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THE "TRANSITION PROBABILITY"
IN THE STATE SPACE OF A $*$ ALGEBRA

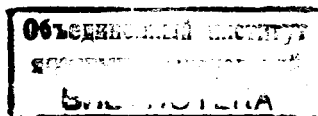
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1. INTRODUCTION

In this paper we consider an expression $P(\omega_1, \omega_2)$, which we shall call "transition probability" between the two states ω_1, ω_2 of a given \ast -algebra. This name is reasonable especially for pure states: For normal pure states of a type I von Neumann algebra P is what is usually called "transition probability" in quantum theory. However, a correct physical interpretation in the general case of mixed states is not known, though this quantity appears quite naturally in the so-called algebraic approach.

The expression P , which we are going to define, was already considered by Kakutani ^{/1/} for abelian and by Bures ^{/2/} for general W^\ast -algebras and used by these authors in the construction of infinite tensor products.

The aim of the present paper is to show the concavity of P , to establish the connection of P with support properties of states (i.e., their orthogonality), and, using an idea of Araki ^{/3/}, to calculate P in some important examples.

If, for instance, the two states are given by the density matrices d_1 and d_2 (with respect to a type I factor), then

$$P = (\text{Sp. } s)^2, \quad s = (d_1^{1/2} d_2 d_1^{1/2})^{1/2}. \quad (1)$$

This rather complicated expression reduces simply to

$$|(x, y)|^2 \quad (2)$$

if the density matrices represent pure states that are given by the normed vectors x, y of the underlying hilbert space.

2. DEFINITION AND SOME PROPERTIES OF P

Let us denote by R $*$ -algebra with unite element e . The simple idea in defining $P(\omega_1, \omega_2)$ is the following: Consider a $*$ -representation π of R and suppose that there are vectors x_1, x_2 in its domain of definition D_π , which induce the states ω_1, ω_2 , i.e., for all $b \in R$

$$\omega_j(b) = (\pi(x_j), \pi(b)x_j). \quad (3)$$

The number $|(x_1, x_2)|^2$ then depends on the representation π and the choice of the vectors x_1, x_2 in D_π . We then define accordingly $P(\omega_1, \omega_2)$ to be the supremum of all numbers $|(x_1, x_2)|^2$, for which (3) is valid. Hence

$$P(\omega_1, \omega_2) = \sup |(x_1, x_2)|^2, \quad (4)$$

and the supremum runs over all $*$ -representations π for which there are pairs of vectors x_1, x_2 satisfying (3) and over all such pairs x_1, x_2 . To express its dependence on R , we sometimes write

$$P(R | \omega_1, \omega_2)$$

for the quantity (4). From the definition one immediately gets the relations

$$0 \leq P(\omega_1, \omega_2) \leq 1, \quad (5)$$

$$P(\omega_1, \omega_2) = P(\omega_2, \omega_1), \quad (6)$$

$$P(\omega, \omega) = 1 \quad (7)$$

for all states of a given $*$ -algebra R . Next we prove the concavity of P with respect to Gibbsian mixtures, i.e., we prove formula (8) below. Let us consider three states $\omega, \omega_1, \omega_2$ and two $*$ -representations π_1, π_2 such, that ω, ω_1 may be represented with the help of ω by the vectors x_1, y_1 and, similarly ω, ω_2 by the vectors x_2, y_2 in the representation π_2 . We are allowed to assume

$$P(\omega, \omega_j) < |(x_j, y_j)|^2 + \epsilon.$$

In the direct sum $\pi_1 + \pi_2$ the state ω is representable by every vector $x = \lambda_1 x_1 + \lambda_2 x_2$, $|\lambda_1|^2 + |\lambda_2|^2 = 1$. On the other hand, the state $\hat{\omega} = p_1 \omega_1 + p_2 \omega_2$, where $p_1 + p_2 = 1$ and $p_j \geq 0$ is given in $\pi_1 + \pi_2$ by every vector $y = \mu_1 y_1 + \mu_2 y_2$, $|\mu_j|^2 = p_j$. Hence it is

$$P(\omega, \hat{\omega}) \geq |(x, y)|^2 = |\lambda_1 \mu_1 (x_1, y_1) + \lambda_2 \mu_2 (x_2, y_2)|^2.$$

Taking the maximum with respect to all possible values λ_1, λ_2 on the right-hand side, we get

$$P(\omega, \hat{\omega}) \geq |\mu_1 (x_1, y_1)|^2 + |\mu_2 (x_2, y_2)|^2.$$

Now $\epsilon \geq 0$ is arbitrarily chosen. Thus we obtain, with $p_j \geq 0$ and $p_1 + p_2 = 1$,

$$P(\omega, p_1 \omega_1 + p_2 \omega_2) \geq p_1 P(\omega, \omega_1) + p_2 P(\omega, \omega_2). \quad (8)$$

3. ORTHOGONALITY OF STATES

We remind ourselves (Sakai /4/), that two states of a C^* -algebra are called orthogonal to each other, if for every decomposition of $\rho = \omega_1 - \omega_2$ into two positive linear functionals ω'_1, ω'_2

$$\rho = \omega'_1 - \omega'_2,$$

one has

$$\omega'_1(e) + \omega'_2(e) \geq \omega_1(e) + \omega_2(e) = 2.$$

Assuming now R to be an arbitrary $*$ -algebra with identity e , we define

$$\|\rho\| = \sup \{ \omega'_1(e) + \omega'_2(e) \}, \quad (9)$$

where the supremum runs over all decomposition

$$\rho = \omega'_1 - \omega'_2, \quad \omega'_j \text{ positive}. \quad (10)$$

If there is no such decomposition (10), one writes

$$\|\rho\| = \infty.$$

In the above mentioned case of a C^* -algebra, two states ω_1, ω_2 are orthogonal one to another, iff $\|\omega_1 - \omega_2\| = 2$. We show that for all $*$ -algebras with identity the relation $\|\omega_1 - \omega_2\| = 2$ implies $P(\omega_1, \omega_2) = 0$. This follows from

an inequality, which we are now going to prove and which reads for any two states

$$\|\omega_1 - \omega_2\| \leq 2 \sqrt{1 - P(\omega_1, \omega_2)}. \quad (11)$$

Let us assume that ω_1, ω_2 are represented as vector states by the vectors x_1, x_2 of a given $*$ -representation π of R . With the help of the one-dimensional projectors q_j determined by x_1, x_2 the functional $\rho = \omega_1 - \omega_2$ is given by

$$\rho(a) = \text{Sp.} \{ (q_1 - q_2) \pi(a) \}. \quad (12)$$

There are projection operators \bar{q}_j satisfying $\bar{q}_1 \bar{q}_2 = 0$ and

$$q_1 - q_2 = \lambda_1 \bar{q}_1 - \lambda_2 \bar{q}_2. \quad (13)$$

It follows $\omega_1 - \omega_2 = \omega'_1 - \omega'_2$, where

$$\omega'_j(a) = \lambda_j \text{Sp.} \{ q_j \pi(a) \}.$$

Hence

$$\|\omega_1 - \omega_2\| \leq \lambda_1 + \lambda_2. \quad (14)$$

Now we take the trace in (13) and obtain $\lambda_1 = \lambda_2 = \lambda$. Squaring (13) we get

$$q_1 + q_2 - q_1 q_2 - q_2 q_1 = \lambda^2 (\bar{q}_1 + \bar{q}_2).$$

Taking the trace one obtains

$$2 - 2|(x_1, x_2)|^2 = 2\lambda^2.$$

Because of (14) we therefore conclude

$$\|\omega_1 - \omega_2\| \leq 2 \sqrt{1 - |(x_1, x_2)|^2}.$$

If π runs over all $*$ -representations, we obtain the inequality (11) and the assertion is proved.

4. AN ESTIMATION FROM ABOVE

The transition probability is defined as a supremum. Therefore it is interesting to have an estimation of P from above. To derive such an inequality, we use the notation of the "geometrical mean" of two positive hermitian forms introduced by Woronowicz. Let $\beta_1(x, y), \beta_2(x, y)$ denote two positive semidefinite hermitean forms on some linear space L . Then, according to Pusz and Woronowicz^{15/}, there exists, on L , one and only one form $\beta(x, y)$ with the properties

$$i) |\beta(x, y)|^2 \leq \beta_1(x, x) \beta_2(y, y),$$

$$ii) \text{ If } |\beta'(x, y)|^2 \leq \beta_1(x, x) \beta_2(y, y)$$

with a positive semidefinite form β' , it follows

$$\beta'(x, x) \leq \beta(x, x).$$

This by β_1, β_2 uniquely determined hermitean form β will be denoted by the symbol

$$\sqrt{\beta_1 \beta_2}$$

and is called the "geometrical mean" of β_1 and β_2 .

Let us now consider a state ω of a $*$ -algebra R . ω defines two hermitean forms

$$\omega^R(b, a) = \omega(ab^*),$$

$$\omega^L(ba) = \omega(b^*a).$$

(15)

Now the inequality in question is

$$P(\omega_1, \omega_2) \leq \beta(e, e), \quad \beta = \sqrt{\omega_2^R \omega_1^L}. \quad (16)$$

To prove this, we assume π to be a $*$ -representation of R for which (3) holds. Defining now

$$\beta'(a, b) = (x_1, \pi(a)x_2)(x_2, \pi(b)x_1)$$

we get

$$\beta'(e, e) = |(x_1, x_2)|^2, \quad \beta'(a, a) \geq 0.$$

and see that $|\beta'(a, b)|^2$ is smaller than $\omega_2(aa^*) \omega_1(b^*b)$ which already shows the validity of (16).

5. CALCULATION OF P

Let us first mention that in all relevant cases P coincides with the usual quantum mechanical transition probability: Let x_1, x_2 be two normed vectors of a Hilbert space H. If R is an operator *-algebra of H, i.e., a *-subalgebra of some algebra $L^+(D)$, D dense in H, then the following is true (Uhlmann '6.'): If

$$\omega_j(A) = (x_j, Ax_j), \quad A \in R,$$

we have

$$P(R | \omega_1, \omega_2) = |(x_1, x_2)|^2$$

if R contains the projection operators onto x_1, x_2 .

However, this gives us P for pure states only.

Let us now try to give an explicit expression for P allowing ω_1, ω_2 to be mixed and generalising the above mentioned result.

Theorem: Let ω_1, ω_2 be two states of the C^* -algebra R. If there exists a positive linear form ω of R and two elements $b_1, b_2 \in R$ with

$$\omega_j(a) = \omega(b_j^* a b_j), \quad (17)$$

$$b_1^* b_2 = b_2^* b_1 \geq 0, \quad (18)$$

Then it follows

$$P(\omega_1, \omega_2) = \omega(b_1^* b_2)^2. \quad (19)$$

Before proving the theorem we shall convince ourselves that it implies equation (1), first assuming that R is the algebra B(H) of all bounded operators of the Hilbert space H. To this purpose we choose $\omega(a) = \text{Sp } a d$ such, that $d_j d^{-1}$ is bounded for $j=1,2$. Condition (17) now reads

$$d_j = b_j d b_j^* \quad (20)$$

and we have to satisfy (18). This is done by writing

$$b_1 = d^{-\frac{1}{2}} (d_2^{\frac{1}{2}} d_1 d_2^{\frac{1}{2}})^{\frac{1}{2}} d^{-\frac{1}{2}} \quad (21)$$

$$b_2 = d_2^{\frac{1}{2}} d^{-\frac{1}{2}}$$

Here we have to define b_1 by a limiting procedure, if d_2 is singular. It follows

$$b_1^* b_2 = d^{-\frac{1}{2}} s d^{-\frac{1}{2}} \quad \text{with } s = (d^{-\frac{1}{2}} d_1 d_2^{\frac{1}{2}})^{\frac{1}{2}} \quad (22)$$

and according to (19)

$$P(B(H) | \omega_1, \omega_2) = (\text{Sp } s)^2, \quad (23)$$

i.e., formula (1).

We may extend this result considerably with the help of a simple observation. Assuming for two algebras the relation $R_1 \subseteq R_2$, we find

$$P(R_1 | \hat{\omega}_1, \hat{\omega}_2) \geq P(R_2 | \omega_1, \omega_2) \quad (24)$$

if only $\hat{\omega}_j$ are the restrictions of the states ω_j of R_2 on R_1 . To see this, we have only to take into account that every *-representation of R_2 determines a representation of R_1 , namely its restriction on R_1 .

Applying this remark and the uniqueness of the extensions under consideration below, one can prove the following: Let D be a dense linear manifold of the Hilbert space H and let d_1, d_2 denote two normed density operators. These density operators define two states $\bar{\omega}_j$ of the algebra $L^+(D) \cap K$, where K is the *-algebra generated by the identity map and the compact operators. If now R is an operator *-algebra satisfying

$$L^+(D) \cap K \subseteq R \subseteq L^+(D) \quad (25)$$

and if we can extend the $\bar{\omega}_j$ to states ω_j of R, we get

$$P(R | \omega_1, \omega_2) = (\text{Sp } s)^2, \quad (26)$$

where s is given by (22).

Let us now discuss a special case of the situation described above, in which ω_2 is a pure state. Then there is a vector $x \in H$ with

$$d_2 y = (x, y) y \quad y \in H$$

and a short calculation shows

$$P = (x, d_1 x).$$

Therefore, from

$$d_1 y = \sum \lambda_j (y_j, y) y_j, \quad y \in H$$

we obtain

$$P = \sum \lambda_j |(x, y_j)|^2,$$

which is completely reasonable and natural.

We further mention the consequence of the theorem for commutative C^* -algebras. Let $R = C(X)$ denote the algebra of continuous functions on the compact X and consider two states of R , which may be represented on X by a measure $d\nu$ on X and by their Radon-Nikodym derivatives h_j as

$$\omega_j(a) = \int_X a(t) h_j(t) d\nu. \quad (27)$$

We then get

$$P(R | \omega_1, \omega_2) = \left[\int_X \sqrt{h_1(t) h_2(t)} d\nu \right]^2. \quad (28)$$

This indicates the difficulty, to interpret P as a "transition probability" if *both* states are mixed ones.

Last not least we want to remark, that from

$$b_1^* b_2 = b_2 b_1^*, \quad (29)$$

which is true for *commuting* density operators and in every *commutative* C^* -algebra, the result of the theorem can be written with the aid of geometrical means as

$$P = \left[\sqrt{\omega_1^R \omega_2^R} (e, e) \right]^2. \quad (30)$$

6. PROOF OF THE THEOREM

At first we convince ourselves that (19) gives a lower bound for P . Indeed, one only has to take the GNS-construction associated with the state ω mentioned in the theorem to see this.

In the next step we consider an arbitrary $*$ -representation and two of its vectors x_1, x_2 which allow the identification of ω_1, ω_2 as vector states (3). Then the complex linear form

$$f(a) = (x_1, \pi(a) x_2) \quad (31)$$

satisfies the Schwartz-Bunjakowski inequality

$$|f(a^*b)|^2 \leq \omega_1(a^*a) \omega_2(b^*b). \quad (32)$$

In the last step we consider an arbitrary complex-linear functional f on R for which (32) is true. Then, if c is a positive invertible in R element, we have

$$|f(e)|^2 \leq \omega_1(c) \omega_2(c^{-1}). \quad (33)$$

We choose

$$c = b_2(s + \epsilon e)^{-1} b_2^* + \epsilon e, \quad \epsilon > 0.$$

Then

$$\omega_1(c) = \omega(b_1^* c b_1) = \epsilon \omega(b_1^* b_1) + \omega(s(s + \epsilon e)^{-1} s),$$

and we have

$$\omega_1(c) \leq \omega(s) + \epsilon \omega(b_1^* b_1). \quad (34)$$

Further

$$\omega_2(c^{-1}) = \omega(k)$$

with

$$k = b_2^* \{ b_2(s + \epsilon e)^{-1} b_2^* + \epsilon e \}^{-1} b_2.$$

If we insert, in this expression, $t = b_2(s + \epsilon e)^{-1/2}$, we obtain after some straightforward calculation

$$k \leq ((s + \epsilon e)^{-1})^{-1} = s + \epsilon e.$$

Now $\epsilon > 0$ could be chosen arbitrarily and so we get together with (34) from (33) the desired estimate

$$|f(e)|^2 \leq \omega(s)^2.$$

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