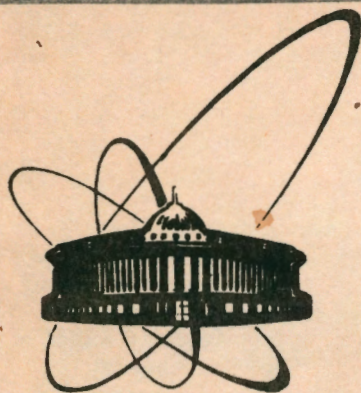


92-205



ОБЪЕДИНЕННЫЙ  
ИНСТИТУТ  
ЯДЕРНЫХ  
ИССЛЕДОВАНИЙ  
ДУБНА

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ITERATION METHOD FOR SOLVING  
THE SPHERICAL NON-SYMMETRICAL  
POLARON EQUATION  
(THE LUTTINGER-LU MODEL)

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## 1. INTRODUCTION

The problem of existence of spherically non-symmetrical polaron states is an actual one in connection with the problem of electron transfer of excitations in different condensed matters.<sup>1/</sup>

In ref.<sup>2/</sup> classification of possible solutions of the polaron equation (the Pecar model) is developed and some of spherically non-symmetrical solutions are found.

In this paper schemes of a numerical investigation of spherically non-symmetrical polaron states (the Luttinger-Lu model) are proposed. A description of the iteration process in order to calculate them based on modifying the continuous analogue of Newton method<sup>3/</sup> is also given in the work, and numerical results obtained with help of this method are presented.

## 2. THE STATEMENT OF THE PROBLEM

The problem of finding polaron states according to the Luttinger-Lu model is formulated as a non-linear eigenvalue problem for a system of equations:

$$\begin{aligned}\Delta\psi(\vec{r}) - \lambda\psi(\vec{r}) + A(V_1(\vec{r}) - V_2(\vec{r}))\psi(\vec{r}) &= 0 \\ \Delta V_1(\vec{r}) + |\psi(\vec{r})|^2 &= 0 \\ \Delta V_2(\vec{r}) - C^2 V_2(\vec{r}) + |\psi(\vec{r})|^2 &= 0\end{aligned}\quad (1)$$

with the normalization condition

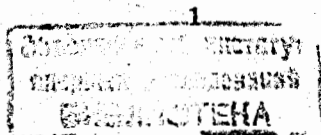
$$\int_0^\infty |\psi(\vec{r})|^2 d\vec{r} = 1, \quad (2)$$

where  $\Delta$  - a three-dimensional Laplace operator,  $A$  and  $C$  - physical parameters of the problem,  $\lambda$  - eigen-values, determining energy levels.

If the solution to the system (1) we present as an expansion in spherical functions  $Y_{lm}(\Theta, \phi)$

$$\psi(\vec{r}) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \frac{\psi_{lm}(r)}{r} Y_{lm}(\Theta, \phi).$$

$$V_i(\vec{r}) = \sum_{l=0}^{\infty} \sum_{m=-l}^l \frac{V_{ilm}(r)}{r} Y_{lm}(\Theta, \phi), \quad i = 1, 2 \quad (3)$$



and substitute this expansion into system (1), multiplying from the left by  $Y(\Theta, \phi)$  and integrating with respect to  $d\Omega = \sin\Theta d\Theta d\Phi$ , then the system of equations for expansion coefficients  $\psi_{lm}(r)$ ,  $V_{ilm}(r)$  will be as follows:

$$\psi''_{lm}(r) - \lambda\psi_{lm}(r) - \frac{l(l+1)}{r^2}\psi_{lm}(r) + \frac{A}{r} \sum_{l_1=0}^{\infty} \sum_{m_1=-l_1}^{l_1} Q_{lm_1, m_1}(r)\psi_{l_1, m_1}(r) = 0$$

$$V''_{1lm}(r) - \frac{l(l+1)}{r^2}V_{1lm}(r) + S_{lm}(r) = 0 \quad (4)$$

$$V''_{2lm}(r) - \frac{l(l+1)}{r^2}V_{2lm}(r) - C^2V_{2lm}(r) + S_{lm}(r) = 0 \quad l = 0, 1, 2, \dots, \quad m = -l, \dots, l$$

with the normalization condition

$$\sum_{l=0}^{\infty} \sum_{m=-l}^l \int_0^{\infty} \psi_{lm}^2(r) dr = 1, \quad (5)$$

where

$$Q_{lm_1, m_1} = \sum_{l_2=0}^{\infty} \sum_{m_2=-l_2}^{l_2} W_{lm_1, m_1, l_2, m_2} (V_{1l_2, m_2}(r) - V_{2l_2, m_2}(r))$$

$$S_{lm} = \frac{1}{r} \sum_{l_1=0}^{\infty} \sum_{m_1=-l_1}^{l_1} \sum_{l_2=0}^{\infty} \sum_{m_2=-l_2}^{l_2} W_{lm_1, m_1, l_2, m_2} \psi_{l_1, m_1}(r) \psi_{l_2, m_2}(r) \quad (6)$$

$$W_{lm_1, m_1, l_2, m_2} = \int d\Omega Y_{lm_1}^* Y_{l_1, m_1} Y_{l_2, m_2}$$

$$W_{lm_1, m_1, l_2, m_2} = \int d\Omega Y_{lm_1}^* Y_{l_1, m_1} Y_{l_2, m_2}^*$$

The desired solutions of the system satisfy the boundary conditions:

$$\psi_{lm}(r)_{r \rightarrow 0} \rightarrow A_{1lm} r^{l+1}$$

$$\psi_{lm}(r)_{r \rightarrow \infty} \rightarrow A_{2lm} e^{-\sqrt{\lambda}r}$$

$$V_{1lm}(r)_{r \rightarrow 0} \rightarrow B_{1lm} r^{l+1} \quad (7)$$

$$V_{1lm}(r)_{r \rightarrow \infty} \rightarrow B_{2lm} r^{-l}$$

$$V_{2lm}(r)_{r \rightarrow 0} \rightarrow C_{1lm} r^{l+1}$$

$$V_{2lm}(r)_{r \rightarrow \infty} \rightarrow C_{2lm} r^{-Cr}$$

where  $A_{1lm}, A_{2lm}, B_{1lm}, B_{2lm}, C_{1lm}, C_{2lm}$  are constants.

The numerical solving of the problem (4)-(6) with boundary conditions (7) is performed on the finite interval  $0 \leq r \leq R_m$  with a limitation of a number of members in expansion (3) by the number  $L_m$  for  $\psi(\vec{r})$  and  $L_v = 2L_m$  for  $V_i(r)$ ,  $i = 1, 2$ .

The case of spherically symmetrical solutions  $\psi(r, \theta, \phi) \Rightarrow \psi(r)$ , corresponding to  $L_m = 0$ , has received the most study. In this case the problem (4)-(6) is reduced to the solving of the system of three non-linear differential equations. This problem was studied by a number of authors (see, for instance, ref. [4]).

In this paper a more complicated case  $\psi(r, \theta, \phi) \Rightarrow \psi(r, \theta)$  is considered. Here in expansion (3) the function  $Y_{lm}(\theta, \phi)$  must be replaced by  $Y_{l0} = \sqrt{\frac{2l+1}{4\pi}} P_l(\cos\theta)$ , where  $P_l$  - Legendre polynomials,  $l = 0, 1, \dots, L_m$ .

Using more suitable designations and taking into account the states above limitations, the system (4)-(6) can be written in the form

$$\psi''_l(r) - \lambda\psi_l(r) - \frac{l(l+1)}{r^2}\psi_l(r) + \frac{A}{r} \sum_{l_1=0}^{L_m} Q_{ll_1}(r)\psi_{l_1}(r) = 0 \quad , l = 0, 1, \dots, L_m, \quad (8)$$

$$V''_{1l}(r) - \frac{l(l+1)}{r^2}V_{1l}(r) + S_l(r) = 0 \quad (9)$$

$$V''_{2l}(r) - \frac{l(l+1)}{r^2}V_{2l}(r) - C^2V_{2l}(r) + S_l(r) = 0 \quad , l = 0, 1, \dots, L_v,$$

with the normalization condition

$$\sum_{l=0}^{L_m} \int_0^{R_m} \psi_l^2(r) dr = 1, \quad (10)$$

where

$$Q_{ll_1}(r) = \sum_{l_2=0}^{L_v} W_{ll_1, l_2} (V_{1l_2}(r) - V_{2l_2}(r)) \quad (11)$$

$$S_l(r) = \sum_{l_1=0}^{L_m} \sum_{l_2=0}^{L_m} W_{ll_1, l_2} \psi_{l_1}(r) \psi_{l_2}(r) \quad (12)$$

$$W_{ll_1, l_2}(r) = 2\pi \sqrt{\frac{2l+1}{4\pi}} \sqrt{\frac{2l_1+1}{4\pi}} \sqrt{\frac{2l_2+1}{4\pi}} \int_{-1}^{+1} P_l(x) P_{l_1}(x) P_{l_2}(x) dx. \quad (13)$$

Taking into account asymptotic properties of solutions (7), the boundary conditions for the finite interval  $0 \leq r \leq R_m$  can be written as follows:

$$\psi_{1A}(0)\psi'_l(0) - \psi'_{1A}(0)\psi_l(0) = 0$$

$$\psi_{2A}(R_m)\psi'_l(R_m) - \psi'_{2A}(R_m)\psi_l(R_m) = 0 \quad (14)$$

$$V_{11A}(0)V'_{il}(0) - V'_{11A}(0)V_{il}(0) = 0$$

$$V_{i2A}(R_m)V'_{il}(R_m) - V'_{i2A}(R_m)V_{il}(R_m) = 0, \quad (15)$$

where

$$\begin{aligned}\psi_{1A} &= A_{11}r^{l+1}, \psi_{2A} = A_{21}e^{-\sqrt{\lambda}r}, \\ V_{11A} &= B_{11}r^{l+1}, V_{12A} = B_{21}r^{-l}, \\ V_{21A} &= C_{11}r^{l+1}, V_{22A} = C_{21}e^{-Cr},\end{aligned}$$

$A_{ii}, B_{ii}, C_{ii}$  are constants,  $i = 1, 2$ .

### 3.A DESCRIPTION OF THE ITERATION METHOD

The problem (8)-(15) can be numerically solved by means of the continuous analogue of the Newton method <sup>15/</sup>. In this case the system of  $(L_m + 2L_v + 3)$  nonlinear differential equations should be solved. However, in this paper a more simple method for solving this problem is proposed. A similar approach was applied in <sup>14/</sup> for calculating spherically symmetrical solutions. The solving of the system of the  $(L_m + 2L_v + 3)$  nonlinear differential equations is reduced to the sequential solving of the eigenvalue problem for  $(L_m + 1)$  linear differential equations and to the solving of  $2(L_v + 1)$  boundary problems for linear differential equations.

An algorithm of this iteration process is as follows.

By taking some set  $\{\lambda^{(0)}, \psi_i^{(0)}(r), l = 0, 1, \dots, L_m\}$  (the initial approach), using formula (12) we calculate coefficients  $S_i(r)$  for the system (9). Solving (9) with boundary conditions (15),  $V_{1l}^{(0)}(r)$  and  $V_{2l}^{(0)}(r)$  are found. Then, using (11), effective potentials  $Q_{il}^{(0)}(r)$  are calculated. Later, if solving the eigenvalue problem for the system (8) with boundary conditions (14), normalization condition (10) and the obtained potentials  $Q_{il}^{(0)}(r)$ , new set  $\{\lambda^{(1)}, \psi_i^{(1)}(r), l = 0, 1, \dots, L_m\}$  can be obtained. These functions, in their turn, are used for calculating  $V_{1l}^{(1)}(r), V_{2l}^{(1)}(r)$  and effective potentials  $Q_{il}^{(1)}(r)$  during the next iteration. This process must be continued until eigen-values and eigen-functions  $\{\lambda^{(k)}, \psi_i^{(k)}(r), l = 0, 1, \dots, L_m\}$ , functions  $V_{il}^{(k)}(r), i = 1, 2, l = 0, 1, \dots, L_v$ , obtained after two sequential iterations, would coincided with each other with given accuracy  $\epsilon$ , that is, until the condition  $\delta \leq \epsilon$  will be performed, where

$$\delta = \max\{|\lambda^{(k+1)} - \lambda^{(k)}|, \max_{r_j \in [0, R_m]} \left\{ \max_{l \in \{0, 1, \dots, L_v\}, i=1, 2} |V_{il}^{(k+1)}(r_j) - V_{il}^{(k)}(r_j)|, \max_{l \in \{0, 1, \dots, L_m\}} |\psi_i^{(k+1)}(r_j) - \psi_i^{(k)}(r_j)| \right\}\} \quad (16)$$

The eigenvalue problem (8),(10),(14) for the system of differential linear equations is solved by means of the continuous analogue of the Newton method. Solutions of boundary problems (9),(15) are calculated by 'run'. For approximation of equations (8)-(9) difference schemes of the accuracy order  $O(h^2)$  are used. In most cases the calculations are performed for  $R_m = 50$  and 100.

A number of the iterations depends on a quality of initial approximations. Having sufficiently good initial approximations  $\{\lambda^{(0)}, \psi_i^{(0)}(r), l = 0, 1, \dots, L_m\}$ , when a initial

residual  $\Delta^{(0)} \approx 10^{-1} - 10^{-2}$ , where

$$\Delta^{(0)} = \max_i \Delta_i^0,$$

$$\Delta_1^{(0)} = \max_l \left| \psi_l''^{(0)}(r) - \lambda^{(0)} \psi_l^{(0)}(r) - \frac{l(l+1)}{r^2} \psi_l^{(0)}(r) + \frac{A}{r} \sum_{i=0}^{L_m} Q_{li}(r) \psi_{i1}^{(0)}(r) \right|$$

$$\Delta_2^{(0)} = \max_l \left| V_{1l}''^{(0)}(r) - \frac{l(l+1)}{r^2} V_{1l}^{(0)}(r) + S_{1l}(r) = 0 \right|$$

$$\Delta_3^{(0)} = \max_l \left| V_{2l}''^{(0)}(r) - \frac{l(l+1)}{r^2} V_{2l}^{(0)}(r) - c^2 V_{2l}^{(0)}(r) + S_{2l}(r) = 0 \right|$$

the accuracy may be  $\delta \approx 10^{-4} - 10^{-5}$  in 8 - 10 iterations in the average. In doing so  $\Delta^{(k)} \approx 10^{-7} - 10^{-10}$ . The calculations were sequentially performed for  $L_m = 0, 1, 2, 3, 4, 5$ . The results obtained for the each mean of  $L_m$ , were used as an initial approach for  $L_m + 1$ . When constructing the initial approximations  $\psi_i^{(0)}$ ,  $L_m = 1$  a device of the "mouse" type (Genius Driver Mouse) was used.

The constants  $A$  and  $C$  are selected in accordance with the existing spherically symmetrical solutions, obtained in <sup>14/</sup>.

$$c = \frac{\sqrt{2}\mu}{\sqrt{1-\mu}}, \mu = 0.45858, A = 8\sqrt{2}\pi\alpha, \alpha = 2.$$

For the eigen-values and the eigen-functions of the (8),(10),(14) to be received, the program packet SLIPH4 <sup>16/</sup> for  $L_m = 0$ , the program packet SLIPS2 <sup>17/</sup> for  $L_m = 1$  and the program packet START for  $L_m = 1, 2, \dots, 5$  (the description is prepared for publication by Yu.S.Smironov) are used.

The computations were performed on VAX 8350 and PC/AT 286/386 computers.

### 4. NUMERICAL RESULTS

By calculations, performed with the help of the method described in sec.3 the set of solutions  $\tilde{\psi} = \{\psi_l, l = 0, 1, 2, \dots, L_m\}$  of problem (8)-(15) are found. The singularity of this solutions is that some of components  $\psi_l = 0$ . Obtained solutions can be separated into the next groups:

1. Spherically symmetrical solutions, in which only function  $\psi_0 \neq 0$ . The solutions of this group coincide with the results of <sup>14/</sup>.
2. Non-zero functions are  $\psi_l$  for even means of  $l$  ( $l = 0, 2, 4$ ).
3. Non-zero functions are  $\psi_l$  for odd means of  $l$  ( $l = 1, 3, 5$ ).

Table

	LM=0	LM=1	LM=2	LM=3	LM=4	LM=5
	f0 $\lambda$	f0 f1 $\lambda$	f0 f1 f2 $\lambda$	f0 f1 f2 f3 $\lambda$	f0 f1 f2 f3 f4 $\lambda$	f0 f1 f2 f3 f4 f5 $\lambda$
nz N	0 1.421 1.	0 - 1.421 1.	0 - - 1.421 1.	0 - - - 1.421 1.	0 - - - - 1.421 1.	0 - - - - - 1.421 1.
nz N	-	- 0 .791 1.	- 0 - .791 1.	- 0 - 0 .816 .97 .03	- 0 - 0 - .816 .97 .03	- 0 - 0 - 0 .817 .970 .028 .002
nz N			1 - 0 .455 .79 .21	1 - 0 - .455 .79 .21	1 - 0 - 0 .455 .789 .206 .003	1 - 0 - - 0 - .455 .789 .206 .003
nz N	1 .443 1.	1 - .443 1.	1 - - .443 1.	1 - - - .443 1.	1 - - - - .443 1.	1 - - - - - .443 1.
nz N		- 1 .303 1.	- 1 - .303 1.	- 1 - 1 .362 .78 .22	- 1 - 1 - .362 .78 .22	- 1 - 1 - 1 .388 .73 .21 .06
nz N			2 - 1 .208 .76 .24	2 - 1 - .208 .76 .24	2 - 1 - 1 .208 .64 .32 .04	2 - 1 - 1 - .208 .64 .32 .04
nz N	2 .194 1.	2 - .194 1.	2 - - .194 1.	2 - - - .194 1.	2 - - - - .194 1.	2 - - - - - .194 1.
nz N		- 2 .156 1.	- 2 - .156 1.	- 2 - 2 .193 .68 .32	- 2 - 2 - .193 .68 .32	- 2 - 2 - 2 .217 .55 .32 .13
nz N		(*)	3 - 2 .136 .36 .64	3 - 2 - .136 .36 .64		
nz N			3 - 2 .114 .68 .32	3 - 2 - .114 .68 .32	3 - 2 - 2 .116 .66 .31 .05	3 - 2 - 2 - .116 .66 .31 .03
nz N	3 .106 1.	3 - .106 1.	3 - - .106 1.	3 - - - .106 1.	3 - - - - .106 1.	3 - - - - - .106 1.
nz N		3 .081 1.	- 3 - .081 1.			

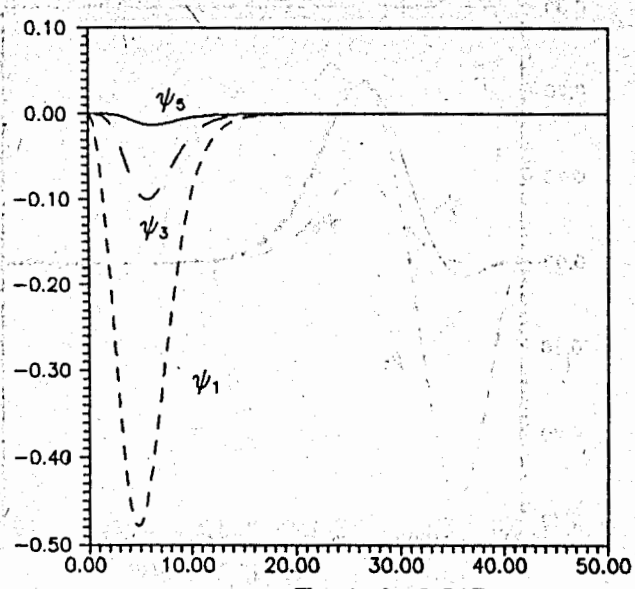


Fig 1,  $\lambda=0.817$

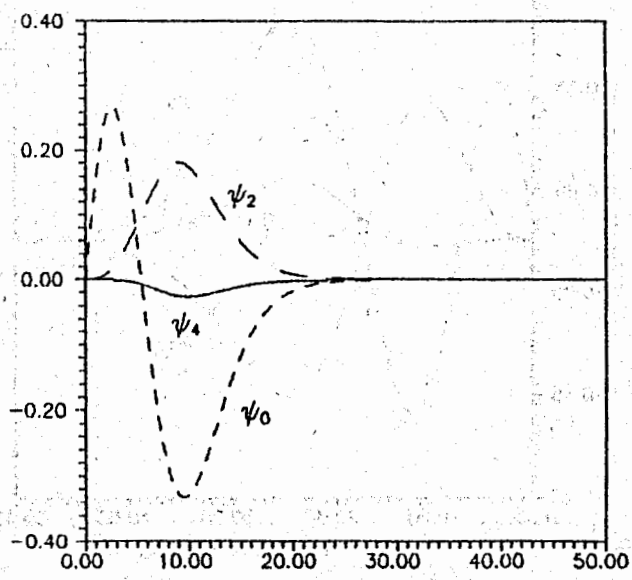


Fig 2,  $\lambda=0.455$

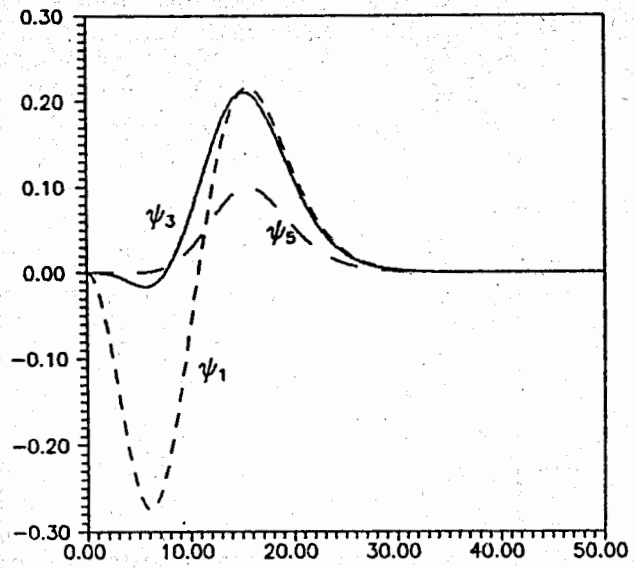


Fig 3,  $\lambda=0.388$

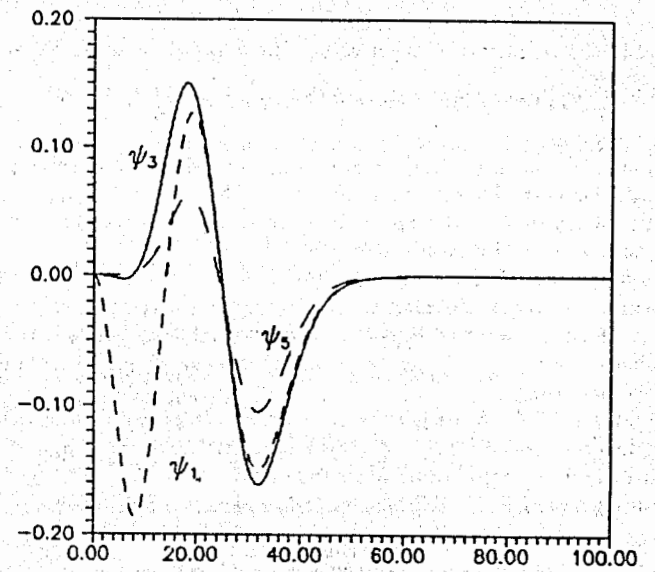


Fig 5,  $\lambda=0.217$

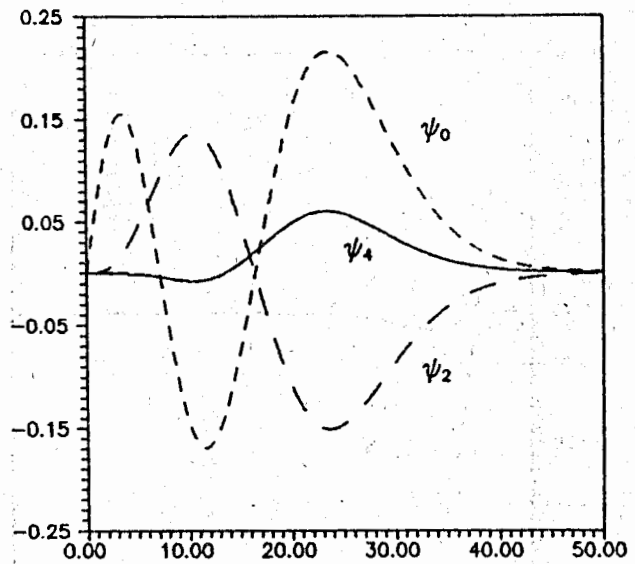


Fig 4,  $\lambda=0.208$

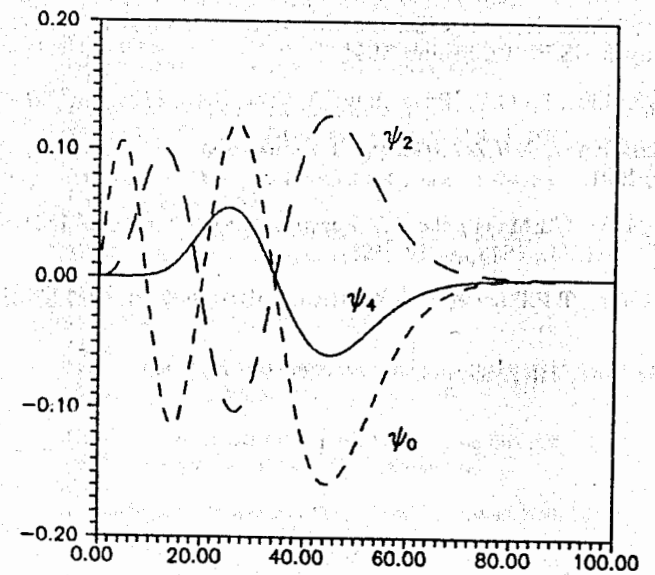


Fig 6,  $\lambda=0.116$

In the table the spectrum of eigen-values, and number of zeros  $N_z$  and norms of functions  $N = \int_0^{R_m} \psi_l^2 dr$  are represented for  $L_m = 0, 1, 2, 3, 4, 5$ . Functions with  $N = 0$  are denoted by minus.

As it is obvious from the table, at increasing the mean of  $l$  the norm of non-zero components  $\psi_l$  decreases for the most solutions. This allows to suppose, that using valid selected means of  $L_m$ , the approximation of solutions of the problem (4)-(7) with a high accuracy can be obtained.

In addition, for  $L_m = 2, 3$  the solution noted by (\*) in which the norm of  $\psi_0$  less than the norm of  $\psi_2$ , is calculated.

Another conformity reflected in the table is a correlation between the norm of  $\psi_l$  and its number of zeros. 'Dumping' of norms of  $\psi_l$  is slowing if the number of zeros in solutions is increasing.

At the figures 1,2,3,4,5,6 the graphs of non-zero components  $\psi_l$  of the spherically non-symmetrical solutions for  $L_m = 6$  are represented. At the each figures the number  $l$  of function  $\psi_l$  and the eigen-value  $\lambda$  are indicated.

Authors are grateful to Yu.S.Smirnov for the program START.

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Итерационный метод вычисления  
сферически-несимметричных решений  
поляронной проблемы (модель Латтинжера — Лу)

Рассматривается итерационный метод вычисления сферически-несимметричных состояний полярона в рамках модели Латтинжера—Лу. Исходное уравнение полярона в частных производных при разложении решения по сферическим функциям  $Y_{lm}(\theta, \varphi)$ ,  $m = 0$ ,  $l = 0, 1, 2, \dots$  сводится к задаче на собственные значения для системы из  $3(l + 1)$  нелинейных обыкновенных дифференциальных уравнений. Ее решение, согласно предлагаемому методу, заменяется последовательным решением задач на собственные значения для системы  $(l + 1)$  линейных дифференциальных уравнений, осуществляемым на основе непрерывного аналога метода Ньютона, и решением  $2(l + 1)$  краевых задач для линейных дифференциальных уравнений. Представлены результаты счета для случаев  $l = 0, 1, 2, 3, 4, 5$ .

Работа выполнена в Лаборатории вычислительной техники и автоматизации ОИЯИ.

Препринт Объединенного института ядерных исследований. Дубна 1992

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Amirkhanov I.V. et al.

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Iteration Method for Solving the Spherical  
Non-Symmetric Polaron Equation (the Luttinger — Lu Model)

An iteration method for calculating the spherically non-symmetric polaron states in the framework of the Luttinger — Lu model is considered. The initial polaron partial differential equation by expansion of the solution in spherical functions  $Y_{lm}(\theta, \varphi)$ , ( $l = 0, 1, 2, \dots$ ;  $m = 0$ ) is reduced to the eigenvalue problem for a system of  $3(l + 1)$  nonlinear ordinary equations. The method proposed reduces the solving of the problem to the sequential solving of eigenvalue problems for the system of  $l + 1$  linear differential equations being performed on the base of the continuous analog of Newton's method and to the solving the  $(l + 1)$  pair of boundary problems for linear differential equations. The results of the calculations for  $l = 0, 1, 2, 3, 4, 5$  are presented.

The investigation has been performed at the Laboratory of Computing Techniques and Automation, JINR.

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