# СООБЩЕНИЯ ОБ ЪЕАИНЕННОГО ИНСТИТУТА ЯАЕРНЫX ИССАЕАОВАНИЙ 

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ON THE TIME EVOLUTION OF PHYSICAL SYSTEMS

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## ON THE TIME EVOLUTION <br> OF PHYSICAL SYSTEMS

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, Ласснер Г., Ласспер Г:А.
E2 - 7537
O временной эволюиии физических систем
Определен общий класс временвой эвопюции физических систем, с -эволюции. Эти эволюция характеризуются тем, что каждый инзариантный вогнутый, "энтропия-подобный" функциовал растет с теченқем времени: Показано, что различные физическме процессы явлдотся с -эволюцией.
Соощение Объединенного института ядернмх исследовании Дубна, 1973
Lassner G., Lassner G.A.
On the Time Evolutions of Physical Systems
In this paper a general class of time evolutions of physical systems, the c-evolutions, will be defined. These evolutions are characterized by the property that any invariant concave functional, "entropy-like" functional, increases in time. Different physical processes are shown to be cevolutions.None
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> Communications of the Joint Institute for Nuclear Rescerch. Dubna, 19731973 Объедикенный инспишум ядерных исследований Дубна

## 0.

In this paper a general class of evolutions of physical systems ( $G, E)$, $\mathbb{G}$ observable algebra, $\mathbb{E}$ state-set, will be introduced. These evolutions we call ce-evolutions (concave evolutions). A c-evolution is characterized by the property that in the Schrödinger picture a state $p$, after the time $t \geqslant 0$ is "more mixed" than at the time $t=0$ in the sense of Uhlmann $/ 7 /$, i.e. every invariant concave function on the convex set ${ }^{2}$ of sets increases for ther

This class of c-evolutions contains the strong determined evolutions of classical mechanics and quantum mechanics but also more general evolutions, generated by Markov processes. In this sense the class of c-evolutions is very wide. On the other hand they have the property that any "entropy like" functions increase in dependence of the time. We regard this class of e-evolutions also for systems with unbounded observables.

## I.

The basic constituents of a physical system ( $\mathbb{Q}, \mathrm{E}_{2}$ ) are its observable *-algebra $G$ and the set $\mathcal{E}$ of states. The symmetric elements $A=A^{+}$of $G$ are the observables. A state $\rho \in \mathcal{Z}$ is a linear positive and normed functional on $G, \rho\left(A^{+} A\right) \geqslant 0, \rho(1)=1$, where 1 is the identity of $G, \rho(A)$ is the expectation value of the observable A in the state $\rho$. We suppose, that $E$ a convex set, but we do not assume any normalization of $\mathbb{G}$. Also algebras ( 1 of unbounded operators will be regarded.

For a physical system ( $\mathbb{C}, \mathcal{Z}$ )'physical" topologies in $\mathcal{G}$ and $\mathcal{Z}$ are automatically defined, namely simply by the requirements that a sequence $A_{\nu}$ of observables converges to A , if for all $\rho \in \mathcal{Z}$ the expectation values $\rho\left(\mathrm{A}_{v}\right)$ converge to $\rho(\mathrm{A})$. Analogously one defines the convergence $\rho_{\nu} \rightarrow \rho$ in 尽 by the requirement that $\rho_{\nu}(A)$ converges to $\rho(A)$ for every $A \in(\mathcal{G}$. The topologies defined in this way are the weakest "physical" topologies $/ 5 /$ and we denote them in $G$ as well as in 2 by $\sigma$. These topologies $\sigma$ are exactly the weak topologies of the dual pair $\left(\mathbb{G}, \mathrm{L}_{\mathcal{E}}\right)$, where $\mathrm{L}_{\mathcal{E}}$ is the linear space of linear functionals generated by $\mathcal{Z}$.

The evolution of the physical system can be described in the Schrödinger picture by the evolution $\rho=\rho_{0} \rightarrow \rho_{\mathrm{t}}$, $t>0$ of the states. If $\rho_{0}$ is a state at $t=0$, then $\rho_{t}$ is the state after the time $t$.

Usually one requires the
Properties E.

1. $\rho \rightarrow \rho_{t}=V_{1} \rho$ is a linear transformation, continuous with respect to the topology $\sigma$ (weakly continuous).
2. $t \rightarrow V_{i t}$ is a representation of the additive semigroup $T_{+}=\{t ; t>0\}$,i.e. there holds
or

$$
\begin{aligned}
& V_{t_{1}} V_{t_{2}}=V_{t_{1}+t_{2}} \\
& \left(\rho_{t_{1}}\right)_{t_{2}}=\rho_{t_{1}}+t_{2}
\end{aligned}
$$

We will see below that these requirements are general enough, so that irreversible processes can be described in this way, too.

Well known is the transition from the Schrödinger picture to the Heisenberg picture, by which the states are fixed and the observables $A \in G$ change,

$$
A \rightarrow A_{1}=W_{1} A .
$$

The connection between the Schrödinger picture and the Heisenberg picture is that the expectation values ( $\rho_{\mathrm{t}}, \mathrm{A}$ ) and ( $\rho, \mathrm{A}_{\mathrm{t}}$ ) coincide, i.e.

$$
\begin{equation*}
\left(\rho_{t}, A\right)=\left(V_{t} \rho, A\right)=\left(\rho, W_{t} \cdot A\right)=\left(\rho, A_{t}\right) . \tag{1}
\end{equation*}
$$

Properties $E^{\prime}$;
1'. The operator $W_{t}$ with the property (1) exists and is a weakly continuous linear operator from a into itself.
2. $W_{t}$ is a representation of the semi-group $T_{\text {. }}$.

3'. $W_{t}$ is commutative with the involution in $\mathbb{G}$, i.e. we have

$$
\left(A^{+}\right)_{t}=W_{t} A^{+}=\left(W_{1} A\right)^{+}=\left(A_{1}\right)^{+}
$$

According to this the observables $A=A^{+}$go over to observables. $A_{t}=A_{\mathfrak{t}}^{+}$.

Proof. $l$ ' is valid since $V_{t}$ is weakly continuous and therefore exists the adjoint operator $W_{t}$ to $V_{t}$ in the dual pair and is weakly continuous, too.

2' follows immediately from 2.
Because $\left(\rho_{t}, A\right)=\left(\rho, \Lambda_{t}\right)$ is valid and $\rho_{1}$ is a state, it follows that ( $\rho, \mathrm{A}_{\mathrm{t}}$ ) is really for all states $\rho$. But from this it follows that $A_{1}$ is an observable, for if this were not so, we could write it in the form $A_{1}=B_{1}+i B_{2}$, with $\mathrm{B}_{1}, \mathrm{H}_{2}$, observables and $\mathrm{B}_{2} \neq 0$.

Then there exists a state $\rho$ with $\left(\rho, B_{2}\right) \neq 0$ and, therefore, $\left(\rho, \mathrm{A}_{\mathrm{t}}\right)=\left(\rho, \mathrm{B}_{1}\right)+\mathrm{i}\left(\rho, \mathrm{B}_{2}\right)$ is not real.

## II.

The first well-known example for an evolution of physical system in the form discussed in the previous section is the quantum mechanical system.

Let a be a * -algebra of operators in a unitary space (unbounded operators are not excluded) and $\mathcal{Z}$ a convex set positive nuclear operators with $\operatorname{tr} \rho=1$ and $\operatorname{tr} A \rho<\infty$ for every $A \in G$. The evolution is then described in the Schrödinger picture by
$\rho \rightarrow P_{t}=V_{t} p=e^{-i H t} \rho e^{i H t} \quad \rho, Z$
and in the Heisenberg picture by

$$
\begin{equation*}
A^{\prime} \rightarrow A_{t}=W_{t} A=e^{i H_{t} A_{t} e^{-i H_{t}} .} \tag{3}
\end{equation*}
$$

Of course (2) and (3) are well defined if and only if $\rho_{t} \epsilon$ and $A_{t} \in \mathcal{G}$ for any $t \geq 0$. This is the case if $\mathcal{G}=\mathcal{B}\left({ }^{t} \mathcal{H}\right)$, the *-algebra of all bounded operators, and $\mathcal{E}$ the set of all trace-states. But we can also take examples with unbounded operators. For example let $S=S\left(R^{1}\right)$ be the Schwartz space and $\dot{\mathcal{C}}=\mathcal{L}^{+}(\delta)$ the $0 \mathrm{p}^{*}$-algebra of all (unbounded) operators in $L^{2}\left(R^{1}\right)$ for which $A S C S$ and $A^{\neq} S \subset S$ hold and $\mathcal{Z}$ the convex set of all positive and normed (to $\rho=1$ ) nuclear operators mapping $\mathrm{L}_{2}$ into $\oint$. Then $\rho(\cdot \mathrm{A})=\operatorname{tr} \cdot \mathrm{A} \rho$ is well defined $/ 6 /$. The operators $Q=x$ and $P=1 / i \cdot d d x$ are elements of $G$ and hence observables for this system ( $(G, Z)$. The evolution (2), (3) is well defined for example if $\mathrm{H}^{+}$is the Hamiltonian of the harmonic oscillator, $H=1 / 2 \mathrm{~m} \mathrm{P}^{2}+Q^{2}$. since $\mathrm{e}^{\mathrm{iHt}} \delta=\delta$.

Now we regard a classical mechanical system. Let the phase space $\Omega$ be a locally compact group. The group operation we write additive. As the set ${ }^{2}$ of states we take a convex set of positive normed Borel measures $\mu$, $\int \mathrm{d} \mu=1$ on $\Omega$ which contains the point measures $\delta_{\Omega} \mathrm{d} \mu=\delta\left(\mathrm{x}-\mathrm{x}_{0}^{\prime}\right) \mathrm{dx} . \delta(\mathrm{x})=\delta_{0}$ is the point-measure concen trated at the point 0 .

For the observable algebra $\mathcal{A}$ we take an * -algebra of locally bounded Borel functions on $\Omega$ which contains all continuous functions with compact support, such that $\mu(A)=\int|A(x)| d \mu<\infty$ for any $\mu \in \mathscr{E}, A \in \mathbb{C}$. There are two important special cases
$\mathbb{G}_{1}=$ algebra of all bounded Borel functions;
$Z_{1}=$ set of all positive normed measures

In this case $G_{1}$ is a $C^{*}$-algebra.

$$
\begin{align*}
\mathcal{G}_{2}= & \text { algebra of all locally bounded Borel functions; } \\
\mathfrak{Z}_{2}= & \text { set of all positive normed measures with }  \tag{5}\\
& \text { compact support. }
\end{align*}
$$

$\mathfrak{G}_{2}$ can not be equipped with an algebra-norm.
The classical strong determined dynamic is described by the one-parametric groups (trajectories) $\dot{\phi}(t, x)$ of transformations of $\Omega, \phi\left(t_{1}+t_{2}, x_{0}\right)=\phi\left(t_{1}, \phi\left(t_{2}, x_{0}\right)\right), \phi\left(0, x_{0}\right){ }_{1} \chi_{0}, \cdots$.
satisfying the equations of mon satisfying the equations of motion (Hamilton ' equations).

In the language of the physical system $(\mathbb{1}, \mathcal{Z})$ the classical dynamic is given by the Schrödinger picture
where

$$
\mu \rightarrow \mu_{t}=V_{t} \mu \quad \dot{\mu} \in \mathcal{Z}
$$

$$
\begin{equation*}
\mu_{t}(M)=\mu(\phi(-t) \cdot M) \tag{6}
\end{equation*}
$$

for any Borel subset $M$ of $\Omega$, or in the Heisenberg picture $A \rightarrow A_{t}=W_{t} A$ with

$$
\begin{equation*}
A_{t}(x)=A(\phi(t, x)) \tag{7}
\end{equation*}
$$

This is in accordance with the Properties E and E' and the relation (l).

Up to now we have not used the group-properties of $\Omega$. These we need only for the next examples of general evolution of a physical system. Let $\left\{P_{t}, 0 \leq t \leq \infty\right\}^{\circ}$ be a homogeneous stochastic process on the locally compact group $\Omega^{3}$, i.e. a family of probability measures $P_{t}$ with the Markov-property

$$
\begin{equation*}
P_{t+s}=P_{t} * P_{s} \tag{8}
\end{equation*}
$$

and the continuity condition $\mathrm{P}_{\mathrm{t}} \rightarrow \delta_{e} \quad$ (weak) for $t \downarrow 0$, where the evolution is defined by

$$
\begin{equation*}
P_{t} * P_{s}(B)=\int_{\Omega} P_{t}(B-x) P_{s}(d x) \tag{9}
\end{equation*}
$$

The evolution of the physical system generated by the stochastic process $P_{t}$ in the Schrödinger picture is then given by

$$
\begin{equation*}
\mu \rightarrow \mu_{t}=V_{t} \mu=P_{t} * \mu \tag{10}
\end{equation*}
$$

 clear that the properties $E$ are satisfied. If $\mu^{t}=R^{\dot{N}}$ an example for such a stochastic process is the Brown

$$
\underset{P_{t}(d x)}{\operatorname{motion} w i t h}=\frac{1}{\sqrt{2 \pi t}^{3 N}} e^{-\frac{x^{2}}{2 t}} \mathrm{dx}
$$

Example 1. The strongly determined evolution of a quantum mechanical system (, $\mathfrak{Z}$ ) is of course a c -evolution, since $\rho$ and $\rho_{t}$ are equivalent (Def.l).

Example 2. The processes $\rho \rightarrow \rho_{t} \quad$ regarded in $/ 2 /$ and given by
$\rho(t+d t)-(1-\lambda d t) e^{-i H d t} \rho(t) e^{i H d t}+\lambda d t \sum_{n} P_{n} \rho(t)$
are $c$-evolutions. $\Sigma \omega_{n} P_{n}=\Omega$ is the spectral resolution of an observable $\Omega$ which is repeatedly measured at an unpredictable times, randomly distributed with a given mean frequency $\lambda$.

That (17) described a $c$-evolution follows from the fact, that $\mu=\Sigma P_{n} \rho P_{n}$ is more mixed than $\rho$. For the case of finitely many $P_{n}, \sum_{n=1} P_{n}=I$ this can be directly seen if we put

$$
U_{i}=\sum_{n=1} \lambda_{i}^{n} P_{n}, \quad \lambda_{i}^{n}= \pm 1 ; \quad i=1, \ldots, M
$$

with the orthogonal $\sum_{i} \lambda_{i}^{n} \lambda_{i}^{m}=M \delta_{n m}$.

$$
\frac{1}{M} \sum_{i=1}^{M} U_{i} \rho U_{i}^{*}=\frac{1}{M} \sum_{n, m} \sum_{i} \lambda_{i}^{n} \lambda_{i}^{m} P_{n} \rho P_{m}=\sum_{n} P_{n} \rho P_{n}=\mu
$$

The $\lambda_{i}^{i}$ can be chosen for $N=3$ by

| 1 | 1 | 1 | 1 | 1 |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $\lambda_{i}$ | 1 | 1 | -1 | -1 |
| $\lambda_{i}^{2}:$ | 1 | 1 |  |  |
| $\lambda_{i}^{3}:$ | 1 | -1 | 1 | -1 |

and analogously in the general case. For infinitely many $\mathrm{P}_{\mathrm{n}}$, the more mixedness of $\Sigma \mathrm{P}_{\mathrm{n}} \rho \mathrm{P}_{\mathrm{n}}$ can be proved with the help of Lemma 1 ii).

Example 3. Kossakowski in $/ 4 i$ regarded some classes of dynamical semi-groups $v_{t}$ in $(\mathscr{B}(\mathcal{H}), \mathscr{P}(\mathcal{H})$ ) induced
by Markov processes in topological groups $G$. $B(\mathcal{H})$ is the *-algebra of all bounded operators of a Hilbert space $\mathscr{H}$ and the state-set $\mathscr{P}(\mathcal{H})$ - the set of all density operators $\rho(\rho>0, \operatorname{tr} \rho=1)$. Let $\Pi(G)$ be the space of all probability measures on $G$. $\Pi(G)$ is a semi-group with respect to the convolution $\mu * \nu \cdot$ Let $\mathrm{g} \rightarrow \mathrm{U}(\mathrm{g})$ be a unitary representation of $G$ in $\mathcal{H}$ Then

$$
\begin{equation*}
\mathrm{V}_{\mu} \rho=\int_{\mathrm{G}} \mathrm{U}(\mathrm{~g}) \rho \mathrm{U}\left(\mathrm{~g}^{-1}\right) \mu(\mathrm{dg}) \tag{18}
\end{equation*}
$$

is a representation of $\pi(\mathrm{G})$ in the semi-group of "linear"' endomorphisms of $\mathscr{P}(\mathcal{H})$. If $\mu_{1}$ is a one parameter convolution semi-group in $\pi(G)$ then $\rho \rightarrow \rho_{t}=$ $=\int_{G} \mathrm{U}(\mathrm{g}) \rho \mathrm{U}\left(\mathrm{g}^{-1}\right) \mu_{\mathrm{t}}(\mathrm{dg}) \quad$ is a dynamical semi-group, which Kossakowski called "quantum Poisson process" if $\mu_{t}=e^{-a t} \sum_{n=0}^{\infty} \frac{(a t)^{n}}{n!} \mu^{* n}$.

It follows immediately from the definitions that (18) describes a c-evolution of the physical system $(B) \mathcal{H})$, $\mathscr{g}(\mathcal{H})$ ).

## IV.

In the last section let us yet regard the evolution of the mechanical system. For the sake of definiteness of the motion we take the physical system ( $\mathscr{G}_{2}, \mathcal{Z}_{2}$ ) (5), which contains also unbounded observables for non-compact phase spaces $\Omega$. We will see that the evolutions (6) or (14) are $c$-evolutions. For this let $G$ be the group of all homoemorphisms of $\Omega$, for $g \in ' G$, we define

$$
\begin{equation*}
A_{g}(x)=A(g(x)) \tag{20}
\end{equation*}
$$

In this sense $G$ is also a group of $\sigma$-continuous * - automorphism of $\mathrm{a}_{2}$.

For any $\mu \in \mathcal{Z}_{2} \mu_{g} \quad$ is then defined by
$\mu_{\mathrm{g}}(\mathrm{A})=\mu\left(\mathrm{A}_{\mathrm{g}}\right)=\int \mathrm{A}(\mathrm{g}(\mathrm{x})) \mathrm{d} \mu=\int \mathrm{A}(\mathrm{x}) \mu\left(\mathrm{g}^{-1}(\mathrm{dx})\right) \cdot(21)$
Hence

$$
\mu_{g}(M)=\mu\left(g^{-1}(M)\right)
$$

Example 4. For the strongly determined classical dynamic (6) one has

$$
\begin{equation*}
\mu \rightarrow \mu_{t}=\mu_{\phi(t, 0)} \quad \phi(t, \cdot) \epsilon G \tag{22}
\end{equation*}
$$

and therefore it is a c -evolution. More precisely the states $\mu_{t}$ and $\mu$ are even (G-) equivalent (Def. 1).

Example 5. Now we regard the Markov process (10) in $\left(G_{2}, g_{2}\right)$ supp $P_{t}$ compact. It holds

$$
\begin{align*}
& \mu_{t}(B)=\left(P_{t} * \mu\right)(B)=\int_{\Omega} P_{t}(B-x) \mu(d x) \\
& =\int_{\Omega} \mu(-y+B) P_{t}(d y)=\int_{\Omega} \mu_{y}(B) P_{t}(d y) \tag{23}
\end{align*}
$$

with the denotion $\mu_{z}(B)=\mu(-z+B) \quad$ for $z \in G$.
If we denote by $g \varepsilon(G$ the homoemorphism $x \rightarrow z+x$ of $\Omega$, then in consistence with (21) we have

$$
\begin{equation*}
\mu_{z}=\mu_{g_{z}} \tag{24}
\end{equation*}
$$

Therefore $\mu_{t}$ is more mixed than $\mu$ (with respect to G ), since from (23) $\mu_{t} \in M_{i}$ follows (Def. 1). Hence (10) $\mu \rightarrow \mathrm{P}_{t^{*}}{ }^{1}$ is a c-evolution.

Let $T$ be the set of all translation - automorphisms

$$
\begin{equation*}
A(x) \quad A_{g_{2}}(x)=A(z+x) \tag{25}
\end{equation*}
$$

of $\mathfrak{G}_{2}$. is a subgroup of $G$. From (2) we see that

$$
\begin{equation*}
\mu_{t} \text { T } l \text { for } t>0 \tag{26}
\end{equation*}
$$

i.e. $\mu_{t}$ is already with respect to $T$ more mixed than $\mu$.

Finally let us summarize another two properties of the semiorder $\rho \mathcal{T} \mu$ in $\mathcal{E}_{2}$
Lemma 2

1) All point measures $\delta_{x}$ are equivalent and any state $\rho \epsilon \mathscr{Z}_{2}$ is more mixed than every point measure $\delta_{x}$.
ii) Z 2 contains a maximal mixed state if and only if $\Omega$ is a compact group. This maximal mixed state is uniquely determined and equal to the normed Haar measure.
Proof
i) $\delta x{ }_{x}^{T} \delta_{y}$ since $\delta_{x}=\left(\delta_{y}\right)_{\text {g } x-y} \quad$ Let $\mu$ be any measure then

$$
\begin{equation*}
\mu(M)=\int\left(\delta_{0}\right)_{g_{x}}(M) \mu\left(d_{x}\right) \tag{27}
\end{equation*}
$$

(the integral converges with respect to the topology $\sigma$ ).
Hence $\mu^{T} \delta_{0}=T \delta_{x}$.
ii) Let $\Omega$ be a compact group and $\mu, \int \mathrm{d} \mu=1$ the Haar measure of $\Omega$. Let $\rho$ be an arbitrary measure on $\Omega$. Then it follows that

$$
\begin{aligned}
& \mu(M)=\int_{\Omega} \mu(M-x) \rho(d x)=\int_{\Omega} \rho(-x+M) \mu(d x) \\
& =\int_{\Omega} \rho_{x}(M) \mu(d x)
\end{aligned}
$$

and therefore $\mu \mathbb{T}^{\mathrm{T}} \rho$. On the other side $\mathrm{M} \mu$ contains only $\mu \cdot$ Hence the maximal state $\mu$ is uniquely determined. Now let $\Omega$ be not compact and $\mu$ an arbitrary state of $\mathcal{Z}_{2} ; \operatorname{supp} \mu=K$ is a compact set. $K-K$ is also a compact set and we take $x \in \Omega, x \notin K-K$. Then $-x+K \cap K=\phi$. We put $\rho(B)=\frac{1}{2} \mu(B)+\frac{1}{2} \mu x(B)=\frac{1}{2}(\mu(B)+\mu(-x+B))$.
Then we have $\rho \in \mu$ and $\rho(K)=\frac{1}{2}$. Further for any $\chi \in M_{\rho}$ it is $\chi(K) \leq \frac{1}{2}$. Therefore $\mu^{2}$ is not an element
of $\mathrm{M}_{\rho}$, i.e. $\mu$ is not a maximal mixed state. Since $\mu$ was arbitrary $\mathcal{Z}_{2}$ does not contain any maximal state.

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