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AN ALTERNATIVE CALCULATION
OF THE INSTANTON DRIVEN
 β -FUNCTION IN YANG-MILLS THEORY

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The existence of both the weak^{/1/} and strong^{/2/} coupling regime in SU(N) lattice gauge theories has become a well-known fact. They are clearly distinguished by the corresponding branches of the β -function, and the continuous transition between these regimes^{/3/} seems to take place over a narrow interval in g . Interesting phenomena seem to happen in the transition region^{/4/}, but the typical relevant field configurations are not yet identified sufficiently.

Within the continuum Yang-Mills theory so far the only mechanism to provide a breakdown of perturbative behaviour of the β -function, still at small coupling, is the instanton mechanism, proposed by Callan, Dashen and Gross (CDG)^{/5/}. There are however some ingredients in their analysis which look foreign to the usual field theory formulation: the "instanton medium" and the "vacuum permeability" μ_{vac} are used for renormalizing multiplicatively the fields as well as the coupling constant in the following form

$$g_{ren}^2(a) = \mu_{vac}(g_c) g^2(a), \quad g^2(a) = \frac{8\pi^2}{b_N b_1(1/a\Lambda)}, \quad b_N = 11N/3, \quad (1)$$

g_c represents the usual infrared cutoff for the instanton scale g , whereas a is the lattice constant of an effective lattice gauge theory with a coupling $g_{ren}(a)$. In order to obtain the latter by constrained functional integration, CDG argued for setting $a \approx g_c$, the only length scale in the game. Apart from the lack of a proof for this, it is not clear in what respect eq.(1) reflects correctly renormalization group properties as known from perturbation theory. This holds also for other definitions of a running coupling constant with inclusion of instanton effects, e.g., in Ref./6/.

In this paper we propose another, more conventional way to estimate the instanton contributions to the β -function by considering leading radiative as well as semiclassical corrections to the gluon two- and three-point functions of pure Yang-Mills theory. We define the connected Green functions with inclusion of instanton sectors via the generating functional $W(J)$ which can be written within the dilute gas approximation (DGA)^{/7/} - without any account of instanton interactions and up to corrections of order $O(g_0^2)$ - as follows^{/8/}

$$W(\mathcal{J}) = W_0(\mathcal{J}) + \sum_{\pm} \int d^4y \int \frac{d\mathcal{G}}{\mathcal{G}} \int dR d(\mathcal{G}) \times \\ \times \left[e^{-\int R_{inst} \mathcal{J}} e^{\frac{1}{2} \int \mathcal{J} (D(R_{inst}) - D_0) \mathcal{J}} (1 + \mathcal{O}(\mathcal{G}_0^2)) - 1 \right], \quad (2)$$

where $W_0(\mathcal{J})$ is the generating functional corresponding to the usual perturbation theory and $D(A^{inst})$ and D_0 are the gluon propagators in the one-instanton and $A=0$ background, respectively. Therefore, in the leading order in \mathcal{G}_0 , the nonperturbative sectors contribute to the two- and three-point functions only the classical fields $A^{inst} \sim \mathcal{O}(1/\mathcal{G}_0)$, weighted by the instanton amplitude $d(\mathcal{G})$, given in one-loop approximation ^{/9,7/}

$$d(\mathcal{G}) = C_N x_0^{2N} e^{-x_0} (M\mathcal{G})^{b_N} e^{-R(\mathcal{G})\mathcal{G}^2} \mathcal{G}^{-4}, \quad x_0 = \frac{8\pi^2}{\mathcal{G}_0^2}. \quad (3)$$

Here \mathcal{G}_0 is understood as the unrenormalized coupling constant; then M plays the role of a regularization mass parameter according to the Pauli-Villars method used by 't Hooft ^{/9/}. The exponential cutoff corresponds to a hard core enforcement of diluteness invented in Ref./10/, with $A(\bar{\mathcal{G}}) = a_N/\bar{\mathcal{G}}^2$ and $a_N = (b_N - 4)/2$. $\bar{\mathcal{G}}$ is the average size of instantons being in one-to-one correspondence with the diluteness parameter a' which controls the smallness of interactions between two (anti)instantons, $a' < |y_1 - y_2|^4 / \mathcal{G}_1^2 \mathcal{G}_2^2$. This parameter is estimated in Ref./10/ from the positivity of the action as $a' \geq \mathcal{O}(100)$. Using the Fourier transform of the singular gauge instanton

$$\bar{H}_{\mu}^{\alpha}(\mathbf{k}) = 4\pi^2 i R^{\alpha a} \bar{\eta}_{\mu\nu} \frac{k_{\nu}}{k^2} \frac{\mathcal{G}^2}{\mathcal{G}_0} F(k\mathcal{G}) \\ F(\mathcal{G}') = \frac{4}{\mathcal{G}'^2} \left(1 - \frac{\mathcal{G}'^2}{2} K_2(\mathcal{G}') \right) \quad (4)$$

($R^{\alpha a}$ - adjoint representation of $SU(N)$, $\bar{\eta}$ - the 't Hooft tensor ^{/9/}) we find the unrenormalized, unamputated three-point function taken at the symmetric point $k_1 k_j = -k^2(1 - 3\delta_{1j})/2$ (in Landau gauge)

$$G_{\mu_1 \mu_2 \mu_3}^{a_1 a_2 a_3}(k_1, k_2, k_3) \Big|_{s.p.} = i(2\pi)^4 \delta^4(\sum k_i) \left[1 + \frac{61N}{12} x_0^{-1} \ln \frac{M}{k} + \right. \\ \left. + \frac{\pi^2 C_N}{N(N^2-1)} x_0^{2N+2} e^{-x_0} \left(\frac{M}{k} \right)^{b_N} (1 + \mathcal{O}(\mathcal{G}_0^2)) \right] \frac{1}{k^6} \times \\ \times \mathcal{G}_0 \int^{a_1 a_2 a_3} \{ \delta_{\mu_1 \mu_2} (k_1 - k_2)_{\mu_3} + \text{cycl.} \} + k k k \text{-terms} \quad (5)$$

We have denoted

$$I_m(k\bar{\mathcal{G}}) = \int_0^{\infty} \frac{d\mathcal{G}'}{\mathcal{G}'} \mathcal{G}'^{b_N+m} F^3(\mathcal{G}') \exp\left(-a_N \left(\frac{\mathcal{G}'}{k\bar{\mathcal{G}}}\right)^2\right)$$

and took into account the divergent contributions from the perturba-

tive one-loop corrections. In the same way one proceeds to calculate the leading instanton contribution to the gluon propagator. To renormalize the Green functions one could choose a minimal subtraction procedure by defining Z-factors that contain only the perturbative logarithmic divergence. Via coupling constant renormalization the instanton contribution became well-defined then; however, the corresponding β -function would be identical with the usual, perturbative one-loop result ^{11/}. We choose to apply a momentum subtraction prescription, which is related to the minimal one by a finite renormalization. We demand the renormalized three-gluon vertex to exhibit the zeroth order vertex structure, with the bare coupling constant replaced by the renormalized one at some subtraction point $k^2 = \mu^2$. Analogously we deal with the gluon propagator. Then eq.(5) serves to identify the Z-factor combination $Z_3^3 Z_1^{-1}$ with instanton contribution. The knowledge of Z_3 from the propagator (gluon wave function) renormalization leads finally to

$$z_3^{3/2} z_1^{-1} \left(\frac{M}{\mu}, \mu \bar{g} \right) = 1 + \frac{b_N}{2} x_0^{-1} \ln \frac{M}{\mu} + O(q_0^4) + \frac{8\pi^2 c_N}{N(N^2-1)} x_0^{2N+2} e^{-x_0} \left(\frac{M}{\mu} \right)^{b_N} I_2(\mu \bar{g}) (1 + O(q_0^2)) \quad (6)$$

which renormalizes the coupling constant as $g_{ren} = Z_3^{3/2} Z_1^{-1} g_0$. The instanton contribution to Z_3 does not explicitly appear in eq.(6), since it is suppressed by $O(g_0^2)$. We obtain the β -function from

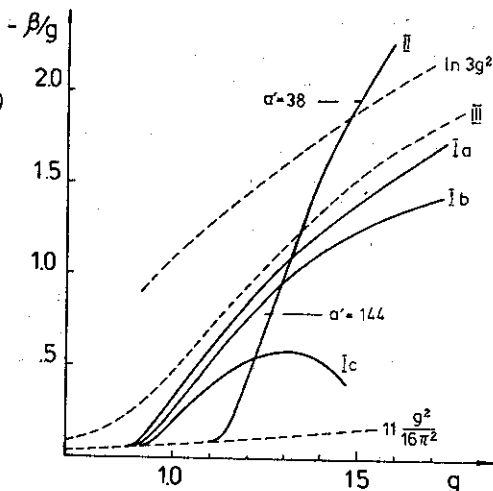
$$\beta = g_0 \mu \frac{\partial}{\partial \mu} z_3^{3/2} z_1^{-1} = - \frac{b_N}{2} \frac{g^3(\mu)}{8\pi^2} \left\{ 1 + O(g^2(\mu)) + \frac{2\pi^2 c_N}{N(N^2-1)} x(\mu)^{2N+3} e^{-x(\mu)} \times \left(I_2(\mu \bar{g}) - 2 a_N I_4(\mu \bar{g}) / b_N (\mu \bar{g})^2 (1 + O(g^2(\mu))) \right) \right\} \quad (7)$$

where the one-loop running coupling constant

$$x(\mu) = \frac{8\pi^2}{g(\mu)^2} \equiv x_0 - b_N \ln \frac{M}{\mu} \equiv b_N \ln \frac{\mu}{\Lambda}$$

has been used in order to eliminate x_0 , and we represent β parametrically as $g_{ren} = g_{ren}(g(\mu), \bar{g}\Lambda)$, $\beta = \beta(g(\mu), \bar{g}\Lambda)$. Here $\bar{g}(a')\Lambda$ appears as a free parameter bounded only by the above-mentioned diluteness criterion. In order to compare with Euclidean lattice calculations we have to choose a corresponding regularization scheme which is tantamount to a change of the Λ parameter and the overall constant c_N : $\Lambda_{PV} / \Lambda_{Latt} = 31.3^{1/12}$, $c_N^{PV} / c_N^{Latt} = (\Lambda_{PV} / \Lambda_{Latt})^{-b_N}$. For some values of a' we have plotted the resulting β -function in the Figure. Still tolerable seems to be the curve $a'=114$, whereas $a' = 30$ is already in a region where the DGA cannot be trusted any-

SU(3) gauge theory β -function with instanton contributions, according to (I) momentum space subtraction (described in this work) for different degrees of diluteness: (a) $a'=30$, $\bar{g}\Lambda_{\text{Latt}} = .0055$, (b) $a'=114$, $\bar{g}\Lambda_{\text{Latt}} = .0048$, (c) $a'=691$, $\bar{g}\Lambda_{\text{Latt}} = .0040$, (II) CDG/5/ renormalization (eq.(1) with $a = \bar{g}(a')/10$ (without instanton interactions), Curve III shows a Padé extrapolation of the Euclidean strong coupling expansion/2/. The dashed curves show the leading terms of the strong and weak coupling expansions, respectively.



more /10/. There are two salient features visible: the departure from the perturbative β -function happens at $g \approx 0.9$ almost independently of the diluteness, and the slope fits well with Padé extrapolated strong coupling result /2/.

The renormalization scheme chosen here is conceptionally very far from the CDG /5/ procedure, the result of which is shown for comparison, too. According to eq.(1), diluteness changes while one approaches the strong coupling branch of the β -function. Nevertheless, the instanton gas is dilute enough to upset any hope that instanton interaction might lead to a smooth bend-over into the strong coupling regime. In our earlier papers /10/ we have pointed out that a cross-over is inevitable within the CDG coupling constant renormalization prescription. Rather we have used this in order to determine there the maximal space-time packing fraction $f \approx .01$ of the dilute instanton gas, relying on another mechanism which should suddenly take over. In the present formulation however, there is no cross-over at all, and a reasonably dilute gas does well over the whole intermediate coupling region. It remains to be investigated whether account of instanton interactions and higher order (in g^2) terms will spoil this nice picture.

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Note added in proof:

After completion of this paper we received the Tohoku University preprint TU/80/212 by M.Honda "Vacuum Stability of QCD and Constraint on β -Function" where a bound for the rise of $-\beta/g$ is derived. The result obtained in the present letter (curves I) fulfils this bound while curve II does not.

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