

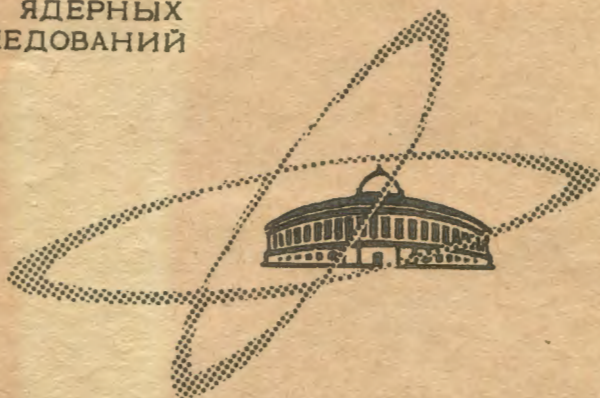
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ЛАБОРАТОРИЯ ТЕОРЕТИЧЕСКОЙ ФИЗИКИ

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ON POSITIVE FUNCTIONALS ON ALGEBRAS  
OF TEST FUNCTIONS FOR QUANTUM  
FIELDS

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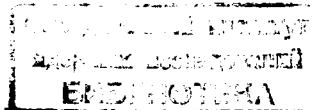
**ON POSITIVE FUNCTIONALS ON ALGEBRAS  
OF TEST FUNCTIONS FOR QUANTUM  
FIELDS**

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## 1. Introduction

In the Wightman axiomatic approach a quantum field is defined by a continuous representation of a  $*$ -algebra  $\mathcal{R}$  of test-functions in an algebra of (unbounded) operators in a Hilbert space with the same invariant domain and a cyclic vector (vacuum). By the Gelfand-Segal-Theorem a continuous cyclic representation of a  $*$ -algebra is given by a continuous linear positive functional  $\mathbb{W}(\mathfrak{a})$  on  $\mathcal{R}$  i.e. a continuous linear functional for which  $\mathbb{W}(\mathfrak{a}^*\mathfrak{a}) \geq 0$ ,  $\mathfrak{a} \in \mathcal{R}$ , holds. For a quantum field the positive functional  $\mathbb{W}$  satisfies certain further conditions, i.e. Lorentz invariance, spectrality and locality. Such a functional is called Wightman-functional<sup>1,2/</sup>.

The mathematical structure of such a  $*$ -algebra is described in the following section.

In this paper it is proved that there exists a set  $F$  of positive functionals on the real algebra  $\mathcal{R}$  which all are bounded by one continuous norm on (the linear space)  $\mathcal{R}$  so that for every  $b \in \mathcal{R}$ ,  $b \neq 0$ , there exists a  $\mathbb{W} \in F$  with  $\mathbb{W}(b) \neq 0$  and consequently, the algebra  $\mathcal{R}$  is reduced<sup>3/</sup>.

It is not proved that there exist "sufficient many" Wightman-functionals among which are some with non trivial quantum field, i.e. the  $S$ -matrix is not the identity, but one may hope that the proved result is a step to the solution of this problem.

Let  $M$  be a topological space ( $M$  is the Minkowski space or the mass shell, for example) and  $M^{(n)} = M \times \dots \times M$  the Cartesian product of  $n$  exemplars of  $M$ .  $C(M^{(n)})$  is the normed linear space of the continuous complex-valued bounded functions  $a_n(x_1, \dots, x_n)$   $x_i \in M$ , on  $M^{(n)}$  with the norm  $\|a_n\|_0 = \sup_{x_1, \dots, x_n \in M} |a_n(x_1, \dots, x_n)|$ . Let  $\mathbb{P}_0$  be the complex field  $\mathbb{C}$  and for  $n = 1, 2, \dots$   $\mathbb{P}_n$  a locally real convex linear topological space (over the real field) of continuous complex-valued bounded functions on  $M^{(n)}$  with a stronger topology than is determined by the norm  $\|\cdot\|_0$ , i.e.  $\|a_n\|_0, a_n \in \mathbb{P}_n$  is a continuous function on the topological space  $\mathbb{P}_n$ .

Furthermore we assume that for  $a_n(x_1, \dots, x_n) \in \mathbb{P}_n, b_m(x_1, \dots, x_m) \in \mathbb{P}_m, \bar{a}_n(x_n, \dots, x_1)$  is an element of  $\mathbb{P}_n$  and  $c_{n+m}(x_1, \dots, x_{n+m}) = a_n(x_1, \dots, x_n) b_m(x_{n+1}, \dots, x_{n+m})$  is an element of  $\mathbb{P}_{n+m}$  and that the so defined mappings from  $\mathbb{P}_n$  into  $\mathbb{P}_n$  resp. from  $\mathbb{P}_n \times \mathbb{P}_m$  into  $\mathbb{P}_{n+m}$  are continuous.

The algebra  $\mathbb{R}$  is the linear space

$$\mathbb{R} = \bigoplus_{n=0}^{\infty} \mathbb{P}_n \quad (\text{topological direct sum} / 4/) \quad (1)$$

Consequently, every element  $a \in \mathbb{R}$  has the form  $a = \sum_{n \geq 0} a_n, a_n = a_n(x_1, \dots, x_n) \in \mathbb{P}_n$ , and only for a finite number of indices is  $a_n$  different from zero.  $a_n$  is called the homogeneous component of the degree  $n$  of  $a$ . The multiplication for two elements  $a, b \in \mathbb{R}$  is defined by

$$(ab)_n(x_1, \dots, x_n) = \sum_{\substack{k+l=n \\ k, l \geq 0}} a_k(x_1, \dots, x_k) b_l(x_{k+1}, \dots, x_n) \quad (2)$$

(the product on the right-hand side is the usual product of functions) and the  $*$ -operation is defined by

$$(a^*)_n(x_1, \dots, x_n) = \bar{a}_n(x_n, \dots, x_1) \quad (3)$$

(the bar on the right-hand side labels the complex conjugate function).  
 $\mathcal{D}'_{1,2}$  is  $\mathcal{D}'_n = \mathcal{D}'(M^{(n)})$  resp.  $\mathcal{S}(M^{(n)})$  the well-known Schwartz' spaces of test-functions, but other spaces are regarded in the quantum field theory, too<sup>5/</sup>. Here  $M$  is the Minkowski space. Let  $K_0$  be the algebraical convex cover of the set of elements  $a^* a$ ,  $a \in \mathcal{D}'$ . Each element  $k \in K_0$  has the form

$$k = \sum_{i=1}^N a^{(i)} * a^{(i)}, \quad a^{(i)} \in \mathcal{D}' \quad (4)$$

$$a^{(i)} = \sum_{n \geq 0} a_n^{(i)}(x_1, \dots, x_n)$$

$K_0$  is a cone, i.e.

a) for  $k, k' \in K_0$  and two arbitrary positive numbers  $s, t$  is  $sk + tk' \in K_0$

and

b) if  $k \in K_0, k \neq 0$ , then  $-k \notin K_0$ .

The statement a) follows direct from the definition of  $K_0$  and the statement b) holds, because for each  $g \in K_0, g \neq 0$ ,

i) the homogeneous component  $g_r$  of  $g$  with the smallest degree, which does not vanish identically, has an even degree, i.e.,

$$g_r = g_{2s}$$

ii)  $g_r = g_{2s}(x_1, \dots, x_{2s})$  is nonnegative on the set  $\Gamma_{2s} = \{x = (x_1, \dots, x_{2s}); x_1 = x_{2s}, x_2 = x_{2s-1}, \dots, x_n = x_{n+1}\} \quad (5)$

iii) for at least one  $x \in \Gamma_{2s}$  we have  $g_{2s}(x_1, \dots, x_{2s}) > 0$ .

Now we define for  $k \in K_0$

$$p_n = \sup_{x_1, \dots, x_n \in M} (|a_n^{(1)}|^2 + \dots + |a_n^{(N)}|^2)^{1/2}, \quad n=0, 1, 2, \dots \quad (6)$$

### L e m m a 1

For an arbitrary  $k \in K_0$  the following relations hold ( $k_n$  is the homogeneous component of the degree  $n$  of  $k$ ):

x/ The application of this expression has been proposed by T.Görnitz, Karl-Marx-Universität, Leipzig.

$$\left\| \sum_i a_p^{(1)*} a_q^{(1)} \right\|_0 \leq l_p l_q \quad (7)$$

$$\left\| \sum_i a_n^{(1)*} a_n^{(1)} \right\|_0 = l_n^2 \quad (8)$$

$$\|k_n\|_0 \leq \sum_{\nu=0}^n l_{n-\nu} l_\nu \quad (9)$$

$$l_n^2 - 2 \sum_{\nu=1}^n l_{n+\nu} l_{n-\nu} \leq \|k_{2n}\|_0, \quad n=0,1,2,\dots \quad (10)$$

$$(l_{-1} = 0).$$

Proof:

(7) follows immediately from the Cauchy-Schwarz inequality by the definitions (2) and (6). Further we have

$$\begin{aligned} \left\| \sum_i a_n^{(1)*} a_n^{(1)} \right\|_0 &= \sup_{x_1, \dots, x_{2n}} \left| \sum a_n^{-(1)}(x_n, \dots, x_1) a_n^{(1)}(x_{n+1}, \dots, x_{2n}) \right| \geq \\ &\geq \sup_{x_1, \dots, x_n} \left| \sum_i a_n^{-(1)}(x_1, \dots, x_n) a_n^{(1)}(x_1, \dots, x_n) \right| = l_n^2 \end{aligned}$$

and from this, together with (7) for  $n=m$ , follows (8). (9) follows from (7) by summing over all  $p, q, p+q=n$ . From the definition of  $k_{2n}$  we obtain

$$\left\| \sum_i a_n^{(1)*} a_n^{(1)} \right\|_0 - \left\| \sum_i \sum_{\substack{\nu=0 \\ \nu \neq n}}^{2n} a_{2n-\nu}^{(1)*} a_\nu^{(1)} \right\|_0 \leq \|k_{2n}\|_0$$

and from this follows (10) by (7) and (8).

We need further a relation for a special infinite hermitian matrix  $H$ , which is defined for a sequence  $\alpha_0, \alpha_1, \dots$  of positive numbers by

$$H = (h_{ij})_{i,j=0,1,\dots}$$

$$h_{rr} = \alpha_r, \quad h_{ij} = \begin{cases} -\alpha_r \alpha_j & \text{and } i+j = 2r \\ 0, & i \neq j \text{ and } i+j \text{ an odd number} \end{cases} \quad (11)$$

### Lemma 2

There exists such a sequence  $\alpha_0, \alpha_1, \alpha_2, \dots$  of positive numbers that for an arbitrary infinite vector  $l = (l_0, l_1, \dots)$  for which only finite components are not zero the relation

$$\sum_{i,j \geq 0} h_{ij} l_i l_j \geq \sum_i l_i^2 \quad (12)$$

holds.

### Proof:

We construct by induction a sequence of positive numbers  $\alpha_0, \alpha_1, \dots$  such that

$$\sum_{i,j=0}^m h_{i,j} l_i l_j \geq c_m \sum_{i=0}^m l_i^2, \quad m=0,1,\dots \quad (13)$$

holds, with certain numbers  $c_m > 1$ . For  $m=0$  we can set  $\alpha_0 = 2$ . Now we assume that (13) holds for  $m = n-1$  and show that we can choose  $\alpha_n$  such that (13) holds for  $n$ , too, with a certain  $c_n > 1$ . From the definition of  $H$  we obtain

$$\sum_{i,j=0}^n h_{i,j} l_i l_j = \sum_{i,j=0}^{n-1} h_{i,j} l_i l_j + \alpha_n l_n^2 - 2 \sum_{\nu \geq 1} \alpha_{n-\nu} l_n l_{n-2\nu}.$$

The sum on the right-hand side runs over all  $\nu$  for which the other indices are nonnegative.

From this and the induction assumption we obtain with an arbitrary positive  $\beta$

$$\begin{aligned} \sum_{i,j=0}^n h_{ij} l_i l_j &\geq c_{n-1} \sum_{\nu \geq 1} (l_{n-2\nu+1})^2 + (a_n - \frac{1}{\beta^2}) l_n^2 + \\ &+ (\beta \sum_{\nu \geq 1} a_{n-\nu} l_{n-2\nu} - \frac{1}{\beta} l_n)^2 \\ &+ c_{n-1} \sum_{\nu \geq 1} (l_{n-2\nu})^2 - \beta^2 (\sum_{\nu \geq 1} a_{n-\nu} l_{n-2\nu})^2 \geq \\ &\geq c_{n-1} \sum_{\nu \geq 1} (l_{n-2\nu+1})^2 + (a_n - \frac{1}{\beta^2}) l_n^2 + \\ &+ (c_{n-1} - \beta^2 \sum_{i=0}^{n-1} a_i^2) \sum_{\nu \geq 1} (l_{n-2\nu})^2. \end{aligned}$$

How we choose  $\beta$  such that  $c_{n-1} - \beta^2 \sum_{i=0}^{n-1} a_i^2 > 1$  and then  $a_n$  such that  $a_n - \frac{1}{\beta^2} > 1$

In this manner we have constructed an  $a_n$  such that the relation (13) holds for  $m=n$ , too.

Now let  $a_0, a_1, \dots$  be a sequence of positive numbers for which the assertion of the preceding Lemma holds. Then we define for  $a \in \mathbb{R}$

$$\|a\|_{\rho} = \sum_{\nu \geq 0} a_{2\nu} \|a_{2\nu}\|_0, \quad (14)$$

where  $\|\cdot\|_0$  is the norm in  $C(M^{(2\nu)})$  and  $a_{2\nu}$  is the homogeneous component of the degree  $2\nu$  of  $a$ .  $\|\cdot\|_{\rho}$  is a continuous semi-norm on  $\mathbb{R}$  which gives a continuous norm on  $\mathbb{R}_{\rho} = \bigoplus_{\nu=0}^{\infty} \mathbb{R}_{2\nu}$  (topological direct sum). Let  $\|\cdot\|_{\mu}$  be another continuous semi-norm in  $\mathbb{R}$  such that for each  $a \in \mathbb{R}$  and  $r = 0, 1, \dots$   $\|a_{2r+1}\|_0 < \mu_{2r+1} \|a\|_{\mu}$  holds, where



$\mu_{2r+1}$  is a homogeneous component of  $\mu$  and  $\mu_{2r+1}$  are positive constants. Then  $\| \cdot \|_u$  is a continuous norm in  $R_u = \bigoplus_{\nu=0}^{\infty} R_{2\nu+1}$  and

$$\| \cdot \| = \| \cdot \|_{\rho} + \| \cdot \|_u \quad \mu \in R \quad (15)$$

is a continuous norm in  $R$ . Beside the basic-topology we regard in  $R$  a second topology which is determined by the norm  $\| \cdot \|$ . This topology is called the norm-topology or  $\| \cdot \|$ -topology. With this topology is  $R$  a (uncomplete) normed linear space, but not a normed algebra.

In the usual cases, where  $M$  is the Minkowski space and  $R_n = S(M^{(n)})$  or  $D(M^{(n)})$ , the Schwartz' spaces, the semi-norm  $\| \cdot \|_{\rho}$  is Lorentz invariant and consequently, we can choose  $\| \cdot \|_u$  such that the norm  $\| \cdot \|$  is Lorentz invariant, too.

Now we state and prove the main relation for the proofs of the theorems:

### L e m m a 3

For every  $k \in K_0$  holds the relation

$$\sum_{n \geq 0} \rho_n^2 < \| k \|_{\rho} \quad (16)$$

where  $\rho_n$  are the expressions (6).

### P r o o f :

We obtain from (10)

$$\sum_{n \geq 0} a_n \rho_n - 2 \sum_{n \geq 0} \sum_{\nu \geq 1} a_n \rho_{n+\nu} \rho_{n-\nu} < \sum_{n \geq 0} a_n \| k_{2n} \|_0$$

and in consequence of the definition (11) of  $H$  this is equivalent to

$$\sum_{i,j \geq 0} h_{ij} \rho_i \rho_j \leq \sum_{n \geq 0} a_n \| k_{2n} \|_0$$

From this the relation (16) follows, because  $a_0, a_1, \dots$  is a sequence for which (12) holds.

### III. Main Theorems

After the preparations in the preceding section we state and prove here the main theorems.

#### Theorem 1

The topological closure  $K_{\|\cdot\|}$  of  $K_0$  in  $\mathbb{R}$  with the norm-topology, which is determined by the norm (15), is a cone. Consequently, the topological closure  $\bar{K}_0$  of  $K_0$  in  $\mathbb{R}$ , with the basic-topology, is a cone, too, because  $K_0 \subset K_{\|\cdot\|}$ .

#### Proof:

We prove that the relations (5) i) - iii) hold for a  $g \in K_{\|\cdot\|}$ ,  $g \neq 0$ , too. Let  $g \neq 0$  be an element of  $K_{\|\cdot\|}$ . Then we can write  $g = \sum_{n=0}^m g_n$ , where  $g_n$  is the homogeneous component of the degree  $n$  of  $g$ ,  $g_n = 0$  for  $n > m$ . There has to exist a sequence  $k^\nu \in K_0$  with  $\|k^\nu - g\| \leq 1$  and  $\|k^\nu - g\| \rightarrow 0$  for  $\nu \rightarrow \infty$  and consequently,

$$\|k_n^\nu - g_n\|_0 \rightarrow 0 \quad \text{for } \nu \rightarrow \infty, n = 0, 1, \dots \quad (17)$$

Each  $k^\nu$  has the form  $k^\nu = \sum_{i=1}^{N_\nu} a_{i\nu}^{(1)} a_{i\nu}^{(1)}$ ,  $a_{i\nu}^{(1)} \in \mathbb{R}$ . Let  $l_n^\nu$ ,  $n = 0, 1, 2, \dots$  be the numbers (6) of  $k^\nu$ , then we obtain from (16)

$$\sum_{n \geq 0} (l_n^\nu)^2 < \|k^\nu\|_\rho < \|g\|_\rho + 1$$

and consequently, the sequence  $l_n^\nu$ ,  $\nu = 1, 2, \dots$ , is bounded for every  $n$ . Because  $g \neq 0$ , there exists one  $s \geq 0$  such that

$$\lim_{\nu \rightarrow \infty} l_n^\nu = 0 \quad \text{for } 0 \leq n \leq s-1 \quad (18)$$

$l_s^\nu$  does not tend to zero for  $\nu \rightarrow \infty$ .

Then follows from (9)

$$\lim_{\nu \rightarrow \infty} \|k_n^\nu\|_0 = \|g_n\|_0 = 0, \quad \text{for } 0 \leq n \leq 2s-1. \quad (19)$$

Furthermore one has

$$\lim_{\nu \rightarrow \infty} \|k_{2s}^\nu\|_0 = \|g_{2s}\|_0 \neq 0. \quad (20)$$

If this is not true, i.e.  $\lim_{\nu \rightarrow \infty} \|k_{2s}^\nu\|_0 = 0$ , then we obtain  $\lim_{\nu \rightarrow \infty} l_s^\nu = 0$  by (10) and the first assertion of (18), which is in contradiction with the second assertion of (18). (19) and (20) are the assertion (5) i). Finally it remains to prove the statements ii) and iii) of (5). From (17) and (18) we obtain

$$\begin{aligned} \|g_{2s} - \sum_1^s \frac{a^{(1)*}}{\nu^s} \frac{a^{(1)}}{\nu^s}\|_0 &\leq \|g_{2s} - k_{2s}^\nu\|_0 + \left\| \sum_1^s \sum_{\substack{p=0 \\ p \neq s}}^{2s} \frac{a^{(1)*}}{\nu^{2s-p}} \frac{a^{(1)}}{\nu^p} \right\|_0 \leq \\ &< \|g_{2s} - k_{2s}^\nu\|_0 + 2 \sum_{p=1}^s l_{s-p}^\nu l_{s+p}^\nu \rightarrow 0 \end{aligned}$$

for  $\nu \rightarrow \infty$ , i.e.

$$g_{2s}(x_1, \dots, x_{2s}) = \lim_{\nu \rightarrow \infty} \sum_1^s \frac{a^{(1)}}{\nu^s}(x_s, \dots, x_1) \frac{a^{(1)}}{\nu^s}(x_{s+1}, \dots, x_{2s}) \quad (21)$$

(in the  $\|\cdot\|_0$ -convergence) and consequently,  $g_{2s}$  is nonnegative on  $\Gamma_{2s}$ . Because  $l_s^\nu$  does not tend to zero, we obtain straightforward from (21) that  $g_{2s}(x_1, \dots, x_{2s})$  is not identically zero on  $\Gamma_{2s}$ . Hence, assertion (5) iii) holds, too.  $\bar{K}_0 \subset \mathcal{K} \|\cdot\|$  holds, because the norm  $\|\cdot\|$  is continuous in the basic-topology of  $\mathbb{R}$ .

### Theorem 2

For each  $b \in \mathbb{R}$ ,  $b \neq 0$ , there exists a positive continuous linear functional  $\mathbb{W}_b(a)$  on  $\mathbb{R}$ , with  $\mathbb{W}_b(b) \neq 0$ , for which  $|\mathbb{W}_b(a)| \leq \|a\|$ ,  $a \in \mathbb{R}$ , holds, and consequently, the topological  $*$ -algebra  $\mathbb{R}$  is reduced. This theorem follows from the

### Lemma 4

Let  $K$  be a closed cone in a normed (or locally convex) linear space  $\mathbb{R}$  and  $b \in \mathbb{R}$ ,  $b \neq 0$ ,  $b \in K$ , then exists a linear continuous functional  $f(\cdot)$  on  $\mathbb{R}$ , with  $f(b) \neq 0$  and  $f(a) \geq 0$  for  $a \in K$ . /6/

If  $b \neq 0$  is an element of  $\mathbb{R}$ , then either  $b$  or  $-b$  does not lie in  $K \parallel \parallel$ . Hence, from the Lemma 4 it follows the existence of such a functional  $\mathbb{W}_b(a)$  on  $\mathbb{R}$  that  $\mathbb{W}_b(b) \neq 0$  and  $\mathbb{W}(a) \geq 0$  for  $a \in K \parallel \parallel$  and which is continuous in the  $\parallel \parallel$ -topology of  $\mathbb{R}$  and consequently in the basic-topology, too.  $\mathbb{W}_b(a)$  is a positive functional on the algebra  $\mathbb{R}$  in the usual sense, because  $\mathbb{W}_b(a * a) \geq 0$ , then  $a * a \in K_0 \subset \bar{K}_0 \subset K \parallel \parallel$ . Evidently, we can choose  $\mathbb{W}_b(a)$  so that  $|\mathbb{W}_b(a)| \leq \|a\|$  holds. From the last property it follows that the set  $\{\mathbb{W}_b\}$  is bounded in the weak topology of  $\mathbb{R}'$  and consequently, by a well known theorem<sup>4/</sup>, we obtain the

### Corollary:

The set  $\{\mathbb{W}_b\}$  of all these positive linear functionals of Theorem 2 is a relatively compact set in the weak topology in  $\mathbb{R}'$  (the dual space of  $\mathbb{R}$ ).

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