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**NONEXISTENCE
OF FINITE-ENERGY SOLUTIONS
IN SOME GAUGE MODELS**

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1. Introduction

Various static finite-energy solutions in gauge theories are known : vortices in the 2+1 dimensional Ginzburg-Landau model, magnetic monopoles in the 3+1 dimensional Yang-Mills-Higgs theories or instantons in the 4-dimensional Euclidean Yang-Mills theory. The following features manifested in these examples are necessary for the existence of nontrivial solutions^{/1-3/} :

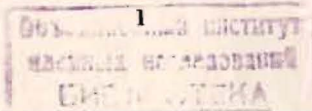
- (i) both the gauge and scalar fields are present for the spatial dimension $d = 2, 3$,
- (ii) Higgs potential (self-interaction of the scalar field) is present for $d = 2$.

These properties have been proved for time-independent fields in the gauge $A_0 = 0$, i.e., for d -dimensional Euclidean theory^{/1/}. In a $d+1$ dimensional Minkowskian theory, this gauge cannot be used for fields with nonzero electric-like components F_{0j} . The aim of the present letter is to show that the mentioned results hold under more general assumptions, namely for static fields with nonvanishing F_{0j} .

On the other hand, for static fields with $A_0 = 0$ in higher dimensions one can derive that^{/1/}

- (iii) finite-energy solutions are essentially pure Yang-Mills field for $d = 4$,
- (iv) no nontrivial finite-energy solutions exist for $d > 4$.

We recover these properties only if $F_{0j} = 0$ and $D_0\phi = 0$ is assumed. Let us remark that the case of pure Yang-Mills field (including $F_{0j} \neq 0$) was treated in Ref.4 by means of a scale transformation the use of which requires a further justification (compare to Refs.5 and 6).



2. Preliminaries

We consider the system of Yang-Mills fields A_μ^a and a Higgs field ϕ in $d+1$ dimensional Minkowski space-time. The Greek indices μ, ν, ρ run over $0, 1, \dots, d$, Roman indices $j, k = 1, \dots, d$. The values of the field ϕ belong to a finite-dimensional Hilbert space L (i.e., $L = \mathbb{R}^n$ or \mathbb{C}^n) which is the carrier space of a unitary representation of the gauge group G . The latter is determined by infinitesimal generators T_a , $a = 1, \dots, r$, which satisfy the condition \star)

$$\text{Tr } T_a T_b = 2\delta_{ab}.$$

We use the notation

$$A_\mu = iT_a A_\mu^a$$

and similarly for the field tensor

$$F_{\mu\nu} = iT_a F_{\mu\nu}^a = \partial_\mu A_\nu - \partial_\nu A_\mu - [A_\mu, A_\nu].$$

Since the Yang-Mills fields A_μ^a are real-valued, A_μ and $F_{\mu\nu}$ are anti-hermitean operators in L , i.e., skew-symmetric matrices if we express them by means of an orthonormal basis in L . Using the covariant derivatives

$$D_\mu \phi = \partial_\mu \phi - A_\mu \phi,$$

one can write Lagrangian of the Yang-Mills-Higgs field as

$$\mathcal{L} = \frac{1}{8} \text{Tr } F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} (D_\mu \phi, D^\mu \phi) - V(|\phi|^2). \quad (1)$$

Here the trace and the norm $|\phi| = (\phi, \phi)^{1/2}$ refer to the scalar product (\cdot, \cdot) of L , and the Higgs potential is assumed to fulfil

$$V(|\phi|^2) \geq 0. \quad (2)$$

The field equations read

$$D_\mu D^\mu \phi = -2V'(|\phi|^2) \phi \quad (3a)$$

\star) This can be achieved for the adjoint representation of any connected semisimple compact Lie group G - cf., e.g., Ref.12.

and

$$\partial^\mu F_{\mu\nu} - [A^\mu, F_{\mu\nu}] = - \sum_a iT_a \text{Re}(\phi, iT_a D_\nu \phi). \quad (3b)$$

Finally, the energy-momentum tensor of the Yang-Mills-Higgs field is

$$\mathcal{T}_{\mu\nu} = \frac{1}{2} \text{Tr } F_{\mu\rho} F_{\nu}{}^\rho + \text{Re}(D_\mu \phi, D_\nu \phi) - g_{\mu\nu} \mathcal{L}. \quad (4)$$

3. The results and proofs

Our results are summarized in the following theorem which represents a straightforward generalization to Corollary 2.3 of Ref.1.

Theorem. Let (A_μ, ϕ) be a solution to Yang-Mills-Higgs equations (3) with a finite energy and the energy-momentum tensor (4) independent of time, then the following assertions are valid:

- If $d > 1$, $D_0 \phi = 0$ and $F_{\mu\nu} = 0$, then $|\phi| = \text{const}$ and $V(|\phi|^2) = 0$. In particular, for a pure scalar field ($A_\mu = 0$) in $d > 1$ we have $\phi = \text{const}$; this is known as Derrick theorem^{5,6/}.
- If $d = 1$, $|\phi| = \text{const}$, $V(|\phi|^2) = 0$ and $D_0 \phi = 0$, then $F_{\mu\nu} = 0$.
- If $d = 2$ and $V(|\phi|^2) = 0$, then $F_{\mu\nu} = 0$, $|\phi| = \text{const}$ and $D_\mu \phi = 0$.
- If $d = 3$, $|\phi| = \text{const}$ and $V(|\phi|^2) = 0$, then $D_\mu \phi = 0$ and $F_{\mu\nu} = 0$.
- If $d = 4$, $F_{0j} = 0$ for $j = 1, \dots, d$ and $D_0 \phi = 0$, then $D_\mu \phi = 0$ and $V(|\phi|^2) = 0$.
- If $d > 4$, $F_{0j} = 0$ for $j = 1, \dots, d$ and $D_0 \phi = 0$, then $F_{\mu\nu} = 0$, $D_\mu \phi = 0$ and $V(|\phi|^2) = 0$.

Proof: The argument follows the same line as in Ref.1. Due to the assumption, $\partial_j \mathcal{T}_{\mu j} = 0$, so a formal integration by parts yields

$$\int_{\mathbb{R}^d} \mathcal{T}_{\mu j}(x) d^d x = 0. \quad (5)$$

For a finite-energy solution, this relation can be obtained rigorously by introducing a smooth cut-off; removing of it is justified by the inequality $|\mathcal{T}_{\mu\nu}| \leq \mathcal{T}_{00}$ which verifies directly^{1/}. Summation over $\mu = j = 1, \dots, d$ in (5) leads to the equality

$$\begin{aligned} \left(\frac{d}{2} - 1\right) \sum_{a,j} \|F_{0j}^a\|^2 + \left(1 - \frac{d}{4}\right) \sum_{a,j,k} \|F_{jk}^a\|^2 + \frac{d}{2} \|D_0 \phi\|^2 &= \\ &= \left(\frac{d}{2} - 1\right) \sum_j \|D_j \phi\|^2 + d \|V(|\phi|^2)^{1/2}\|^2, \end{aligned} \quad (6)$$

which is crucial for the proof; here $\|\cdot\|$ denotes the norm in $L^2(\mathbb{R}^d)$

or $L^2(\mathbb{R}^d; L)$. Now we can check the assertions (a)-(f):

(a) Under the assumptions $F_{\mu\nu} = 0$ and $D_0\phi = 0$, the relation (6) gives $V(|\phi|^2) = 0$. Since $F_{\mu\nu} = 0$, we can choose a gauge in which $A_\mu = 0$; this commonly used fact is justified by means of the non-abelian Stokes theorem^[2,7-11]. Then $\partial_0\phi = D_0\phi = 0$. If $d > 2$, $\partial_j\phi = D_j\phi = 0$ follows from (6) so $\phi = \text{const}$. If $d = 2$, the argument is slightly more complicated. Since $V(|\phi|^2) = 0$ and V is non-negative, we have $V'(|\phi|^2) = 0$. The equation (3a) for the Higgs field reduces then in the chosen gauge to Laplace equation

$$\Delta\phi = 0 \quad (7)$$

However, ϕ is assumed to have a finite energy so $\partial_j\phi \in L^2(\mathbb{R}^2; L)$; this fact together with (7) gives again $\phi = \text{const}$ as one can check easily using the mean-value theorem for harmonic functions. The gauge group acts unitarily on ϕ , thus $|\phi|$ is preserved when we return to the original gauge.

(b) and (d). If $|\phi| = \text{const}$, one obtains

$$0 = \frac{1}{2} \partial_\mu \partial^\mu (\phi, \phi) = \text{Re}(D_\mu D^\mu \phi, \phi) + (D_\mu \phi, D^\mu \phi) \quad (8)$$

using the antihermiticity of A_μ . The assumption $V(|\phi|^2) = 0$ yields $D_\mu D^\mu \phi = 0$ according to Eq.(3a) in the same way as above. The relation (8) then gives

$$|D_0\phi|^2 = \sum_j |D_j\phi|^2 \quad (9)$$

so (6) can be rewritten as

$$\left(\frac{d}{2} - 1\right) \sum_{a,j} \|F_{0j}^a\|^2 + \left(1 - \frac{d}{4}\right) \sum_{a,j,k} \|F_{jk}^a\|^2 + \|D_0\phi\|^2 = 0 \quad (10)$$

If $d = 1$, the components F_{jk} are absent and the last relation gives $F_{0j} = 0$ for $D_0\phi = 0$. If $d = 3$, we get $F_{\mu\nu} = 0$ and $D_0\phi = 0$ according to (10). In that case, $D_j\phi = 0$ follows from (9).

(c) For $d = 2$ and $V(|\phi|^2) = 0$, the relation (6) yields $F_{jk} = 0$ and $D_0\phi = 0$. The Stokes-theorem argument shows that we can choose a gauge in which $A_j = 0$. As in the case (a), we have $\partial_j\phi \in L^2(\mathbb{R}^2; L)$ and $\Delta\phi = 0$, hence ϕ is a function of time only, $\phi = \varphi(t)$. Due to the assumption of energy finiteness, $F_{j0}^a = \partial_j A_0^a$ belongs to $L^2(\mathbb{R}^2)$. Since the field equation (3b) reduces to $\Delta A_0 = 0$ for $\nu = 0$, the component $A_0 = a_0(t)$ is also independent of space coordinates and $F_{j0} = 0$. Together we get $F_{\mu\nu} = 0$ and $D_\mu\phi = 0$, so it is possible to choose a

new gauge in which $A_\mu = 0$ and $\phi = \text{const}$; then $|\phi| = \text{const}$ in any gauge.

(e) and (f) follow immediately from (6). ■

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Диттрих Я., Экснер П.
Несуществование решений с конечной энергией
в некоторых калибровочных моделях

E2-85-468

Теорема, запрещающая существование некоторых статических решений с конечной энергией для классической модели Янга-Миллса-Хиггса в зависимости от пространственной размерности, обобщается таким образом, чтобы ее можно было применить к полям с ненулевыми компонентами электрического типа F_{0j} .

Работа выполнена в Лаборатории теоретической физики ОИЯИ.

Сообщение Объединенного института ядерных исследований. Дубна 1985

Dittrich J, Exner P.
Nonexistence of Finite-Energy Solutions
in Some Gauge Models

E2-85-468

A theorem which forbids the existence of certain nontrivial static finite-energy solutions to Yang-Mills-Higgs equations in dependence on the spatial dimension is generalized to include fields with nonvanishing electric-like components F_{0j} .

The investigation has been performed at the Laboratory of Theoretical Physics, JINR.

Communication of the Joint Institute for Nuclear Research. Dubna 1985