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ON MODEL SPIN HAMILTONIANS INCLUDING LONG-RANGE FERROMAGNETIC INTERACTION





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Considerable interest has been expressed recently in the study of model Hamiltonians with competing short-range and infinitely long-range interactions /1.2/. It has been assumed, on physical grounds, that the longrange part of the Hamiltonian can be treated by a meanfield theory. This suggested the present rigorous investigation of a general spin  $\frac{1}{2}$  system described by the Hamiltonian:

$$\mathfrak{H} = \mathfrak{H}_{S} + \mathfrak{H}_{L} - N \sum_{\alpha=1}^{S} h_{\alpha} l_{\alpha} .$$
 (1)

Here  $\mathcal{H}_s$  is an unspecified N-body Hamiltonian satisfying the condition:

$$||[\mathcal{H}_{S}, I_{a}]_{-}|| \le K$$
  $a = 1, 2, 3.$  (2)

where ||...|| denotes the norm of the commutator,  $K < \infty$  does not depend on N, and

$$I_{a} = \frac{1}{N} \sum_{i=1}^{N} \sigma_{i}^{a} \qquad a = 1, 2, 3.$$
 (3)

with Pauli matrices  $\sigma_i^a$  standing for the components of the spin-vector operator at  $i^{th}$  site, so that  $l_a$  obeys:

$$||I_a|| \le 1$$
;  $||[I_a, I_\beta]_-|| \le \frac{2}{N}$ . (4)

We assume the infinitely long-range part  $\mathcal{H}_L$  of the Hamiltonian (1) to be of a ferromagnetic type and take it in the form:

$$\mathcal{H} = -\frac{1}{2} N \sum_{\alpha=1}^{3} J_{\alpha} I_{\alpha}^{2}, \qquad (5)$$

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where  $J_{\alpha}$  are positive interaction parameters. The last term in the right-hand side of (1) is the magnetic energy of the system, placed in an external field  $\{h_{\alpha}\}$ .

First we show how the Thermodynamically Equivalent Hamiltonian method /3.4/ can be rigorously applied to the present case \*.

We introduce a set of variational parameters  $\{C_{\alpha}\}$ (a = 1, 2, 3) into (1) and rewrite the Hamiltonian in the form:

$$\mathcal{H} = \mathcal{H}_{o}(\{C_{a}\}) + \mathcal{H}'(\{C_{a}\}), \qquad (6)$$

where:

$$\mathcal{H}_{o}(\{C_{a}\}) = \mathcal{H}_{s} - N \sum_{a} (h_{a} + J_{a}C_{a})I_{a} + \frac{1}{2}N \sum_{a} J_{a}C_{a}^{2}, \quad (7)$$

$$\mathcal{H}'(\{C_{a}\}) = -\frac{1}{2}N \sum_{a} J_{a}(I_{a} - C_{a})^{2}. \quad (8)$$

The canonical free energy per spin  $f[\mathcal{H}]$ , associated with  $\mathcal{H}$ , is defined by:

$$f[\mathcal{H}] = -\frac{1}{\beta N} \ln Tr e^{-\beta \mathcal{H}}$$

where  $\beta = \frac{1}{\theta}$  is the inverse temperature.

In order to investigate the contribution from the residual Hamiltonian  $\mathcal{H}'$  to the free energy of the system  $\mathcal{H} = \mathcal{H}_0 + \mathcal{H}'$  we use Bogolubov's theorem (see the proof in ref. /6/) which yields:

$$-\frac{1}{N} < \mathcal{H}' >_{o} \leq f[\mathcal{H}_{o}] - f[\mathcal{H}] \leq -\frac{1}{N} < \mathcal{H}' >_{o}.$$
(9)

Here  $<...>_0$  and <...> denote thermal average with respect to  $\mathcal{H}_0$  and  $\mathcal{H}$  correspondingly.

Due to the explicit form (8) of  $\mathcal{H}'$ , we have:

\* It should be noted that a system given by  $H_L - N \sum_a h_a l_a$ has been treated rigorously in ref. /5/.

$$0 \le f[\mathcal{H}_{0}(\{C_{a}\})] - f[\mathcal{H}] \le \frac{1}{2} \sum_{a} J_{a} < (I_{a} - C_{a})^{2} > .$$
(10)

It is readily seen from (10) that the best approximation to the exact thermodynamic potential  $f[\mathcal{H}]$  is obtained when trial parameters  $\{C_a\}$  obey the condition for absolute minimum of  $f[\mathcal{H} (\{C_a\})]$  with respect to  $\{C_a\}$ :

$$f[\mathcal{H}_o(\{\bar{C}_a\})] = \min_{\{C_a\}} f[\mathcal{H}_o(\{C_a\})].$$
(11)

This yields the following self-consistent field equations:

$$\bar{C}_a = \langle I_a \rangle_0 \qquad a = 1, 2, 3,$$
 (12)

where the thermal average is taken with  $\mathcal{H}_{o}(\{\overline{C}_{a}\})$ .

Now the essential problem is to prove rigorously that  $\mathcal{H}_{\theta}(\{\overline{C}_a\})$  is thermodynamically equivalent to  $\mathcal{H}$ . To this end we make use of the majoration technique of N.N.Bogolubov (Jr.) /7/.

From (10) and (11) we see that:

$$0 \leq f[\mathcal{H}_{o}(\{\overline{C}_{a}\})] - f[\mathcal{H}] \leq f[\mathcal{H}_{o}(\{\langle I_{a} \rangle\})] - f[\mathcal{H}] \leq \frac{1}{2} \sum_{a} J_{a} \langle (I_{a} - \langle I_{a} \rangle)^{2} \rangle.$$
(13)

Hence, following ref.  $^{/7/}$ , we find that the difference of the normalized free energies:

$$\Delta(\theta, \{h_{\alpha}\}) \equiv f[\mathcal{H}_{0}(\{\bar{C}_{\alpha}\})] - f[\mathcal{H}]$$
(14)

is majorized by:

$$\frac{1}{2} \sum_{a} J_{a} < (I_{a} - < I_{a} >)^{2} > \leq \frac{\theta}{2N} \sum_{a} J_{a} \left( -\frac{\partial^{2} f[\mathcal{H}]}{\partial h_{a}^{2}} \right) + \left( \frac{1}{2N} \right)^{2/3} \sum_{a} J_{a} \left( ||[\mathcal{H}, I_{a}]_{-}|| \right)^{2/3} \left( -\frac{\partial^{2} f[\mathcal{H}]}{\partial h_{a}^{2}} \right)^{2/3}$$

$$(15)$$

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An upper bound on the average of  $\Delta(\theta, \{h_a\})$  over a small region  $\Omega \ell$  in  $(h_1, h_2, h_3)$  -space

 $\Omega_{\ell} = \bigotimes_{\alpha} [h_{\alpha}, h_{\alpha} + \ell]$ surrounding the point  $\{\xi_{\alpha}\} \in \Omega_{\ell}$  is then given by:

$$\Delta(\theta, \{\xi_{a}\}) = \frac{1}{\ell^{3}} \iiint_{\Omega_{\ell}} \beta^{d_{\ell}} \beta^{\Delta}(\theta, \{t_{a}\}) \leq \\ \leq 3J_{max} \left\{ \frac{\theta}{\ell N} + \left[ \frac{K + 2(J_{max} - J_{min}) + 4(|h| + \ell)}{\ell N} \right]^{2/3} \right\}$$
(16)

if the following relations are used

$$0 \leq \frac{1}{\ell^3} \iiint \Pi d\iota \left( -\frac{\partial^2 f[\mathcal{H}]}{\partial t_a^2} \right) = \frac{1}{\ell^3} \iint_{\beta \neq a} \Pi d\iota_{\beta} [\langle I_a \rangle|_{h_a} + \ell]$$
$$- \langle I_a \rangle|_{h_a}] \leq \frac{2}{\ell},$$
$$||[\mathcal{H}, I_a]_{-}|| \leq K + 2(J_{max} - J_{min}) + 4|h| = L(h),$$

(where  $J_{max}$  ( $J_{min}$ ) stands for the maximum (minimum) value of  $J_a$  ) and applying Hölder's integral inequality to the last term in (15).

Now according to the majorization:

$$\Delta(\theta, \{h_{\alpha}\}) \leq \sum_{\alpha=1}^{3} \max \left|\frac{\partial \Delta}{\partial h_{\alpha}}\right| \cdot |h_{\alpha} - \xi_{\alpha}| + \Delta(\theta, \{\xi_{\alpha}\}) \leq \leq 6\ell + \Delta(\theta, \{\xi_{\alpha}\})$$
(17)

we find combining it with (16):

$$|\Delta(\theta, \{h_a\})| \leq 6\ell + 3J_{max} \left[\frac{\theta}{\ell N} + \left(\frac{L(h)}{\ell N} + \frac{4}{N}\right)^{2/3}\right].$$

Since the last inequality holds for all  $\ell > 0$ , the left-hand side being independent of  $\ell$ , it can be optimized by the choice of  $\ell$ . We choose:

$$\ell = \frac{J_{max}}{N^{2/5}}$$

and finally obtain the bound:

$$|\Delta(\theta, \{h_a\})| \leq \frac{3J_{max}}{N^{2/5}} \left[2 + \left(\frac{L(h)}{J_{max}} + \frac{4}{N^{2/5}}\right)^{2/3}\right] (18) + \frac{3\theta}{N^{3/5}}$$

which proves the uniform convergence of  $f[\mathcal{H}_{q}(\{C_{\alpha}\})]$  to  $f[\mathcal{H}]$  as  $N \to \infty$  in any compact set in  $(\theta, h_1, h_2, h_3)$ -space.

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