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ON THE ALGEBRA OF POLYNOMIALS

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**THE STRONG TOPOLOGY
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0. On a $*$ -algebra \mathcal{L} of bounded operators on a Hilbert space one defines different topologies, which are all important for investigation of the structure of the algebra. Three of such topologies are: the uniform topology, defined by the norm

$$\tau_{\mathcal{H}}: \quad \|A\| = \sup_{\|\phi\|=1} \|A\phi\|,$$

the strong topology, defined by the seminorms

$$\sigma_{\mathcal{H}}: \quad p_{\phi}(A) = \|A\phi\| = \|A\phi\| \quad \text{for all } \phi \in \mathcal{H},$$

and the weak topology, defined by the seminorms

$$\omega_{\mathcal{H}}: \quad p_{\phi, \psi}(A) = |\langle \phi, A\psi \rangle| \quad \text{for all } \phi, \psi \in \mathcal{H}.$$

If the $*$ -algebra \mathcal{L} of bounded operators is infinite dimensional, then all three topologies are different.

In this paper we remark the interesting fact, that for a $*$ -algebra of unbounded operators the uniform topology $\tau_{\mathcal{D}}$, generalizing the norm, can coincide with the strong topology $\sigma_{\mathcal{D}}$. This is of some importance for continuity considerations in observable algebras with unbounded operators, since the strong topology is directly defined by the states ϕ and the square root of the expectation values

$$\|A\phi\| = \|A\phi\| = \langle \phi, A^{\dagger} A \phi \rangle^{1/2}$$

without any limiting procedure.

1. Let us repeat some definitions and properties ^{/1/}. Let \mathcal{F} be a unitary space with scalar product $\langle \cdot, \cdot \rangle$ and let $\|\phi\| = \langle \phi, \phi \rangle^{1/2}$ be the norm in \mathcal{F} . \mathcal{H} denotes the Hilbert space which is the completion of \mathcal{F} .

By $\mathcal{L}^+(\mathcal{D})$ we denote the $*$ -algebra of all operators \mathcal{L} with $A\mathcal{D} \subset \mathcal{D}$, $\mathcal{D}(A^*) \supset \mathcal{D}$, $A^*\mathcal{D} \subset \mathcal{D}$. The involution is given by $A \rightarrow A^+$. An Op^* -algebra $\mathcal{L}(\mathcal{D})$ is a $*$ -subalgebra of $\mathcal{L}^+(\mathcal{D})$ with identity 1. An Op^* -algebra can be equipped with different topologies (cf. [1, 2]), first with the uniform topology $\tau_{\mathcal{D}}$ defined by all seminorms

$$\sigma_{\mathcal{D}}: \quad \|A\|_{\mathfrak{M}} = \sup_{\phi, \psi \in \mathfrak{M}} |\langle \phi, A\psi \rangle| \quad \mathfrak{M} \subset \mathcal{D}$$

where \mathfrak{M} runs over all subsets $\mathfrak{M} \subset \mathcal{D}$, for which $\|A\|_{\mathfrak{M}} < \infty$ for all $A \in \mathcal{L}$. Second we have also the strong topology $\sigma_{\mathcal{D}}$, defined quite analogously as in the bounded case by all seminorms

$$\sigma_{\mathcal{D}}: \quad \|A\|_{\phi} = \|A\phi\| \quad \phi \in \mathcal{D}$$

and also the weak topology $\sigma_{\mathcal{D}}$, which we do not regard in what follows.

In this paper we consider only a special case of Op^* -algebras. Let $\mathcal{P}(x) = \{p(x) = \sum_{n \geq 0} a_n x^n, a_n \in \mathbb{C}\}$ be the

algebra of all polynomials in one variable x . A realization of $\mathcal{P}(x)$ as an Op^* -algebra $\mathcal{L}(\mathcal{D}) = \mathcal{P}(T)$ is given by the mapping $x \rightarrow T = T^+ \in \mathcal{L}^+(\mathcal{D})$:

$$\mathcal{P}(T) = \{p(T) = \sum_{n \geq 0} a_n T^n, a_n \in \mathbb{C}\}$$

Now on the polynomial algebra $\mathcal{P}(T)$ the uniform topology $\tau_{\mathcal{D}}$ coincides with the strongest locally convex topology $\tau_{\mathcal{D}}$ on $\mathcal{P}(T)$ (cf. [1], Theorem 5.1). The strongest locally convex topology τ_{∞} is given by the set of all seminorms $\{\|p(T)\|_{(\gamma_n)}, (\gamma_n)$ an arbitrary sequence of non-negative numbers $\}$:

$$\|p(T)\|_{(\gamma_n)} = \sum_{n \geq 0} \gamma_n |a_n|, \quad \text{where } p(T) = \sum_{n \geq 0} a_n T^n \quad (1)$$

It is clear that we can restrict ourselves to sequences (γ_n) with $1 \leq \gamma_0 \leq \gamma_1 \leq \dots$.

The next theorem gives a quite general condition on the operator T and on the domain \mathcal{D} which is sufficient for $\sigma_{\mathcal{D}} = \tau_{\mathcal{D}} = \tau_{\infty}$.

2. We formulate

Theorem

Let T be a symmetric unbounded operator on the

domain \mathcal{D} with $T\mathcal{D} \subset \mathcal{D}$ and $\mathcal{P}(T)$ the Op^* -algebra, generated by T . If for any sequence (δ_n) , $\delta_n \geq 0$ there exists a $\phi \in \mathcal{D}$ such that

$$\|\phi\|^2 \geq \delta_0 \quad (2)$$

$$\langle T^{2n}\phi, \phi \rangle \geq \delta_n \left[\max \{1, \langle T^i \phi, \phi \rangle, 0 \leq i \leq 2n-1\} \right]^{n+1} \quad (3)$$

then the topologies $\sigma_{\mathcal{D}}$ and τ_{∞} coincide, $\sigma_{\mathcal{D}} = \tau_{\infty}$.

Proof

1. $\sigma_{\mathcal{D}} = \tau_{\infty}$ is trivial, because τ_{∞} is the strongest locally convex topology.

2. To show $\tau_{\infty} \subset \sigma_{\mathcal{D}}$, we consider the system of seminorms

$$\|p(T)\|_{(\gamma_n)} = \left(\sum_{n \geq 0} \gamma_n^2 |a_n|^2 \right)^{1/2}$$

which gives the same topology τ_{∞} as (1).

We show now that for any seminorm $\| \cdot \|_{(\gamma_n)}$ where exists a vector $\phi \in \mathcal{D}$ such that

$$\|p(T)\|_{(\gamma_n)} \leq C \|p(T)\|_{\phi} \quad \text{for all } p(T) \in \mathcal{P}(T). \quad (4)$$

The inequality (4) can be written as

$$\sum_{n \geq 0} \gamma_n^2 |a_n|^2 \leq \sum_{n \geq 0} a_n \langle T^n \phi, \phi \rangle, \quad \sum_{n, m \geq 0} \bar{a}_n a_m \langle T^{n+m} \phi, \phi \rangle,$$

i.e.,

$$\sum_{n, m} (\langle T^{n+m} \phi, \phi \rangle - \gamma_n^2 \delta_{nm}) \bar{a}_n a_m \geq 0$$

or

$$\sum_{n, m} (\tau_{n+m} - \gamma_n^2 \delta_{nm}) \bar{a}_n a_m \geq 0, \quad (5)$$

where

$$\tau_{n+m} = \langle T^{n+m} \phi, \phi \rangle. \quad (6)$$

The quadratic form (5) is positive, if the matrix

$$D = \begin{pmatrix} t_0 - \gamma_0^2 & t_1 & t_2 & \dots & \dots & \dots \\ t_1 & t_2 - \gamma_1^2 & t_3 & \dots & \dots & \dots \\ t_2 & t_3 & t_4 - \gamma_2^2 & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots & \dots \end{pmatrix}$$

is positive definite.

A sufficient condition for D to be positive definite if

$$\Lambda_n = \begin{vmatrix} t_0 - \gamma_0^2 & t_1 & \dots & \dots & t_n \\ t_1 & t_2 - \gamma_1^2 & \dots & \dots & t_{n+1} \\ \dots & \dots & \dots & \dots & \dots \\ \dots & \dots & \dots & \dots & \dots \\ t_n & t_{n+1} & \dots & \dots & t_{2n} - \gamma_n^2 \end{vmatrix}$$

is positive for all n ,

Let $\delta_n = 3(n+1)! \prod_{i=0}^n \gamma_i^2$ and choose $\phi \in \mathcal{D}$ such that

(2) and (3) hold for this sequence (δ_n) . By induction we show that $\Lambda_n \geq 1$ for all n , which proves our Theorem.

$$\Lambda_0 = t_0 - \gamma_0^2 \geq 1$$

is trivial.

Let $\Lambda_{n-1} \geq 1$, then for Λ_n we have:

$$\Lambda_n = (t_{2n} - \gamma_n^2) \Lambda_{n-1} + t_n$$

$$\geq (t_{2n} - \gamma_n^2) - (n+1)! \prod_{i=0}^{n-1} \gamma_i^2 [\max\{1, \langle T^i \phi, \phi \rangle, 0 \leq i \leq 2n-1\}]^{n+1}$$

$$\geq t_{2n+1} - 3(n+1)! \prod_{i=0}^n \gamma_i^2 [\max\{1, \langle T^i \phi, \phi \rangle, 0 \leq i \leq 2n-1\}]^{n+1}$$

$$\geq t_{2n+1} - \delta_n [\max\{1, \langle T^i \phi, \phi \rangle, 0 \leq i \leq 2n-1\}]^{n+1} \geq 1$$

Q.E.D.

3. The following examples show how rich is the class of domains \mathcal{D} and operators T such that the assertion of the Theorem is valid.

Example 1: (cf. Schmüdgen ^{/3/}, Theorem 10, chap. 4).

Let $T = T \in \mathcal{L}^+(\mathcal{D})$ unbounded and such that

$$\mathcal{D} = \bigcap_{n \geq 0} \mathcal{D}(T^n)$$

(i.e., $\mathcal{D}(\mathcal{T})$ is complete, where $\mathcal{T}(T)$ is the topology given by the seminorms $\|\phi\|_\Lambda = \|A\phi\|$, $A \in \mathcal{P}(T)$) then for $\mathcal{D}(T)$:
 $\sigma_{\mathcal{D}} = \tau_\infty$.

Example 2:

Let N be the number operator defined on the Schwartz space \mathcal{S} (or on \mathcal{S}') and (ψ_n) the orthonormal basis of ℓ^2 (or L^2) consisting of the eigenvectors of N :

$$N \psi_n = n \psi_n$$

It is clear that for $\mathcal{D}(N) = \tau_\infty = \sigma_{\mathcal{D}}$ holds, because $\mathcal{S} = \bigcap_{n \geq 0} \mathcal{D}(N^n)$

is complete in the topology given by the seminorms $\|\cdot\|_\Lambda$, $A \in \mathcal{P}(N)$ (cf. example 1). The choice of ϕ is even constructive, one may choose

$$\phi = \rho \sum_{n=0}^{\ell} \phi_{n\rho}$$

where $\phi_{n\rho} = a_{n\rho} \psi_{n\rho}$ for appropriate $n\rho$ depending on the

given sequence (δ_n) . For $a_{n\rho}$ one can take for example:

$$a_{n\rho} = (n\rho)^{-\ell+1/2}$$

The next example shows that not only in the case of $\mathcal{D}(T)$ -complete domains \mathcal{D} the assumptions (2) and (3) of the Theorem are fulfilled but also in other cases.

Example 3:

Regard the position operator x on the Schwartz space \mathcal{S} of rapidly decreasing functions, i.e., $\mathcal{P} = \mathcal{P}(x)$, $\mathcal{P} = \mathcal{S}, \mathcal{S} \subset L^2(\mathbb{R}^1)$, $(xf)(x) = x f(x)$ for all $f \in \mathcal{S}$. Remark, that $\mathcal{S}(\tau\varphi)$ is not complete, because for example the characteristic function of an arbitrary finite interval $[a, b]$ is contained in the closure of $\mathcal{S}(\tau\varphi)$ but not in $\mathcal{S}(\tau\varphi)$. Now we want to show: For a given sequence $\{\delta_n\}$ there exists a $\phi \in \mathcal{S}$ such that the assumptions (2) and (3) of the Theorem are fulfilled, i.e.,

$$\|\phi\|^2 \geq \delta_0 \quad (2)$$

$$\langle x^{2n}\phi, \phi \rangle \geq \delta_n \left[\max\{1, \langle x^i\phi, \phi \rangle, 0 \leq i \leq 2n-1, n \geq 1\} \right]^{n+1} \quad (3)$$

For this, let

$$f(x) \in C_0^\infty, \quad 0 \leq f(x) \leq 1$$

$$f(x) = \begin{cases} 1 & \text{for } x \in [1, 2] \\ 0 & \text{for } x \notin [0, 3] \end{cases}$$

We put

$$a_n = \sup_{x \in [0, 3]} |f^{(n)}(x)|$$

Now we choose a sequence $\{\ell_n\}$ of naturals with the condition

$$\ell_0 = 0, \quad \ell_n + 3 < \ell_{n+1} \quad n \geq 0 \quad (7)$$

and form

$$\phi_0(x) = \lambda f(x),$$

$\lambda \geq 1$ and such that (2) holds

$$\phi_n(x) = (a_0 + a_1 + \dots + a_n + 1)^{-1} \ell_n^{-n+\frac{1}{2}} f(x - \ell_n) \quad n \geq 1$$

We put

$$\phi = \sum \phi_n$$

Because of (7)

$$\langle x^i \phi_n, x^j \phi_m \rangle = 0 \quad \text{for all } i, j, n \neq m.$$

If we choose the $\{\ell_n\}$ in an appropriate way, then ϕ satisfies the assumptions (2) and (3) too. For this we have to show:

$$\sum_m \langle x^{2n} \phi_m, \phi_m \rangle \geq \delta_n \left[\max\{1, \sum_m \langle x^i \phi_m, \phi_m \rangle, 1 \leq i \leq 2n-1\} \right]^{n+1} \quad (8)$$

for all $n \geq 1$.

Regard the left hand side:

$$\sum_m \langle x^{2n} \phi_m, \phi_m \rangle \geq \langle x^{2n} \phi_n, \phi_n \rangle$$

$$\begin{aligned} &= \int_{\ell_n}^{\ell_n+3} x^{2n} \frac{f^2(x - \ell_n)}{(a_0 + \dots + a_n + 1)^2 \ell_n^{2n-\frac{1}{2}}} dx \\ &\geq \frac{1}{(a_0 + \dots + a_n + 1)^2} \frac{(\ell_n + 1)^{2n}}{\ell_n^{2n-\frac{1}{2}}} \\ &\geq \frac{\ell_n^{\frac{1}{2}}}{(a_0 + \dots + a_n + 1)^2} \end{aligned}$$

This can be obtained by more use of the properties of $f(x)$. On the other hand we get for the right hand side:

$$\begin{aligned} &\delta_n \left[\max\{1, \sum_m \langle x^i \phi_m, \phi_m \rangle, 0 \leq i \leq 2n-1\} \right]^{n+1} \\ &= \delta_n \left[\max\left\{ \sum_m \int_{\ell_m}^{\ell_m+3} x^i \frac{f^2(x - \ell_m)}{(a_0 + a_1 + \dots + a_m + 1) \ell_m^{2m-\frac{1}{2}}} dx \right\} \right]^{n+1} \end{aligned}$$

$$\leq \delta_n 3^{n+1} \left| \sum_{m=0}^{n-1} \frac{(\rho_m + 3)^{2n-1}}{\rho^{2m-\frac{1}{2}}} + \sum_{m=n}^{\infty} \frac{(\rho_m + 3)^{2n-1}}{\rho^{2m-\frac{1}{2}}} \right|^{n+1}.$$

The first sum in the brackets is a function $A_{n-1}(\rho_1, \dots, \rho_{n-1})$ of the ρ_i for $i=1, \dots, n-1$ and the second sum is smaller than 2^{2n} , since $\rho_m \geq 2^m$. Therefore

$$\begin{aligned} & \delta_n \left| \max \{1, \sum_m |x^i \phi_m, \phi_m|, \dots, 0 \leq i \leq 2n-1\} \right|^{n+1} \\ & \leq \delta_n 3^{n+1} \left| A_{n-1}(\rho_1, \dots, \rho_{n-1}) + 2^{2n} \right|^{n+1}. \end{aligned}$$

From (8) by the above estimations it follows that

$$\begin{aligned} |x^{2n} \phi, \phi| & \leq \sum_m |x^{2n} \phi_m, \phi_m| \\ & \leq (a_0 + \dots + a_n + 1)^{-2} \rho_n^{\frac{1}{2}} \\ & \leq \delta_n 3^{n+1} \left| A_{n-1}(\rho_1, \dots, \rho_{n-1}) + 2^{2n} \right|^{n+1} \\ & \leq \delta_n \left| \max \{1, \sum_m |x^i \phi, \phi|, \dots, 0 \leq i \leq 2n-1\} \right|^{n+1} \end{aligned}$$

can be fulfilled for large ρ_n .

Now we have still to show that $\phi = \sum \phi_n$ is contained in the Schwartz space \mathcal{S} . It is clear that $\phi \in C^\infty(\mathbb{R}^1)$.

We show:

$$\sup_{x \in \mathbb{R}^1} |x^r \phi^{(s)}(x)| \leq C \quad \text{for all } r, s \in \mathbb{N}$$

We write

$$\begin{aligned} \sup |x^r \phi^{(s)}(x)| & = \sum_n \sup |x^r \phi_n^{(s)}(x)| \\ & \leq \sum_{n \leq s} \sup |x^r \phi_n^{(s)}(x)| + \sum_{n \leq s} \frac{(\rho_n + 3)^r}{\rho_n^{n-\frac{1}{4}}} \frac{a_s}{(a_0 + \dots + a_n + 1)} \end{aligned}$$

$$< \sum_{n \leq s} \sup |x^r \phi_n^{(s)}(x)| + C < \infty$$

because

$$\frac{a_s}{(a_0 + \dots + a_n + 1)} \leq 1$$

and

$$\sum_n \frac{(\rho_n + 3)^r}{\rho_n^{n-\frac{1}{4}}}$$

converges.

So we have constructed a $\phi \in \mathcal{S}$ which satisfies the assumptions (2) and (3) of our Theorem.

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