canonical natural equivalence $\tilde{C} \approx \tilde{\mathcal{LC}}$; in more details, the composition arrow

$$\mathcal{C} \hookrightarrow \mathcal{LC} \xrightarrow{Y_{\mathcal{LC}}} \widetilde{\mathcal{LC}}$$

is a universal arrow for C.

The following proposition describes the monoid of endo-2-functors generated by \mathcal{M}, SG and $\mathcal{L}.$

Proposition 9.2 The 2-functors \mathcal{M} , SG and \mathcal{L} satisfy the following algebraic relations:

$$\begin{split} \mathcal{M}^2 &= \mathcal{M}, \quad \mathrm{SG}^2 = \mathrm{SG}, \quad \mathcal{L}^2 = \mathcal{L}, \\ (\mathcal{M}\mathcal{L})^2 &= \mathcal{M}\mathcal{L}, \quad (\mathcal{L}\mathcal{M})^2 = \mathcal{L}\mathcal{M}, \quad \mathrm{SG}\mathcal{L} = \mathcal{L}\mathcal{M}\mathcal{L} \\ \mathcal{L}\mathrm{SG} &= \mathcal{L}\mathcal{M}, \quad \mathrm{SG}\mathcal{M} = \mathcal{M}, \quad \mathcal{M}\mathrm{SG} = \mathcal{M}. \end{split}$$

The only relation amongst those above, whose verification uses drawing of some diagrams is that stating the idempotence of the functor \mathcal{L} .

The first three relations of proposition 9.2 together with the universality properties of functor morphisms (7) imply that both the full 2-subcategory of presites stable by \mathcal{M} and of presites stable by SG are coreflective in **Psite**, whereas the full 2-subcategory of presites stable by \mathcal{L} is reflective in **Psite**.

A presite stable by \mathcal{M} , resp. by SG, resp. by \mathcal{L} will be called an \mathcal{M} -presite, resp. an SG-presite, resp. an \mathcal{L} -presite. In other words, a presite \mathcal{C} is an \mathcal{M} -presite iff any covering of it consists of mono's; it is an SG-presite iff any clopen arrow of it is locally a clopen mono; it is an \mathcal{L} -presite iff any arrow of it which is locally clopen is clopen.

In particular, any \mathcal{U} -glutos is an \mathcal{L} -presite; an \mathcal{U} -glutos (\mathcal{C}, \mathcal{O}) is an SG-presite iff for any object X of \mathcal{C} the topos \mathcal{O}/X is an SG-topos as defined in [8], which justifies the name "SG-glutos" for the general case.

Glutoses of examples (1)-(4) of section 3 above are SG-glutoses, as well as $\mathcal{C}^{\mathcal{D}}$ when \mathcal{C} is an SG-glutos; the glutos of étale schemes is not an SG-glutos. Any \mathcal{U} -topos has, canonically, a structure of an SG-glutos, if one defines open arrows as just those arrows $u: \mathcal{U} \longrightarrow X$ which locally are mono (here "locally" is, of course, with respect to canonical pretopology of the topos). In fact, the latter example can be generalized, as shows the following proposition, easily deduced from "Giraud theorem" 8.2 and the fact that \mathcal{U} -toposes are locally \mathcal{U} -small (see p.251 of [16]):

Proposition 9.3 For any \mathcal{U} -glutos \mathcal{C} the presite SG $\mathcal{C} = \mathcal{LMC}$ is, in fact, an \mathcal{U} -glutos.

Remark 9.1 Let C be an U-glutos such that \mathcal{MC} (and, hence, SGC) is an U-glutos. Consider the arrow

$$SG\mathcal{C} \longrightarrow SG\mathcal{C},$$
 (8)

obtained from the universal arrow $Y_{\mathcal{C}}: \mathcal{C} \longrightarrow \widetilde{\mathcal{C}}$ by applying the pseudofunctor $\sim \text{oSG}$ to it and using Prop. 9.3 afterwards. The arrow (8) is fully faithful if the pretopology of \mathcal{C} is subcanonical; the inclusion arrow (6) of sect. 8 is the particular case of the arrow (8).

The following addition to Theorem 8.4 states that the set of SG-presites is stable by the reflection \sim :

Proposition 9.4 If C is an SG-presite, then the universal glutos for C is an SG-glutos.

It is clear from above that any SG-glutos can be obtained as a universal glutos for some \mathcal{M} -presite \mathcal{C} and that the corresponding universal arrow $Y_{\mathcal{C}}: \mathcal{C} \longrightarrow \widetilde{\mathcal{C}}$ for an \mathcal{M} - \mathcal{U} -presite \mathcal{C} can be pulled through the presite \mathcal{MC} as

$$\mathcal{C} \xrightarrow{Y'_{\mathcal{C}}} \mathcal{M}\widetilde{\mathcal{C}} \hookrightarrow \mathcal{L}\mathcal{M}\widetilde{\mathcal{C}}, \tag{9}$$

where $Y'_{\mathcal{C}} = \mathcal{M}Y_{\mathcal{C}}$.

Call an \mathcal{U} -presite \mathcal{C} nearly \mathcal{U} -glutos if it is naturally equivalent to a presite \mathcal{MC} for some \mathcal{U} -presite \mathcal{C} . Meditating over the decomposition (9) one can conclude that the full 2-subcategory of nearly \mathcal{U} -glutoses is reflective in that of all \mathcal{M} - \mathcal{U} -presites, whereas the arrow $Y'_{\mathcal{C}}$ in (9) is the unit of the corresponding adjunction. Besides, the construction of universal glutos for a nearly \mathcal{U} -glutos \mathcal{C} consists simply in adding of all locally clopen arrows to the set of clopen arrows.

The next proposition giving an "internal" description of nearly \mathcal{U} -glutoses is a kind of "Giraud theorem" for them.

Proposition 9.5 Let (\mathcal{C}, τ) be an \mathcal{M} -presite such that \mathcal{C} is an \mathcal{U} -category and the pretopology τ is subcanonical. Then (\mathcal{C}, τ) is a nearly \mathcal{U} -glutos iff the set \mathcal{O}_{τ} of clopen arrows of it satisfies condition $(G4_{\mathcal{U}} + 5)$ as well as the following conditions:

(NG1) For any object X of C the set of clopen subobjects of X is U-small;

(NG2) Any family $\{U_i \xrightarrow{u_i} X\}_{i \in I}$ of clopen arrows has a factorization into a covering

 $\{U_i \xrightarrow{u'_i} U_i\}_{i \in I}$ followed by a clopen arrow $U_i \xrightarrow{u_i} X$ (in particular, unions of arbitrary families of clopen subobjects of X exist (in the lattice of all subobjects of X) and are clopen):

(NG3) Any epi sink consisting of clopen arrows is a covering of τ (and, hence, is universal effective epi).

Note that the pretopology of a nearly \mathcal{U} -glutos is uniquely recovered from the underlying clopos structure (just as in the case of \mathcal{U} -glutoses), so that we will consider nearly \mathcal{U} -glutoses either as presites or as cloposes, depending on circumstances.

Remark 9.2 The definition of glueing data (see sect. 6) with values in mono's of a category C can be essentially simplified. Namely, define for any set I the category $\Gamma' I$ as follows. The set of objects of $\Gamma' I$ is the free commutative idempotent monoid W(I)/R over I (i.e. the set of "relations" R consists of two relations: $X^2 = X$ and XY = YX). For any objects X and Y of $\Gamma' I$ there exists the only arrow $X \longrightarrow Y$ iff there exists Z such that YZ = X. Let n be a natural number. Denote $\Gamma_n I$ the full subcategory of $\Gamma' I$ consisting of all monomials over the variables from I of degree $\leq n$, with the neutral element of the monoid W(I)/R excluded; let $\Gamma_+ I$ be the union of all $\Gamma_n I$ (it will be supposed further that the (discrete) category $\Gamma_1 I$ coincides with the set I).

Now call a functor $U: \Gamma_2 I \longrightarrow C$ with values in mono's of C an \mathcal{M} -gluon or \mathcal{M} -glueing data if there exists its continuation on $\Gamma_3 I$ which respects pullbacks existing in $\Gamma_3 I$ ("cocicle condition"); it then follows that there exists a continuation U_+ of U on the whole $\Gamma_+ I$ respecting pullbacks of $\Gamma_+ I$ and U_+ is unique up to a functor isomorphism.

It is evident enough that one can replace, in the context of \mathcal{M} -presites or nearly \mathcal{U} -glutoses, open glueing data by "equivalent" \mathcal{M} -glueing data.

The following proposition generalizes the realization of sheaves over topological space X as sheaves of sections of corresponding fibre bundles over X.

Proposition 9.6 Let $(\mathcal{C}, \mathcal{O})$ be a nearly \mathcal{U} -glutos and \mathcal{LO} be the set of all locally clopen arrows of it. Then for any object X of C the category \mathcal{LO}/X is naturally equivalent to the SG-topos ShX of sheaves over the complete Heyting algebra $\mathcal{O}(X)$ of clopen subobjects of X.

Indications to the proof. The corresponding natural equivalence $J: \operatorname{Sh} X \xrightarrow{\approx} \mathcal{LO}/X$ can be constructed as follows. Let $s: \mathcal{O}(X) \longrightarrow \mathcal{O}/X$ be some natural equivalence selecting for any clopen subobject u of X a clopen arrow $su: U \longrightarrow X$ representing this subobject. Given a sheaf $F: \mathcal{O}(X) \longrightarrow \operatorname{Set}_{\mathcal{U}}$, we want to construct a locally clopen arrow $JF: E \longrightarrow X$ such that its sheaf of sections $(u \in \mathcal{O}(X) \mapsto [su, JF])$ is isomorphic to F. As a first approximation to JF one can take the coproduct (in \mathcal{LO}/X):

$$p = \coprod_{u \in \mathcal{O}(X)} F(u) \otimes su$$

where $S \otimes Y$ means the coproduct of the family $\{Y\}_{i \in S}$ (copower of Y). Unfortunately, p has too many sections as compared to F, so that to obtain JF from p one needs to "glue together" any two summands of p along the maximal clopen arrow where they are to coincide.

Now we will go from informal considerations above to the formal constructions. Define first the "index set" I_F as

$$I_F = \prod_{u \in \mathcal{O}(X)} F(u).$$

define a partial order relation on I_F such that for any pair $\langle u, x \rangle$, $\langle v, y \rangle$ $(u, v \in \mathcal{O}(X), x \in F(u), y \in F(v))$ of elements of I_F one has that $\langle u, x \rangle \leq \langle v, y \rangle$ iff $u \leq v$ and $x = \rho_u^v y$, where, of course, $\rho_u^v: F(v) \longrightarrow F(u)$ are the corresponding restriction maps of the sheaf F.

For any pair $i = \langle u, x \rangle$ and $j = \langle v, y \rangle$ of elements of I_F there exists the intersection $i \wedge j = \langle w, z \rangle$, where $w \leq u \wedge v$ is the biggest element of $\mathcal{O}(X)$ such that $\rho_w^u x = \rho_w^v y$ and $z = \rho_w^u x$; note that this property of I_F essentially depends on the fact that F is a sheaf and not simply a presheaf.

There exists the only functor

$$\varphi \colon \Gamma_+ I_F \longrightarrow I_F$$

such that φ is the identity map on $I_F = \Gamma_1 I_F \subset \Gamma_+ I_F$ and, besides, for any pair *i*, *j* of elements of I_F the identity $\varphi(ij) = i \wedge j$ holds. Recall that the category $\Gamma_+ I$ is defined in Remark 9.2 above and that I_F is a category being a partially ordered set.

There is as well an evident neglecting functor $I_F \longrightarrow \mathcal{O}(X)$ ($\langle u, x \rangle \mapsto u$), which produces some functor $N: I_F \longrightarrow \mathcal{LO}/X$, when being composed with the chain of functors

$$\mathcal{O}(X) \xrightarrow{s} \mathcal{O}/X \hookrightarrow \mathcal{LO}/X.$$

Composing now the functor N with the restriction of the functor φ (constructed above) on the subcategory $\Gamma_2 I_F$ of $\Gamma_+ I_F$ one obtains some functor

$U_F: \Gamma_2 I_F \longrightarrow \mathcal{LO}/X.$

One can verify easily that the functor U_F is an \mathcal{M} -gluon, whereas its colimit U_F . in \mathcal{LO}/X can play the role of the locally clopen arrow JF corresponding to the sheaf $F \blacksquare$

Remark 9.3 In an earlier author's work [12] the term " \mathcal{U} -glutos" meant something which is now called "nearly \mathcal{U} -glutos", whereas \mathcal{M} - \mathcal{U} -presites with a subcanonical pretopology were called there " \mathcal{U} -preglutoses"; the main result of [12] was, in this terms, that every \mathcal{U} preglutos has a universal completion to an \mathcal{U} -glutos, whereas its proof has used generalized "charts and atlases routine" (see the next section). Later on it was observed the presence of SG-toposes "inside" glutoses just via Prop.9.6, and the natural question arose how to generalize both the very notion of glutos (so that *arbitrary* toposes can occur in place of SG-toposes) and the theorem of existence of universal glutoses (charts and atlases method failed to prove Theorem 8.4 due to the reasons explained in Appendix A).

10 Charts and Atlases

In this section a way of constructing of universal glutoses (or, rather, of nearly glutoses) by means of charts and atlases is considered, applicable for \mathcal{M} -presites with subcanonical pretopology.

Give first some necessary definitions. A continuous functor $J: \mathcal{C} \longrightarrow \mathcal{D}$ between presites will be said to reflect coverings if for any family $\{u_i: U_i \longrightarrow X\}_{i \in I}$ of clopen arrows of \mathcal{C} the fact that $\{Ju_i: JU_i \longrightarrow JX\}_{i \in I}$ is a covering in \mathcal{D} implies that $\{u_i: U_i \longrightarrow X\}_{i \in I}$ is a covering in \mathcal{C} ; if, besides, \mathcal{C} is an \mathcal{M} -presite with subcanonical pretopology and the underlying functor of J is faithful then J will be said to admit atlases.

An \mathcal{M} -presite with subcanonical pretopology will be called an **DG**-presite if it satisfies the factorization condition (NG2) in Prop.9.6 above for arbitrary sinks of clopen arrows (DG above deciphers as "differential-geometrical", because presites of this kind are typical just for differential geometry).

Let \mathcal{C} be an \mathcal{M} - \mathcal{U} -presite with subcanonical pretopology and $J: \mathcal{C} \longrightarrow \mathcal{D}$ be an arrow admitting atlases, such that \mathcal{D} is a nearly \mathcal{U} -glutos, where the universe \mathcal{U} is any universe containing \mathcal{U} as a subset. In constructing the universal nearly \mathcal{U} -glutos for \mathcal{C} one can use the arrow J considering objects of $\tilde{\mathcal{C}}$ as objects of \mathcal{D} with additional structure.

In fact, the process of completion of C to \tilde{C} using the arrow J can be performed in two steps: first, one completes C with objects which are "unions of families of clopen subobjects", arriving to a universal DG-presite, associated with C; the second step is the completion of the DG-presite so obtained with objects, which are colimits of clopen \mathcal{M} gluons. Only the second step will be described below, because it occurs very frequently in practice.

So let us assume that the arrow $J: \mathcal{C} \longrightarrow \mathcal{D}$ admitting atlases is given such that \mathcal{C} is a DG- \mathcal{U} -presite, whereas \mathcal{D} is a DG- \mathcal{U} -presite, where the universe \mathcal{U} contains the universe \mathcal{U} as a subset.

Let X be an object of \mathcal{D} . An \mathcal{U} -small family $\{U_i\}_{i \in I}$ of objects of \mathcal{C} together with a covering $\{JU_i \xrightarrow{u_i} X\}_{i \in I}$ of X will be called an J-atlas on X if for every $i, j \in I$ the

$$\begin{array}{c|c}
JU_{ij} & Ju'_{j} \\
Ju'_{i} \\
JU_{i} & u_{i} \\
JU_{i} & u_{i} \\
\end{array} \\
\begin{array}{c}
Ju_{j} \\
u_{j} \\
X
\end{array}$$

(10)

such that both u'_i and u'_j are clopen arrows of C. Any arrow u_i will be called a chart of the corresponding *J*-atlas.

We will identify further a sink $\{JU_i \xrightarrow{u_i} X\}_{i \in I}$ with a *J*-atlas, omitting its first component $\{U_i\}_{i \in I}$; we will write as well "atlas" instead of "*J*-atlas", when this will not lead to confusion.

Remark 10.1 The fact that J admits atlases imply that if clopen arrows $U \xrightarrow{u} V$ and $U' \xrightarrow{u'} V$ are such that both Ju and Ju' represent one and the same clopen subobject of JV then u and u' represent one and the same subobject of V (i.e. there exists an iso i such that u' = ui).

In particular, clopen arrows u'_i in the definition of atlases above (see the pullback (10)) are, essentially, unique, determining, thus, some clopen \mathcal{M} -glueing data in \mathcal{C} such that X is their "colimit in \mathcal{D} ".

Given atlases A and A' on X we will say that A is compatible with A' if the union sink $A \cup A'$ (whose definition is evident) is an atlas on X as well. One can prove that the relation between atlases just defined is, in fact, an equivalence relation; the equivalence class of an atlas A will be denoted further [A].

Let $A = \{JU_i \xrightarrow{u_i} X\}_{i \in I}$ be an atlas on X and $B = \{JV_k \xrightarrow{v_k} Y\}_{k \in K}$ be an atlas on Y. An arrow $f: X \longrightarrow Y$ will be called A-B-admissible if for any chart u_i of the atlas A and for any chart v_k of the atlas B the pullback of v_k along fu_i has a representation



such that w_{ik} is a clopen arrow of C.

Proposition 10.1 If an arrow $f: X \longrightarrow Y$ of \mathcal{D} is A-B-admissible for some atlases A and B, then f is A'-B'-admissible for any atlases $A' \in [A]$ and $B' \in [B]$; if, besides, an arrow $g: Y \longrightarrow Z$ is B-C-admissible, then the composition arrow gf is A-C-admissible.

The latter proposition justifies correctness of the following definitions and constructions. First, call the arrow f above [A]-[B]-admissible if it is A-B-admissible. Now one can define the category C_J as follows. Objects of C_J are all pairs $\langle X, [A] \rangle$ consisting of an object X of \mathcal{D} and an equivalence class [A] of atlases on it. Arrows of C_J are all triples $\langle\langle X, [A] \rangle, f, \langle Y, [B] \rangle\rangle$ such that the arrow $f: X \longrightarrow Y$ is [A]-[B]-admissible (and we will write simply f instead of the whole triple in situations not leading to confusion).

There are evident functors $J_{\mathcal{C}}: \mathcal{C} \longrightarrow \mathcal{C}_J (X \mapsto (JX, [\{Id_X\}]))$ and $J': \mathcal{C}_J \longrightarrow \mathcal{D}$ (forget atlases). There is the natural pretopology on \mathcal{C}_J making both $J_{\mathcal{C}}$ and J' continuous. This pretopology is defined as follows. Declare a monic arrow $f: X \longrightarrow Y$ between objects $\langle X, [A] \rangle$ and $\langle Y, [B] \rangle$ of \mathcal{C}_J J-clopen if all arrows f_{ik} in the diagram (11) above are clopen arrows of \mathcal{C} (it follows then from the condition (NG2) that f is a clopen arrow of \mathcal{D}). Let τ consists of all sinks S in \mathcal{C}_J such that any arrow of S is J-clopen and J'S is a covering in \mathcal{D} .

One can prove that τ is really a pretopology on C_J and the functors J_C and J' become continuous if one equips the category C_J with the pretopology τ . The notations C_J , J_C and J' will be reserved as well to denote the corresponding presite and morphisms of presites. Note that the equality $J = J'J_C$ holds.

Now, at last, one can formulate the theorem giving a construction of universal nearly U-glutos by means of charts and atlases.

Theorem 10.2 Let C be a DG-U-presite, D be a DG U-presite for $U \subset U'$. Let the arrow $J: C \longrightarrow D$ admits atlases. Then the presite C_J constructed above is a DG-U-presite. If, moreover, D is a nearly U'-glutos then C_J is a universal nearly U-glutos for C, whereas the arrow $J_C: C \longrightarrow C_J$ is a corresponding universal arrow.

Applying this theorem to standard constructions of differential geometry (manifolds, vector bundles, principal G-bundles, etc.,) one can check that all this constructions are just particular cases of universal (nearly) \mathcal{U} -glutos construction. But to check that certain functors of algebraic geometry like Spec above fall as well into this scheme, one needs another tools. The theorem below gives sufficient criteria for an arrow between presites to be universal.

Before formulating this theorem one needs to introduce one more definition. An arrow $F: \mathcal{C} \longrightarrow \mathcal{D}$ will be said to locally reflect clopens if for any arrow $u: U \longrightarrow X$ of \mathcal{C} the fact that Fu is clopen implies that u is locally clopen.

Theorem 10.3 Let C be an M-presite with a subcanonical pretopology, D be a nearly U-glutos and $Y: C \longrightarrow D$ be a continuous functor. Then the following statements are equivalent:

(a) Y is a universal arrow for C;

(b) Y is fully faithful, reflects coverings, locally reflects clopens and, besides, for every object D of D there exists an U-small covering $\{u_i: YU_i \longrightarrow D\}_{i \in I}$ of D by "objects of C".

Now the universality of the arrow Spec can be established just with the help of Theorem 10.3. This theorem can be applied as well to obtain necessary and sufficient conditions for a given \mathcal{U} -valued functor on the category **Ring** to be representable by a Grothendieck scheme; these conditions can be formulated in terms of Zariski pretopology on the category **Ring**^{op}. (cf. the existence problem of Grothendieck as formulated in [15]).

A The idea of the proof of Th.8.4

Let a set \mathcal{U}' be a universe such that $\mathcal{U} \subset \mathcal{U}'$ and \mathcal{C} is \mathcal{U}' -small (recall that we are living, due to Sect. 1, in "Grothendieck's paradise" restricted from above by the universal class of all sets). Let $\mathrm{Sh}_{\mathcal{U}'}\mathcal{C}$ be the topos of \mathcal{U}' -valued sheaves on \mathcal{C} , considered as a presite via canonical pretopology τ .

In constructing the universal arrow $Y_{\mathcal{C}}: \mathcal{C} \longrightarrow \widetilde{\mathcal{C}}$ the Yoneda functor $Y: \mathcal{C} \longrightarrow \operatorname{Sh}_{\mathcal{U}'} \mathcal{C}$ can be used, whereas \mathcal{U}' -valued sheaves can be considered as building blocks in the process of construction of $\widetilde{\mathcal{C}}$.

In more details, let T be a (non-elementary) theory whose axioms are axioms of elementary theory of categories together with axioms (PT1)-(PT4) of presites and conditions (G2), (G4_U), (G5) and (G6_U) imposed on the set of clopen arrows (these conditions are the same as in "Giraud theorem" 8.2, *excepting* the U-smallness condition (G7_U)). The presite Sh_UC is easily seen to be a model of the theory T and one can prove that submodels of T form a complete lattice with respect to the inclusion functors. The latter lattice is, essentially, a closure system on the set

$$X = \operatorname{Mor}(\operatorname{Sh}_{\mathcal{U}'}\mathcal{C}) \coprod \tau \; .$$

One can prove that the *T*-closure of the image YC of C by Yoneda functor in $\operatorname{Sh}_{\mathcal{U}'}C$ is not only model of *T* but satisfies the condition $(G7_{\mathcal{U}})$ as well. In other words, it is an \mathcal{U} -glutos and one can show further that it is the universal glutos \tilde{C} .

In proving this it is useful to "translate" axioms of the theory T into the set of rules of inference (in the sense of [1]) on the set X, whereas arrows and coverings in YC to consider as axioms of the corresponding (infinitary) formal system (denoted further FS(T)). Then the T-closure of YC in ShurC turns out to be, essentially, the set of theorems of the formal system FS(T).

It is convenient (as well as more informative) to separate the subtheory T_{sub} of "presites with subcanonical pretopology" in T; considering first the T_{sub} -closure of YC one can prove that the full sub-2-category of subcanonical \mathcal{U} -presites is reflective in **Psite**_{\mathcal{U}}. This reduces the proof of Theorem 8.4 to the particular case of \mathcal{U} -presites C with subcanonical pretopology.

In proving that both T_{sub} -closure C_{sub} and T-closure \tilde{C} of YC in $\operatorname{Sh}_{\mathcal{U}'}C$ have \mathcal{U} -small local sets of topological generators (see condition (LTG \mathcal{U}) of sect. 7) the following Lemma, easily deduced from Lemme 3.1 on p.231 of [16], is crucial:

Lemma A.1 Let C be an \mathcal{U} -presite. Then the Yoneda map $Y: \mathcal{C} \longrightarrow \operatorname{Sh}_{\mathcal{U}'} \mathcal{C}$ has the following property: for any objects X and X' of \mathcal{C} and any arrow $f: YX \longrightarrow YX'$ there exists a covering $\{V_i \xrightarrow{v_i} X\}_{i \in I}$ in C such that the set of objects $\{V_i : i \in I\}$ is a subset of the set G_X of local generators over X and for any $i \in I$ there exists an arrow $v'_i: V_i \longrightarrow X'$ such that the identity $fv_i = Y(v'_i)$ holds.

It is just applications of this lemma in transfinite induction on the length of proofs (in formal systems $FS(T_{sub})$ and FS(T)) which permits one to prove that both C_{sub} and \tilde{C} are \mathcal{U} -presites. Moreover, one can prove that any object, arrow and covering of the T_{sub} -closure C_{sub} has a finite proof, which permits one to describe the presite C_{sub} explicitly.

Now the universality properties of the corresponding arrows $Y'_{\mathcal{C}}: \mathcal{C} \longrightarrow \mathcal{C}_{sub}$ and $Y_{\mathcal{C}}: \mathcal{C} \longrightarrow \widetilde{\mathcal{C}}$ follow from that of "sheafified Yoneda functors" if one applies (transfinite) induction on the length of proofs: given a continuous functor $F: \mathcal{C} \longrightarrow \mathcal{D}$ into a subcanonical \mathcal{U} -presite, resp. into an \mathcal{U} -glutos one has that any element Z (arrow or covering) of \mathcal{C}_{sub} , resp. of $\widetilde{\mathcal{C}}$ having a proof P, where a family of axioms $\{A_i\}_{i \in I}$ from \mathcal{C} were used, goes by the functor

$$\operatorname{Sh}_{\mathcal{U}'}(F) \colon \operatorname{Sh}_{\mathcal{U}'}(\mathcal{C}) \longrightarrow \operatorname{Sh}_{\mathcal{U}'}(\mathcal{D})$$

into an element Z' which has "the same" proof in $\operatorname{Sh}_{\mathcal{U}}(\mathcal{D})$ as Z has in $\operatorname{Sh}_{\mathcal{U}}(\mathcal{C})$ with only the family $\{A_i\}_{i\in I}$ of axioms replaced by the family $\{FA_i\}_{i\in I}$. This implies that Z' belongs to the closure of \mathcal{D} (naturally equivalent to \mathcal{D} , because \mathcal{D} is a model of T_{sub} , resp. of T), i.e. the restriction of the functor $\operatorname{Sh}_{\mathcal{U}}(F)$ on \mathcal{C}_{sub} , resp. on $\widetilde{\mathcal{C}}$ can be pulled through \mathcal{D} .

It turns out, that if \mathcal{C} is an SG-presite with subcanonical pretopology, then any theorem of the formal system FS(T) has a proof of a fixed finite length. In this case one can use as well another continuous functors $F:\mathcal{C} \longrightarrow \mathcal{D}$ in place of Yoneda functor in constructing of $\tilde{\mathcal{C}}$ (namely, functors admitting atlases defined in sect. 10 above).

References

- [1] P. Aczel: An Introduction to the Theory of Inductive Definitions, in: Handbook of Mathematical Logic, J. Barwise (Ed.), North-Holland Publ. comp. (1977).
- [2] P.M. Cohn: The Affine Scheme of a General Ring, in: LNM, Vol.753 (1979).
- [3] P.M. Cohn: The Universal Algebra, Harper & Row Publ. (1965).
- [4] M. Coste: Localization, Spectra and Sheaf Representation, in: LNM, Vol.753 (1979).
- [5] E.J. Dubuc: C[∞]-Schemes, Amer. Journ. of Math., Vol. 103, No. 4, pp.683-690 (1981).
- [6] P. Gabriel, F. Ulmer: Lokal Präsentierbare Kategorien, LNM, Vol.221 (1971).
- [7] J.W. Gray: Formal Category Theory: Adjointness for 2-Categories, LNM, Vol.391 (1974).
- [8] P.T. Johnstone: Topos Theory, Academic press (1977).
- [9] A. Joyal, I. Moerdijk: A Completeness Theorem for Open Maps, preprint (1992).
- [10] R. Hartshorne: Algebraic Geometry, Graduate Texts in Mathematics, Vol.52, Springer-Verlag (1977).
- [11] J. Koslowsky: Closure Operators with Prescribed Properties, in: LNM, Vol.1348, pp.208-220 (1987).
- [12] V. Molotkov: Glutoses and Non-commutative Schemes, in: Theses of the Intern. Conf. on Geometry, Smolen, Bulgaria (1986).
- [13] V. Molotkov: Glutoses: A Brief Announcement, to be published in: Proc. of the XXII Spring Conf. of the Union of Bulgarian Mathematicians (1993).

- [14] Anthony P. Morse: A Theory of Sets, Academic Press (1965).
- [15] Mumford: Lectures on Curves on An Algebraic Surface, Annals of mathematics studies, n.59, Princeton Univ. Press (1966).
- [16] A. Grothendieck, J.L. Verdier: Théorie des topos, LNM, Vol. 269 (1972).

Received by Publishing Department on February 15, 1993.