

6/18 71

P-19

ОБЪЕДИНЕННЫЙ  
ИНСТИТУТ  
ЯДЕРНЫХ  
ИССЛЕДОВАНИЙ

Дубна

3092/2-71

E4 - 5992



ЛАБОРАТОРИЯ ТЕОРЕТИЧЕСКОЙ ФИЗИКИ

F. Palumbo

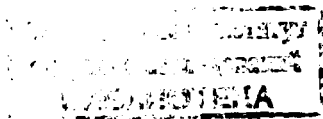
NOTE ON THE GARTENHAUS-SCHWARTZ  
TRANSFORMATION

1971

**F. Palumbo \***

**NOTE ON THE GARTENHAUS-SCHWARTZ  
TRANSFORMATION**

*Submitted to Physics Letters*



---

\* Permanent address: Laboratorio di Fisica Nucleare Applicata,  
Centro di Studi Nucleari della Casaccia del  
C.N.E.N., Roma, Italy.

E4-5992

Палумбо Ф.

Замечание о преобразовании Картенгауза-Шварца

В работе показано, что преобразование Картенгауза-Шварца не устраняет шпурные эффекты в оболочечной модели.

**Препринт Объединенного института ядерных исследований.  
Дубна, 1971**

E4-5992

Palumbo F.

Note on the Gartenhaus-Schwartz Transformation

It is shown that the Gartenhaus-Schwartz transformation cannot eliminate spurious effects in shell model calculations.

**Preprint. Joint Institute for Nuclear Research.  
Dubna, 1971**

The description of electromagnetic interactions of nuclei requires the evaluation of matrix elements with the nuclear wave functions<sup>(1)</sup>

$$\Psi_{m\underline{K}} = \Psi_{Im} \frac{1}{\sqrt{V}} e^{i\underline{K} \cdot \underline{R}}, \quad (1)$$

where  $\Psi_{Im}$  are intrinsic wave functions,  $\underline{K}$  is the momentum of the nucleus,  $\underline{R}$  the c.m. position vector and  $V$  the normalization volume. Matrix elements of the electromagnetic interaction Hamiltonian  $H_{int}$  with wave functions (1) reduce trivially to intrinsic matrix elements

$$\begin{aligned}
\langle \Psi_{m\mathbf{K}'} | H_{int} | \Psi_{m\mathbf{K}} \rangle &= \delta(-\mathbf{K}' + \mathbf{K} + \mathbf{q}) \int d\tau_1 \dots d\tau_A \delta(\mathbf{R}) \cdot \\
\Psi_{Im}^* &\left\{ \sum_{i=1}^A \left[ \epsilon_0 e_i e^{iq \cdot (\tau_i - \mathbf{R})} - \underline{\epsilon} \cdot \frac{e_i}{2m} \left[ (p_i - \frac{1}{A} \mathbf{P}) e^{iq \cdot (\tau_i - \mathbf{R})} + \right. \right. \right. \\
&+ e^{iq \cdot (\tau_i - \mathbf{R})} \left. \left. \left( p_i - \frac{1}{A} \mathbf{P} \right) \right] - i \underline{\epsilon} \cdot \mu_i \underline{\sigma}_i \wedge \left[ \left( p_i - \frac{1}{A} \mathbf{P} \right) e^{iq \cdot (\tau_i - \mathbf{R})} + \right. \right. \\
&- e^{iq \cdot (\tau_i - \mathbf{R})} \left. \left. \left( p_i - \frac{1}{A} \mathbf{P} \right) \right] \right] - \underline{\epsilon} \cdot \frac{1}{2mA} (\mathbf{K} + \mathbf{K}') \sum_{i=1}^A e_i e^{iq \cdot (\tau_i - \mathbf{R})} + \\
&- i \underline{\epsilon} \cdot \sum_{i=1}^A \mu_i \underline{\sigma}_i e^{iq \cdot (\tau_i - \mathbf{R})} \wedge \frac{1}{A} \mathbf{q} \left. \right\} \Psi_{Im}, \quad (2)
\end{aligned}$$

where  $\epsilon_0, \underline{\epsilon}$  is the polarization vector of the photon which carries the momentum  $\mathbf{q}$ ,  $e_i$  and  $\mu_i$  are the charges and magnetic moments of protons and neutrons,  $\hbar = c = 1$ .

The difficulty with the intrinsic matrix element (2) is twofold. First we do not know any general method for the determination of intrinsic wave functions in terms of intrinsic coordinates; second the matrix element (2) is very complicated in terms of intrinsic coordinates. So, it is desirable to avoid the explicit use of intrinsic coordinates and keep the single particle coordinates. This can be done using factorized wave functions of the form

$$\tilde{\Psi}_n = \tilde{\Psi}_{Im} \chi(R), \quad (3)$$

where  $\chi$  is the same factor for every  $n$ . If  $\chi$  is chosen to be the ground state harmonic oscillator wave function, which we shall call  $\chi_0$ , wave functions (3) can be easily obtained (2) as expansions in Slater determinants  $\Phi_\nu$  of (arbitrary) single particle wave functions

$$\tilde{\Psi}_m = \sum_\nu a_\nu^{(m)} \Phi_\nu. \quad (4)$$

Then the evaluation of (2) can be done in terms of single particle coordinates, as it has been shown by Tassie and Barker(3).

However in the literature are commonly used wave functions  $\tilde{\Psi}_m$  which are not factorized, and then involve unphysical admixtures of intrinsic and c.m. states. This is explicitly shown by their expansion into intrinsic wave functions and (for example) harmonic oscillator wave functions for the c.m.

$$\tilde{\Psi}_m = \sum_{\nu, \mu} C_{\nu\mu}^{(m)} \tilde{\Psi}_{I\nu} \chi_\mu(R). \quad (5)$$

The terms with  $C_{\nu\mu} \neq 0$  for  $\mu \neq 0$  give rise to spurious effects. Such effects are not necessarily of order  $\frac{1}{A}$ , as it is often assumed. They can be quite large on transition amplitudes (4). In an attempt to prevent spurious effects and to relate matrix elements with wave functions (5) to the matrix elements with wave functions (1) Gartenhaus and

Schwartz<sup>(5)</sup> (G.S.) devised a transformation, defined by the operator  $U_\Lambda = e^{-i \frac{\Lambda}{2} (\underline{P} \cdot \underline{R} + \underline{Q} \cdot \underline{P})}$ , which has the properties

$$\tilde{\Psi}_m^\Lambda = U_\Lambda \tilde{\Psi}_m = e^{-\frac{3}{2} \Lambda} \tilde{\Psi}_m [(\tau_1 - \underline{R}) + e^{-\Lambda} \underline{R}, \dots, (\tau_A - \underline{R}) + e^{-\Lambda} \underline{R}], \quad (6)$$

$$\tilde{\Psi}_m^\Lambda [\tau_1 + \underline{a}, \dots, \tau_A + \underline{a}] = U_\Lambda \tilde{\Psi}_m [\tau_1 + \underline{a} e^{-\Lambda}, \dots, \tau_A + \underline{a} e^{-\Lambda}], \quad (7)$$

where  $\underline{a}$  is an arbitrary vector.

From (7) G.S. deduce that for  $\Lambda \rightarrow \infty$  one obtains intrinsic wave functions. In order to simplify the calculations they suggest to use the wave functions  $\tilde{\Psi}_m$  with transformed operators,

$$\langle \tilde{\Psi}_m^\Lambda | M | \tilde{\Psi}_m^\Lambda \rangle = \langle \tilde{\Psi}_m | U_\Lambda^\dagger M U_\Lambda | \tilde{\Psi}_m \rangle.$$

We want to show that their conclusion is unjustified<sup>(6)</sup>, and the above transformation cannot prevent spurious effects. Indeed eq. (6) which defines  $\tilde{\Psi}_m^\Lambda$  is meaningless for  $\Lambda \rightarrow \infty$ , and (as G.S. advise), in the calculations  $\tilde{\Psi}_m^\Lambda$  must be used with finite  $\Lambda$ , and the limit  $\Lambda \rightarrow \infty$  must be taken in the final results. But if this is done, one obtains for the matrix element of any intrinsic operator  $M_I$  exactly the same result as if one uses simply the wave functions  $\tilde{\Psi}_m$ , i.e.

$$\langle \tilde{\Psi}_m | M_I | \tilde{\Psi}_m \rangle = \sum_{\nu \nu' \mu} (c_{\nu' \mu}^{(m)})^* c_{\nu \mu}^{(m)} \langle \tilde{\Psi}_{I \nu'} | M_I | \tilde{\Psi}_{I \nu} \rangle, \quad (8)$$

both with the direct and inverse G.S. transformation. In the case of the inverse transformation the result is trivial, because  $U_\Lambda$  commutes with  $M_I$  for each value of  $\Lambda$ , so that

$$\lim_{\Lambda \rightarrow \infty} \langle \tilde{\Psi}_m^\wedge | M_I | \tilde{\Psi}_m^\wedge \rangle = \lim_{\Lambda \rightarrow \infty} \langle \tilde{\Psi}_m | U_\Lambda^\dagger M_I U_\Lambda | \tilde{\Psi}_m \rangle = \langle \tilde{\Psi}_m | M_I | \tilde{\Psi}_m \rangle.$$

For the direct transformation let us use the expansion (5) for the  $\tilde{\Psi}_m$

$$U_\Lambda \tilde{\Psi}_m = \sum_{\nu\mu} C_{\nu\mu}^{(m)} \tilde{\Psi}_{I\nu} U_\Lambda \chi_\mu = \sum_{\nu\mu} C_{\nu\mu}^{(m)} \tilde{\Psi}_{I\nu} \chi_\mu^\wedge,$$

where  $\chi_\mu^\wedge$  are the harmonic oscillator wave functions with parameter  $e^\wedge R_0$ , if the  $\chi_\mu$  have parameter  $R_0$ . So

$$\lim_{\Lambda \rightarrow \infty} \langle \tilde{\Psi}_m^\wedge | M_I | \tilde{\Psi}_m^\wedge \rangle = \lim_{\Lambda \rightarrow \infty} \sum_{\nu\nu'\mu\mu'} (C_{\nu\mu}^{(m)})^* C_{\nu'\mu'}^{(m)}.$$

$$\langle \tilde{\Psi}_{I\nu} | M_I | \tilde{\Psi}_{I\nu'} \rangle \langle \chi_\mu^\wedge | \chi_{\mu'}^\wedge \rangle = \sum_{\nu\nu'\mu\mu'} (C_{\nu\mu}^{(m)})^* C_{\nu'\mu'}^{(m)} \langle \tilde{\Psi}_{I\nu} | M_I | \tilde{\Psi}_{I\nu'} \rangle,$$

which completes the proof of our statement.

The G.S. transformation can be used and has been used (7) in order to obtain the definition of intrinsic operators, a task which is not trivial if relativistic effects are taken into account. However, as we have shown, it cannot eliminate spurious components in the wave functions, while a correct calculation must be done using both intrinsic operators and intrinsic wave functions.



I wish to thank the Joint Institute for Nuclear Research in Dubna for the kind hospitality and financial support extended to me while this work has been done.

#### References and footnotes

- (1) There are only trivial changes in what follows if the plane wave is replaced by any superposition of plane waves.
- (2) J.P.Elliott and T.H.Skyrme, Proc.Roy.Soc., A232, 561(1955).  
F.Palumbo, Nucl.Phys., A99, 100 (1967)  
F.Palumbo, JINR Preprint E4-5890, Dubna (1971)
- (3) L.J.Tassie and F.C.Barker, Phys.Rev., 111, 940 (1958)
- (4) F.Palumbo and D.Prosperi, Nucl.Phys., A115, 296 (1968)
- (5) S.Gartenhaus and C.Schwartz, Phys.Rev., 108, 482 (1957)
- (6) This fact has been already noted in the second of refs.(2)
- (7) F.E.Close and L.A.Copley, Oxford preprint 9/70.

Received by Publishing Department  
on August 10, 1971.