

ОБЪЕДИНЕННЫЙ  
ИНСТИТУТ  
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ИССЛЕДОВАНИЙ

ДУБНА



H-39

29/11-75  
E2-9161

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4954/2-75

ON THE "NEAR TO MINIMAL" CANONICAL  
REALIZATIONS OF THE LIE ALGEBRA  $C_n$

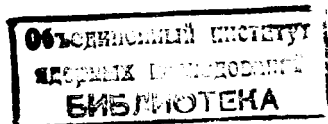
**1975**

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Submitted to "International Journal of  
Theoretical Physics".



## 1. INTRODUCTION

The main purpose of this note is to prove two assertions concerning the canonical realizations of the Lie algebra  $C_n = \mathfrak{sp}(2n, \mathbb{C})$ ,  $n > 1$ , through rational functions or polynomials in a certain number of quantum canonical pairs  $p_i$  and  $q_i$ . More precisely we are interested in realizations of  $C_n$  in the Weyl algebra  $\mathbb{W}_{2(2n-1)}$ , i.e., through polynomials in  $2n-1$  canonical pairs or in the quotient division ring  $D_{2(2n-2)}$  of  $\mathbb{W}_{2(2n-2)}$ , i.e., through rational functions in  $2n-2$  canonical pairs.

It is well-known<sup>1,2</sup> that canonical realizations of the Lie algebra  $C_n$  do not exist in  $D_{2m}$  if  $m < n$ . If  $m \geq n$ , all realizations of  $C_n$  are related through an endomorphism of  $D_{2n}$  ('equivalent') to one standard realization  $\tau_0$  and Casimir operators are realized by multiples of identity element (we call such realizations Schur-realizations).

In this note we generalize the concept of related realizations and derive, first, a sufficient condition for realizations of  $C_n$  in  $D_{2m}$  with any  $m \geq n$  to be related to the standard realization  $\tau_0$  in  $D_{2n} \subset D_{2m}$ . In combination with Joseph's result<sup>2</sup> it gives our first result: any realization of  $C_n$  in  $D_{2(2n-2)}$  is related to  $\tau_0$  in  $D_{2n} \subset D_{2(2n-2)}$ . As a consequence, the value of every Casimir operator in any realization in  $D_{2(2n-2)}$  is the same as in realization  $\tau_0$  which particularly means that all realizations of  $C_n$  in  $D_{2(2n-2)}$  are Schur-realizations. Our second result is that this last property is conserved in  $\mathbb{W}_{2(2n-1)}$  though there appear new realizations nonrelated to realization  $\tau_0$ <sup>3</sup>.

In Conclusion we compare  $C_n$  in this respect, with the remaining complex classical Lie algebras.

## 2. PRELIMINARIES

A. In  $C_n$  of Cartan's classification of complex simple Lie algebras we choose a basis of  $n(2n-1)$  elements  $X_{\beta}^{\alpha}$  satisfying

$$[X_{\beta}^{\alpha}, X_{\delta}^{\gamma}] = \delta_{\beta}^{\gamma} X_{\delta}^{\alpha} - \delta_{\delta}^{\alpha} X_{\beta}^{\gamma} + \epsilon_{\alpha\beta\delta} X_{-\alpha}^{\gamma} + \epsilon_{\beta\gamma\delta} X_{-\alpha}^{\gamma} \quad (1)$$

$\epsilon_{\alpha} = \text{sign } \alpha \quad \alpha, \beta, \gamma, \delta = \pm 1, \pm 2, \dots, \pm n$

B. A canonical realization of a Lie algebra (assoc. algebra, quotient division ring)  $G$  is a homomorphism of  $G$  in the Weyl algebra  $W_{2N}$  (i.e., in the complex algebra of polynomials in  $2N$  variables  $q_{\alpha}, p^{\beta}$  satisfying  $[q_{\alpha}, q_{\beta}] = [p^{\alpha}, p^{\beta}] = 0, [q_{\alpha}, p^{\beta}] = -\delta_{\alpha}^{\beta}$ ) or in the associated quotient division ring  $D_{2N}$  (i.e., in the rational functions in  $q_{\alpha}, p^{\beta}$ ). For an exact definition and foundation of  $D_{2N}$  see <sup>4</sup>.

A canonical realization of a Lie algebra is called Schur-realization if any Casimir operator (i.e., any element from the centre of the enveloping algebra) is realized by multiple of the identity.

**Definition 1:** Let realization  $\tau: G \rightarrow D_{2n} = D_{2n}'$

of the Lie algebra  $G$  in the quotient division subring  $D_{2n}$  of  $D_{2n}'$  and realization

$\tau': G \rightarrow D_{2n}'$

be given.  $\tau'$  is called related to  $\tau$  iff a realization  $\theta: D_{2n} \rightarrow D_{2n}'$

exists such that  $\theta \circ \tau = \tau'$ .

This definition generalizes the concept of related realizations introduced in our previous papers. If  $D_{2n} = D_{2n}'$

we obtain the old definition of related realizations in the sense that  $\tau$  and  $\tau'$  are called to be related iff either  $\tau$  is related to  $\tau'$  or  $\tau'$  is related to  $\tau$ .

## 3. PROPERTIES OF REALIZATIONS OF $C_n$ IN $D_{2(2n-2)}$ AND $W_{2(2n-1)}$

**Lemma 1:** (i) The following formulas give a canonical realization  $\tau_0$  of the Lie algebra  $C_n$  in  $D_{2n}$

$$\tau_0(X_{\beta}^{\alpha}) = \begin{cases} -q_j p^i - \frac{1}{2} \delta_j^i I & i, j > 0 \\ q_0 p^i p^{-j} & i > 0, j < 0 \\ -q_0^{-1} q_{-i} q_j & i < 0, j > 0, \end{cases}$$

$$\tau_0(X_n^i) = \begin{cases} -q_0 p^i & i > 0 \\ -q_{-i} & i < 0 \end{cases}, \quad (2)$$

$$\tau_0(X_n^i) = \begin{cases} q_0^{-1} q_i (\tau_0(X_n^n) + \frac{1}{2}) & i > 0 \\ -(\tau_0(X_n^n) - \frac{1}{2}) p_{-i} & i < 0, \end{cases}$$

$$\tau_0(X_n^{-n}) = -q_0,$$

$$\tau_0(X_{-n}^n) = q_0^{-1} (\tau_0(X_n^n) + \frac{3}{2}) (\tau_0(X_n^n) + \frac{1}{2}),$$

$$\tau_0(X_n^n) = -q_0 p^0 - q \cdot p,$$

where  $q \cdot p = q_0 p^0 + \dots + q_{n-1} p^{n-1}$  and  $i, j = -(n-1), \dots, -1, 1, \dots, n-1$ .

(ii)  $\tau_0$  is a Schur-realization.

It is straight-forward to verify that the generators  $\tau_0(X_{\beta}^{\alpha})$  from (2) obey the commutation relations (1) of  $C_n$ . The realization (2) is a minimal one since only  $n$  canonical pairs occur and therefore it must be a Schur-realization (see <sup>2</sup>).

If  $n' > n$ , the realization  $\tau_0$  can be defined in  $D_{2n}'$ .

We then without mention assume  $\tau_0(C_n) = D_{2n}(q_0, p^0, \dots, q_{n-1}, p^{n-1}) \subset D_{2n}$ , where  $D_{2n}(q_0, p^0, \dots, q_{n-1}, p^{n-1})$  denotes the quotient division subring of  $D_{2n}$  generated by the first  $n$  canonical pairs  $q_0, p^0, \dots, q_{n-1}, p^{n-1}$ . The realization  $\tau_0$  will be called the *standard minimal* one.

**Lemma 2:** Let  $\tau$  be any nontrivial realization of  $C_n$  with  $n \geq 2$  in  $D_{2n'}$ ,  $n' \geq n$ , fulfilling the condition

$$\tau \left\{ \left( X_\ell^k + \frac{1}{2} \delta_\ell^k \right) X_n^{-n} - X_n^{-\ell} X_n^k \right\} = 0 \quad (3)$$

at least for one positive pair  $k, \ell = 1, 2, \dots, n-1$ . Then  $\tau$  is related to  $\tau_0$ , i.e.,  $\theta \circ \tau_0 = \tau$ . The realization  $\theta: D_{2n} \rightarrow D_{2n'}$  is defined by the relations

$$\begin{aligned} \theta(q_k) &= Q_k, & \theta(p^k) &= P^k, \\ \theta(q_0) &= -\tau(X_n^{-n}), & \theta(p^0) &= (\tau(2X_n^{-n}))^{-1} (\tau(X_n^n) + Q_k P^k), \\ Q_k &= -\tau(X_n^{-k}), & P^k &= (\tau(X_n^{-n}))^{-1} \tau(X_n^k). \end{aligned} \quad (4)$$

**Proof:** Let us for abbreviation write  $\hat{X}_\beta^\alpha = \tau(X_\beta^\alpha)$ . Note that, due to the simplicity of the Lie algebra  $\beta C_n$ ,  $\hat{X}_n^{-n} = 0$  implies  $\hat{X}_\beta^\alpha = 0$  for all  $\alpha, \beta$  which is in contradiction with the assumption of nontriviality of  $\tau$ . Therefore  $X_n^{-n}$  is a nonzero element of  $D_{2n'}$  and we can define

$$\begin{aligned} P^k &= (\hat{X}_n^{-n})^{-1} \hat{X}_n^k, & Q_k &= -\hat{X}_n^{-k}, \quad k=1, \dots, n-1, \\ P^0 &= (2\hat{X}_n^{-n})^{-1} (\hat{X}_n^n + Q_k P^k), & Q_0 &= -\hat{X}_n^{-n} \end{aligned} \quad (5)$$

(summation over  $k$ ).

It is easy to prove, using commutation relations (1), that they commute as  $n$  canonical pairs, i.e.,

$$\begin{aligned} [P^\alpha, Q_\beta] &= \delta_\beta^\alpha, & [Q_\alpha, Q_\beta] &= [P^\alpha, P^\beta] = 0, \\ & & \alpha, \beta &= 0, 1, 2, \dots, n-1. \end{aligned}$$

Further one can show that the rational functions

$$\hat{Y}_\ell^k = \hat{X}_\ell^k + Q_\ell P^k + \frac{1}{2} \delta_\ell^k, \quad k, \ell = 1, \dots, n-1. \quad (6)$$

$$\hat{Y}_\ell^{-k} = \hat{X}_\ell^{-k} + Q_0^{-1} Q_k Q_\ell, \quad (7)$$

$$\hat{Y}_{-\ell}^k = \hat{X}_{-\ell}^k - Q_0 P^k P^\ell, \quad (8)$$

commute as the generators of  $C_{n-1}$ .

Condition (3) is equivalent to  $\hat{Y}_\ell^k = 0$  and since  $C_{n-1}$  is a simple Lie algebra it follows that

$$\hat{Y}_{-\ell}^k = \hat{Y}_\ell^{-k} = \hat{Y}_\ell^k = 0 \quad \text{for all } k, \ell = 1, \dots, n-1. \quad (9)$$

Thus from (6)-(8) we get

$$\hat{X}_j^i = \begin{cases} -Q_j P^i - \frac{1}{2} \delta_j^i & i, j > 0, \\ Q_0 P^i P^{-j} & i > 0, j < 0, \\ -Q_0^{-1} Q_{-i} Q_j & i < 0, j > 0. \end{cases} \quad (10)$$

Further, (5) yields

$$\hat{X}_n^i = \begin{cases} -Q_0 P^i & i > 0 \\ -Q_{-i} & i < 0, \end{cases} \quad (11)$$

$$\hat{X}_n^n = -Q_0 P^0 - Q \cdot P, \quad (12)$$

where  $Q \cdot P = Q_0 P^0 + \dots + Q_{n-1} P^{n-1}$ . Now we show that condition (9) implies

$$\hat{X}_{-k}^n = -\left(\hat{X}_n^n - \frac{1}{2}\right) P^k \quad k = 1, 2, \dots, n-1. \quad (13)$$

Consider condition (9)  $\hat{Y}_{-\ell}^k = 0$  especially for  $k=\ell$ . Then  $\hat{Y}_{-k}^k = 0$  gives, due to (8) and (5),

$$\hat{X}_n^{-n} \hat{X}_{-k}^k = -\hat{X}_n^k \hat{X}_n^k \quad k \neq 0 \text{ (no summation!);} \quad (14)$$

when the commutator  $[X_{-n}^n, \dots]$  acts on this equation one gets

$$2\hat{X}_n^n \hat{X}_{-k}^k = \hat{X}_{-k}^k \hat{X}_n^n + \hat{X}_n^k \hat{X}_{-k}^k$$

and rewriting the r.h.s. we obtain further

$$2\hat{X}_n^n \hat{X}_{-k}^k = 2\hat{X}_{-k}^k \hat{X}_n^n + [\hat{X}_n^k, \hat{X}_{-k}^k] = 2\hat{X}_{-k}^k \hat{X}_n^n + \hat{X}_{-k}^k$$

which implies

$$\hat{X}_{-k}^k = \left(\hat{X}_n^n - \frac{1}{2}\right) (\hat{X}_{-k}^k) (\hat{X}_n^n)^{-1}$$

(Note that  $\hat{X}_n^k \neq 0$  for the same reasons as  $\hat{X}_n^{-n} \neq 0$ .)

Thus, if we substitute  $\hat{X}_{-k}^k$  by (10) and  $\hat{X}_n^k$  by (11) we find the desired expression (13). The generators (10)-(13) are a generating set in the Lie algebra  $C_n$ , i.e., the remaining generators can be computed as commutators of these.

It follows from the commutation relation of  $P^\alpha$  and  $Q_\beta$  that the mapping

$$\begin{aligned} \theta(Q_\alpha) &= Q_\alpha, \\ \theta(P^\alpha) &= P^\alpha, \end{aligned} \quad \alpha = 0, 1, \dots, n-1$$

defines a realization of  $D_{2n} = D_{2n}(q_0, p^0, \dots, q_{n-1}, p^{n-1})$  in  $D_{2n}'$ .

A comparison with (2) shows that  $\theta \circ \tau_0 = \tau$  holds for the generating set of generators of  $C_n$  (10)-(13) and therefore this must be true for the whole algebra  $C_n$ .

**Corollary 1:** If for a nontrivial realization  $\tau$  of  $C_n$ ,  $n \geq 2$ , in  $D_{2n}'$ ,  $n' \geq n$ , condition (3) is fulfilled, then for any Casimir operator  $z$  of  $C_n$

$$\begin{aligned} \tau(z) &= \tau_0(z) = a_z 1, \quad a_z \in \mathbb{C}, \\ \text{i.e., } \tau &\text{ is a Schur-realization and the realization of any Casimir operator of } C_n \text{ has the same eigenvalues as in the standard minimal realization } \tau_0. \end{aligned}$$

**Proof:** The realization  $\tau_0$  is a Schur-realization, i.e.,  $\tau_0(z) = a_z 1$ . The relation  $\tau = \theta \circ \tau_0$  gives

$$\begin{aligned} \tau(z) &= \theta[\tau_0(z)] = \theta(a_z 1) = a_z \theta(1) = a_z 1 \\ \text{because } \theta(1) &= 1. \end{aligned}$$

**Theorem 1:** Let  $\tau$  be any realization of  $C_n$ ,  $n \geq 2$ , in  $D_{2n}'$ ,  $n \leq n' \leq 2n-2$ . Then  $\tau$  is related to  $\tau_0$ , i.e.,  $\tau = \theta \circ \tau_0$  where  $\theta$  is given by eq. (4).  $\tau$  is a Schur-realization and any Casimir operator has the same eigenvalues as in the standard minimal realization  $\tau_0$ .

**Proof:** Theorem 1 follows from Lemma 2 if we show that for any realization  $\tau$  of  $C_n$  in  $D_{2n}'$ ,  $n \leq n' \leq 2n-2$  the condition (3) is fulfilled. To show this assume, on the contrary, that (3) does not hold. As eq. (3) is equivalent to eqs. (9), it means that all the generators (6)-(8) of the simple Lie algebra  $C_{n-1}$  are different from zero. Thus according to (5) we can define  $n-1$  new canonical pairs

$$\begin{aligned} \tilde{P}^r &= (\hat{Y}_{n-1}^{-(n-1)})^{-1} \hat{Y}_{n-1}^r & \tilde{Q}_r &= -\hat{Y}_{n-1}^{-r} \\ \tilde{P}^0 &= (2\hat{Y}_{n-1}^{-(n-1)})^{-1} (\hat{Y}_{n-1}^{n-1} + \tilde{Q}_r \tilde{P}^r) & \tilde{Q}_0 &= -\hat{Y}_{n-1}^{-(n-1)} \end{aligned} \quad (15)$$

Since it can be verified that the  $\hat{Y}_j^i$  and therefore the canonical pairs  $\tilde{Q}_\rho, \tilde{P}^\sigma$  commute with all  $Q_\alpha, P^\beta$  defined by eqs. (5), we would have in  $D_{2n}'$ ,  $n \leq n' \leq 2n-2$ ,  $2n-1$  canonical pairs. But this is impossible as in  $D_{2n}'$ , there do not exist more than  $n'$  canonical pairs (see /2/). Therefore condition (3) must be fulfilled and we use Lemma 2 and Corollary 1.

Now we enlarge the number of canonical pairs to  $2n-1$  and restrict ourselves to the Weyl algebra  $\mathbb{W}_2(2n-1)$ .

**Theorem 2:** Any realization  $\tau$  of the Lie algebra  $C_n$  in the Weyl algebra  $\mathbb{W}_2(2n-1)$  is a Schur-realization.

**Proof:** For  $n=1$  the realization  $\tau$  is minimal and therefore a Schur-realization /2/. For  $n \geq 2$  we first choose  $2n-1$  commuting elements from the realization  $\tau$  ( $UC_n$ )  $\subset$

$\mathbb{C}W_{2(2n-1)}$  of the enveloping algebra  $UC_n$ . In notation from Lemma 2 and Theorem 1 they are

$$Q_0, Q_1, \dots, Q_{n-1} \quad (\text{see eq. (5)})$$

and

$$Q_0 \tilde{Q}_0, Q_0 \tilde{Q}_1, \dots, Q_0 \tilde{Q}_{n-2} \quad (\text{see eq. (15) and (7)}).$$

Adding realization  $\tau(z) = Z$  of any Casimir operator  $z$ , we obtain  $2n$  commuting elements from  $\mathbb{C}W_{2(2n-1)}$ . In accordance with Joseph's result ([5], Th. 3.3) only two possibilities can arise

- (a) Either some of the considered  $2n$  elements are realized by multiple of identity element.
- (b) If (a) does not hold a (finite) set of nonzero complex numbers  $\{a_{ik\ell}\} \subset \mathbb{C}$  exists such that

$$\sum_{ik\ell} a_{ik\ell} Q^i (Q_0 \tilde{Q})^k Z^\ell = 0 \quad (16)$$

(the multiindex notation is used, i.e.,

$$a_{ik\ell} Q^i (Q_0 \tilde{Q})^k Z^\ell = a_{i_0, \dots, i_{n-1}, k_0, \dots, k_{n-1}, \ell} Q_0^{i_0} \dots \\ \dots Q_{n-1}^{i_{n-1}} (Q_0 \tilde{Q}_0)^{k_0} \dots (Q_0 \tilde{Q}_{n-2})^{k_{n-2}} Z^\ell.)$$

We exclude the second possibility. For this we consider  $\mathbb{C}W_{2(2n-1)}$  embedded in its quotient division ring  $D_{2(2n-1)}$  where canonically conjugate variables  $P^0, \dots, P^{n-1}, \tilde{P}^0, \dots, \tilde{P}^{n-2}$  exist (see eqs. (5), (15), (6)-(8)). By means of multiple commutation of the variables  $p^\alpha$  and  $\tilde{p}^\rho$  with eq. (16) we easily obtain

for all considered  $i$  and  $k$ . A nontrivial polynomial in one variable  $Z$  can be written as the product

$$P_{ik}(Z) = a_{ik} \prod_r (Z - \beta_{ik}^r 1)^{n_r} = 0, \quad a_{ik} \beta_{ik}^r \in \mathbb{C}$$

from which, as  $\mathbb{C}W_{2(2n-1)}$  does not contain a nontrivial divisor of zero, we obtain

$$Z = \beta_{ik}^r 1 \quad \text{for some } r.$$

This contradicts, however, the assumption that (a) is not valid. So, the possibility (b) is excluded and we discuss the possibility (a). If some of the elements  $Q_0 = -\hat{X}_n^{-n}, \dots, Q_{n-1} = -\hat{X}_n^{-(n-1)}$  are multiples of identity then commutation relations (1) give immediately that such  $Q$  equal to zero. It implies, due to simplicity of the Lie algebra  $C_n$ , that all generators  $\hat{X}_\beta^g$  are zero, i.e., the realization is trivially a Schur-realization. If some  $Q_0 \tilde{Q}_0, \dots, Q_0 \tilde{Q}_{n-2}$  is a multiple of identity, i.e., if

$$\hat{X}_n^{-n} \hat{Y}_{n-1}^{-k} = \hat{X}_n^{-n} \hat{X}_{n-1}^{-k} - \hat{X}_n^{-k} \hat{X}_n^{-(n-1)} = a 1$$

for some  $k = 1, \dots, n-1$ , commutation relations with  $P^0$  give  $\hat{Y}_{n-1}^{-k} = 0$ . The simplicity of the Lie algebra  $C_{n-1}$  generated by the  $\hat{Y}_j^i$ 's leads to  $Y_j^i = 0$  for all  $i, j = \pm 1, \dots, \pm(n-1)$  so that condition (3) is fulfilled and Lemma and Corollary 1 can be applied.

So in all cases admissible by possibility (a) the realization  $\tau$  is a Schur-realization and proof is completed.

#### 4. CONCLUSION

Denote by  $n_{\min}$  the minimal number of canonical pairs such that nontrivial realization of a given Lie algebra exists in  $D_{2n_{\min}}$ . The values for the four series of complex simple Lie algebras are given in table [2]:

	$A_n$	$B_n, n > 1$	$C_n$	$D_n, n > 2$
$n_{\min}$	$n$	$2n-2$	$n$	$2n-3$

Denote further by  $k_{\max}$  such a maximal integer that all realizations in  $\mathbb{C}W_{2(n_{\min} + k_{\max})}$  of a given Lie algebra are

Schur-realizations. For classical Lie algebras  $k_{\max}$  exists and is given in the following table:

	$A_n$	$B_n, n > 1$	$C_n$	$D_n, n > 2$
$k_{\max}$	0	1	$n-1$	1

As to  $k_{\max}$  for  $A_n$  see /1//2/ and /6/ for  $B_n$  and  $D_n$ ; equality  $k_{\max} = n-1$  for the Lie algebras  $C_n$  is proved just in the present note. Maximality of  $k_{\max}$  follows from existence of one-parameter sets of realizations in  $W_{2(n_{\min} + k_{\max})}$  with Casimir operators depending on this parameter /1,2,3,7,8/; substituting this parameter by  $q_{n_{\min} + k_{\max} + 1}$  from the new pair  $q_{n_{\min} + k_{\max} + 1}, p_{n_{\min} + k_{\max} + 1}$  we obtain non-Schur-realizations in  $W_{2(n_{\min} + k_{\max} + 1)}$ . The second table shows the remarkable distinction, as to  $k_{\max}$  between  $C_n$  and the other classical Lie algebras: realizations of  $C_n$  in  $D_{2(2n-2)}$  however, remain still related, in the sense of Definition 1, to the standard minimal one.

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Received by Publishing Department on September 12, 1975.